

Ecole thématique Roscoff – chimie théorique

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Organisation

Cours : mardi 3 h + 1 h, mercredi 1 h 30 + 1 h, jeudi 1 h 30

- Equation de Schrödinger et systèmes simples
 - Modèle de Bohr
 - Boîte 1D, oscillateur harmonique
 - Atome d'hydrogène et ses orbitales
- Systèmes polyélectroniques
 - Modèles simplifiés : LCAO, hybrides, Hückel
 - Fonctions d'onde : déterminants et règles de Slater
 - Hartree-Fock
 - Bases de fonctions atomiques
- Rupture de liaison : fonctions multidéterminantales
- Corrélation électronique : perturbation, IC, CC
- DFT et couplage avec perturbation

Organisation

Travaux pratiques.

- Mardi AM 1 h : règles de Slater, calcul HF, rupture de liaison
- Mercredi AM 2 h : calcul perturbation, IC, logiciels “grand public”
Gaussian, Molpro, GAMESS, Dalton

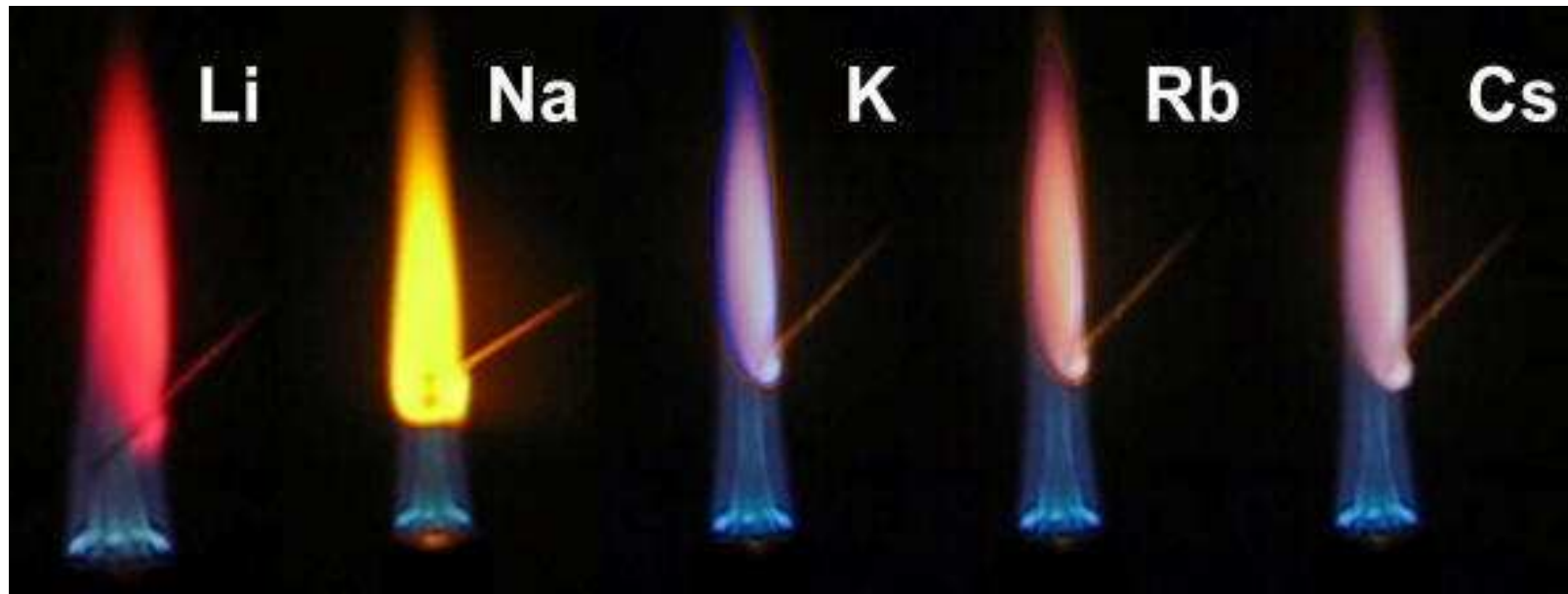
Spectroscopy

Hydrogen spectrum in the sun light - Joseph Fraunhofer



German stamp, 1987

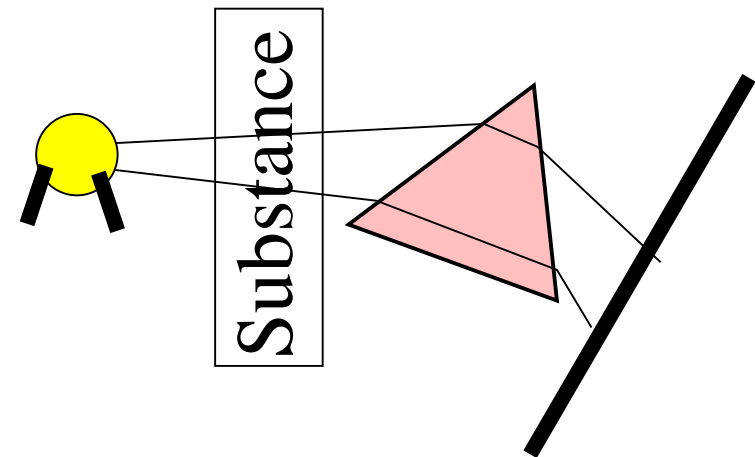
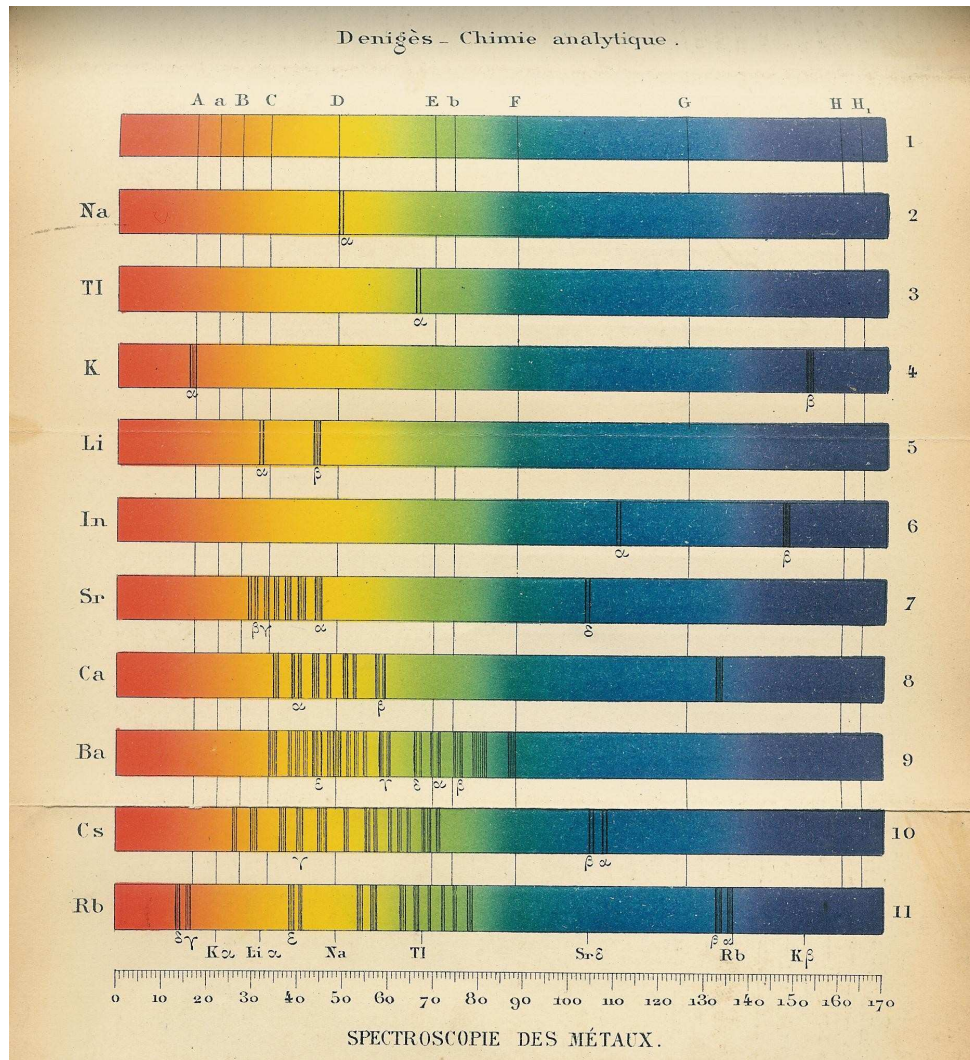
Spectroscopy



Flame coloration from atomic spectra

Spectroscopy

Atomic emission spectra, helping to identify elements

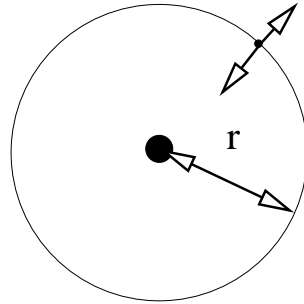


Denigès

Chimie analytique

Paris 1913

Bohr model and Schrödinger's equation



$$\frac{mv^2}{r} = \left(\frac{e^2}{4\pi\epsilon_0} \right) \frac{1}{r^2}$$

Angular momentum $\vec{L} = \vec{r} \times \vec{p} = m\vec{r} \times \vec{v}$ in units of \hbar : $|\vec{L}| = m r v = n\hbar$

$$\longrightarrow r_n = \frac{\hbar^2 4\pi\epsilon_0}{e^2 m} n^2, \quad v_n = \frac{e^2}{4\pi\epsilon_0 \hbar} \frac{1}{n}$$

$$T_n = \frac{1}{2} m v_n^2 = + \frac{m}{2} \left(\frac{e^2}{4\pi\epsilon_0} \right)^2 \frac{1}{\hbar^2 n^2}$$

$$V_n = \int_{r_n}^{\infty} F(r) dr = - \left(\frac{e^2}{4\pi\epsilon_0} \right) \frac{1}{r_n} = - \frac{m e^4}{(4\pi\epsilon_0)^2 \hbar^2} \frac{1}{n^2}$$

- Works for the hydrogen atom and nothing else ...

Bohr model and Schrödinger's equation

Photoelectric effect shows for light quanta of energy:

$$E = h \nu = \frac{h c}{\lambda}$$

- Compton scattering confirms the equivalence of light and matter.
- Heisenberg: 2-index quantities needed for describing energy differences
- Schrödinger: one differential equation with a Hamilton operator

$$i\hbar \frac{\partial}{\partial t} \Psi = \hat{H} \Psi$$

with $|\Psi^2|$ as probability distribution to find a particle in space and time.

- Time-independent problem: $\hat{H}\Psi = E\Psi$ with total energy E .
- No movement, but average over many experiments to localize a particle (e.g. photographic film)
- Average over operator: $E = \langle \Psi | \hat{H} | \Psi \rangle$

Bohr model and Schrödinger's equation

Contributions to the Hamiltonian:

- Kinetic energy $p^2/(2m)$ of each particle, with $\vec{p} = -i\hbar\vec{\nabla}$
- Potential energy: electrostatics
- External fields: electromagnetic waves, static fields

Solve thus Schrödinger's equations for many particles

$$\left(-\frac{1}{2} \sum_i \Delta_i + V(\vec{r}_1, \vec{r}_2, \dots) \right) \Psi(\vec{r}_1, \vec{r}_2, \dots) = E \Psi(\vec{r}_1, \vec{r}_2, \dots)$$

Simple systems, atoms, molecules, solids

→ physics and chemistry through mathematics

Simple systems

1D rectangular potential:

-

$$V = \begin{cases} 0 & \text{for } 0 < x < L \\ \infty & \text{otherwise} \end{cases}$$

- Solve

$$-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \Psi(x) = E \Psi(x)$$

with boundary conditions $\Psi(0) = \Psi(L) = 0$

- Unique solution:

$$\Psi_n(x) = \sqrt{\frac{2}{L}} \sin \frac{n \pi x}{L}$$

with prefactor to respect $\int_0^L |\Psi_n(x)|^2 dx = 1$.

- Energy $E_n = \langle \Psi_n | \hat{H} | \Psi_n \rangle = \int_0^L \Psi_n(x) \hat{H} \Psi_n(x) dx = \frac{n^2 \hbar^2}{8mL^2}$
- Applications: dyes, acid-base indicators, nano-structured lasers

Simple systems

Harmonic oscillator:

- Potential: $V(r) = \frac{1}{2}kx^2$ from Hooke's law $F(x - x_0) = -k(x - x_0)$
- Solve

$$\left(-\frac{\hbar^2}{2\mu} \frac{\partial^2}{\partial x^2} + \frac{1}{2}kx^2 \right) \Psi(x) = E \Psi(x)$$

with reduced mass μ

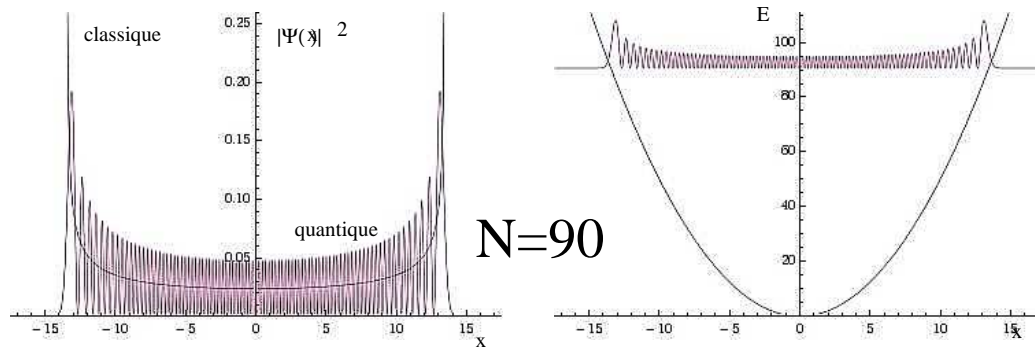
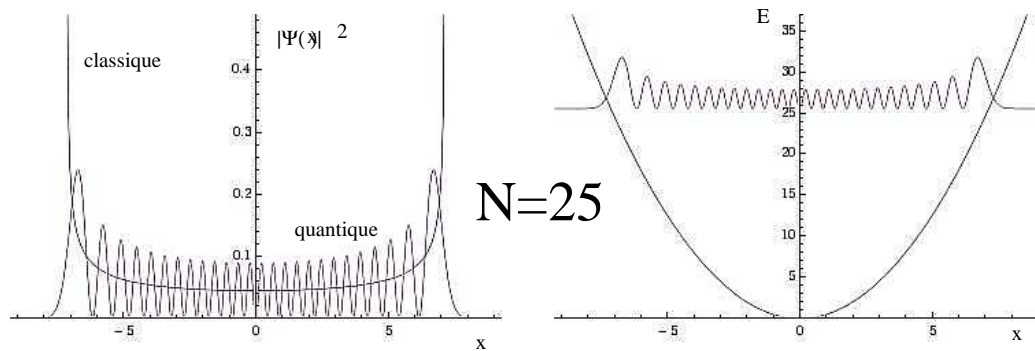
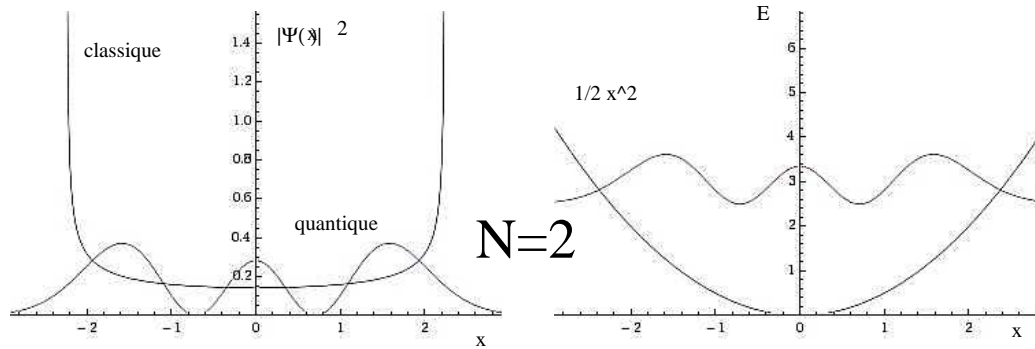
- Solution in two steps ($\alpha = \sqrt{\mu\omega/\hbar}$, $\omega = \sqrt{k/\mu}$):
 - $x \rightarrow \infty$: $\Psi(x) \sim e^{-\frac{(\alpha x)^2}{2}}$
 - Correction as polynomial in x :

$$\Psi_n(x) = \sqrt{\frac{\alpha}{\sqrt{\pi}}} \left(\frac{1}{\sqrt{2^n n!}} \right) H_n(\alpha x) e^{-\frac{(\alpha x)^2}{2}}$$

- Energy levels $E_n = \hbar\omega \left(n + \frac{1}{2} \right)$
- Application: vibrational spectroscopy (IR, RAMAN)

Simple systems

Harmonic oscillator:



Simple systems

Hydrogen atom:

- Potential: $V(r) = -1/r$ spherically symmetric

- Spherical harmonics $Y_{\ell m}(\theta, \phi)$ solution of

$$\hat{L}^2 Y_{\ell m}(\theta, \phi) = \hbar^2 \ell(\ell + 1) Y_{\ell m}(\theta, \phi)$$

- Laplacian in spherical co-ordinates $\Delta = \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 \frac{\partial}{\partial r}) + \frac{L^2}{r^2}$

- Hamiltonian in spherical co-ordinates:

$$\hat{H} = -\frac{1}{2} \Delta - \frac{1}{r} = \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 \frac{\partial}{\partial r}) + \frac{L^2}{2r^2} - \frac{1}{r}$$

- Look for solution for the radial part (1D differential equation), in three steps

$$\rho = r/n:$$

- $r \rightarrow \infty$: $\rho R(\rho) \sim e^{-\rho}$; $r \rightarrow 0$: $\rho R(\rho) \sim \rho^{\ell+1} e^{-\rho}$

- Complete solution $R_{n\ell}(\rho) = N_{n\ell} L_{n+\ell}^{2\ell+1}(\rho) \rho^\ell e^{-\rho}$ with associated Laguerre polynomials $L_{n+\ell}^{2\ell+1}(\rho)$.

- Energy: $E = -\frac{1}{2} \frac{1}{n^2}$ as in the simple Bohr model.

Degeneracy n^2 via $\ell = 0, \dots, n-1$ and $m = -\ell, \dots, \ell$ values of secondary and magnetic quantum numbers.

Simple systems

Hydrogen atom: Laguerre polynomials

$$L_n^\alpha(x) = \frac{x^{-\alpha}}{n!} e^x \frac{d}{dx} [e^{-x} x^{n+\alpha}]$$

Hydrogenic functions

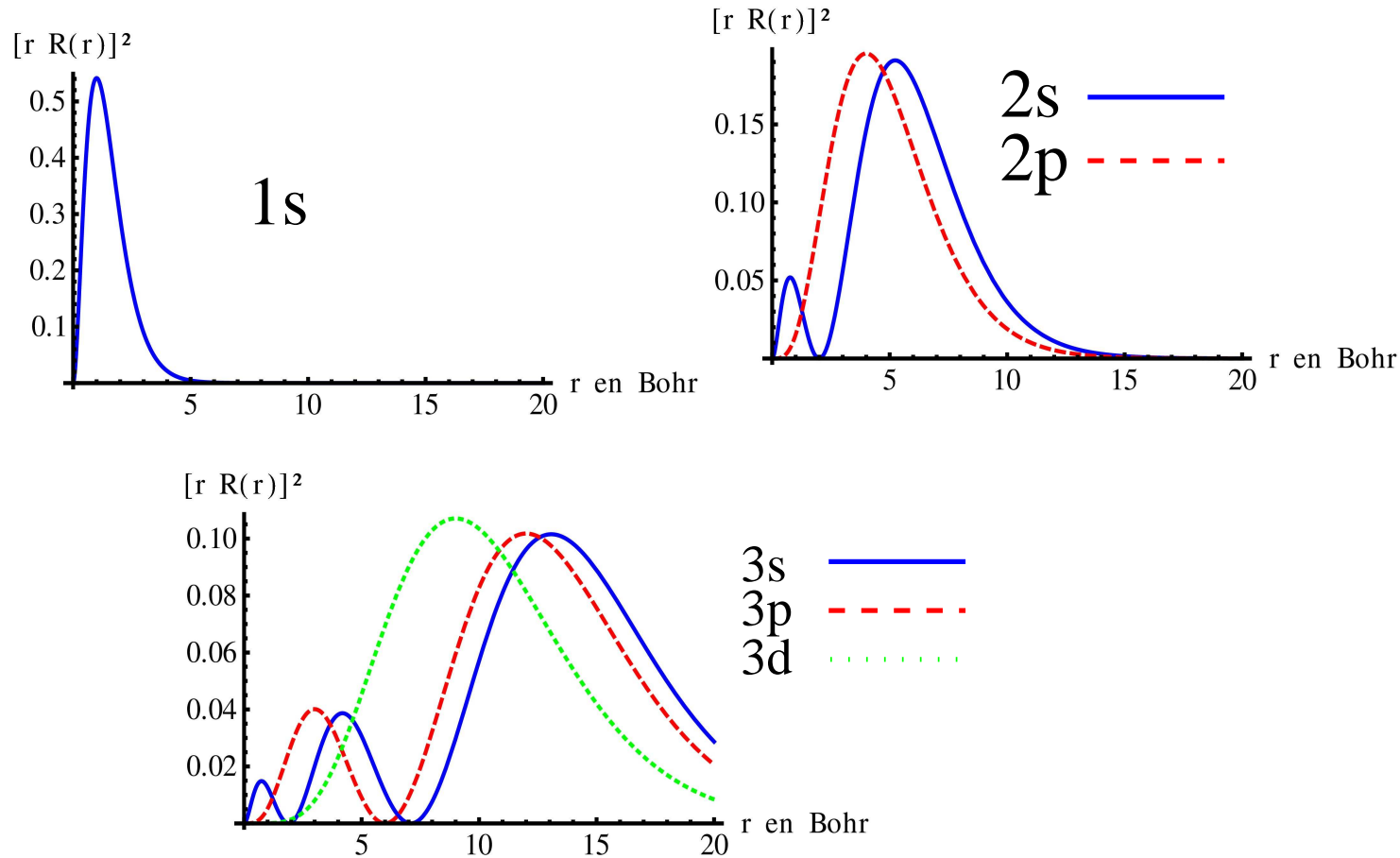
$$\Psi_{n\ell m}(r, \theta, \phi) = R_{n\ell}(r) Y_{\ell m}(\theta, \phi)$$

$$R_{n\ell}(r) = N_{n\ell} \left(\frac{2r}{n}\right)^\ell L_{n-\ell-1}^{2\ell+1} \left(\frac{2r}{n}\right) e^{-(r/n)}$$

- Classification: $\ell = 0 \rightarrow s, \ell = 1 \rightarrow p, \ell = 2 \rightarrow d, \ell = 3 \rightarrow f$
- Spherical harmonics $Y_{\ell m}$ complex, real combinations, multiplied with $r^\ell =$ real solid harmonics $= Y_{\ell m} + Y_{\ell -m}, -i(Y_{\ell m} - Y_{\ell -m})$
- $1, x, y, z, xy, xz, yz, x^2 - y^2, 3z^2 - r^2, \dots$
- Energy levels depend only on n : $E_n = -\frac{1}{2} \frac{1}{n^2}$

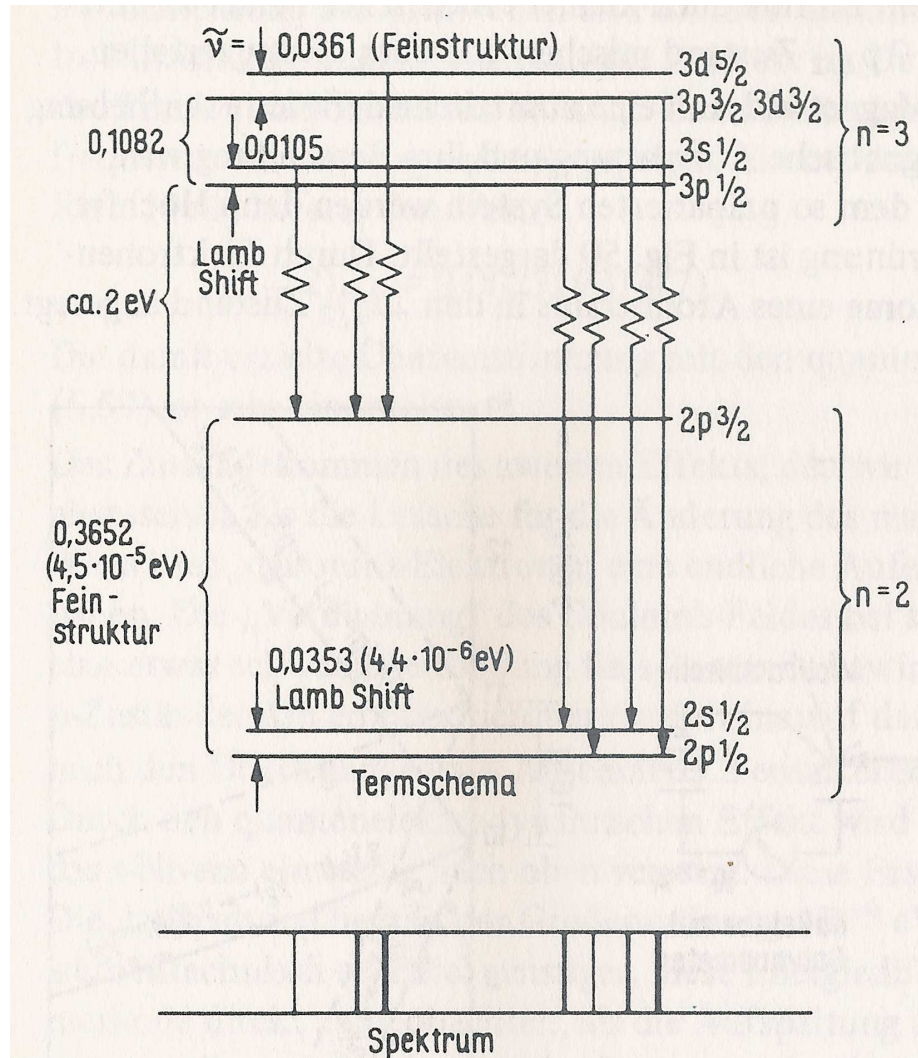
Simple systems

Hydrogen atom: radial densities $r^2 R^2(r)$



Simple systems

Complete treatment



Spin–Orbit coupling: fine structure

Relativistic effects: LS \rightarrow J

Quantum electrodynamics: Lamb shift

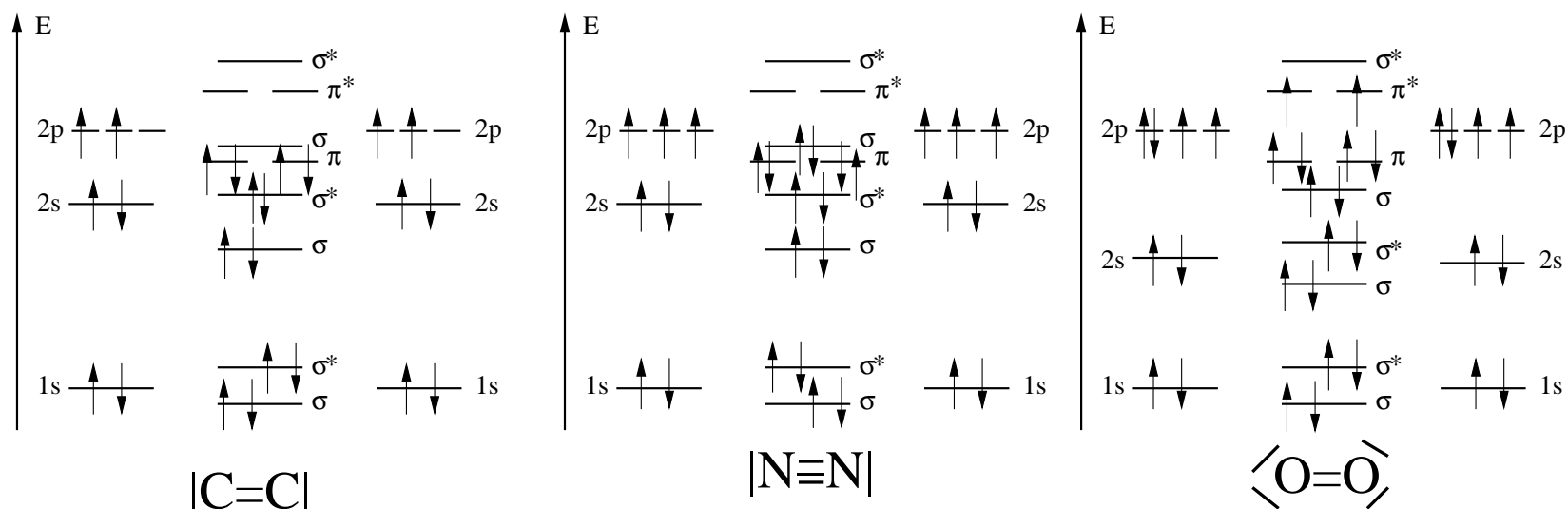
Hyperfine structure (spin–spin coupling)

Th. Mayer–Kuckuk, Atomphysik
Teubner, 1986

Small corrections, but all visible and understood for the hydrogen atom. Reinhardt, UPMC, 2013 – p. 7/69

Working with atomic orbitals

- Multi-electron system (atom) may be described by hydrogen-like orbitals
- Diatomics: combine atomic orbitals of same symmetry and near energy to molecular orbitals with simple rules: correlation diagrams



- Bonding, anti-bonding and non-bonding orbitals, stability of diatomics
- Spin ground states, reactivity

Working with atomic orbitals

Works even for solids, TiO₂

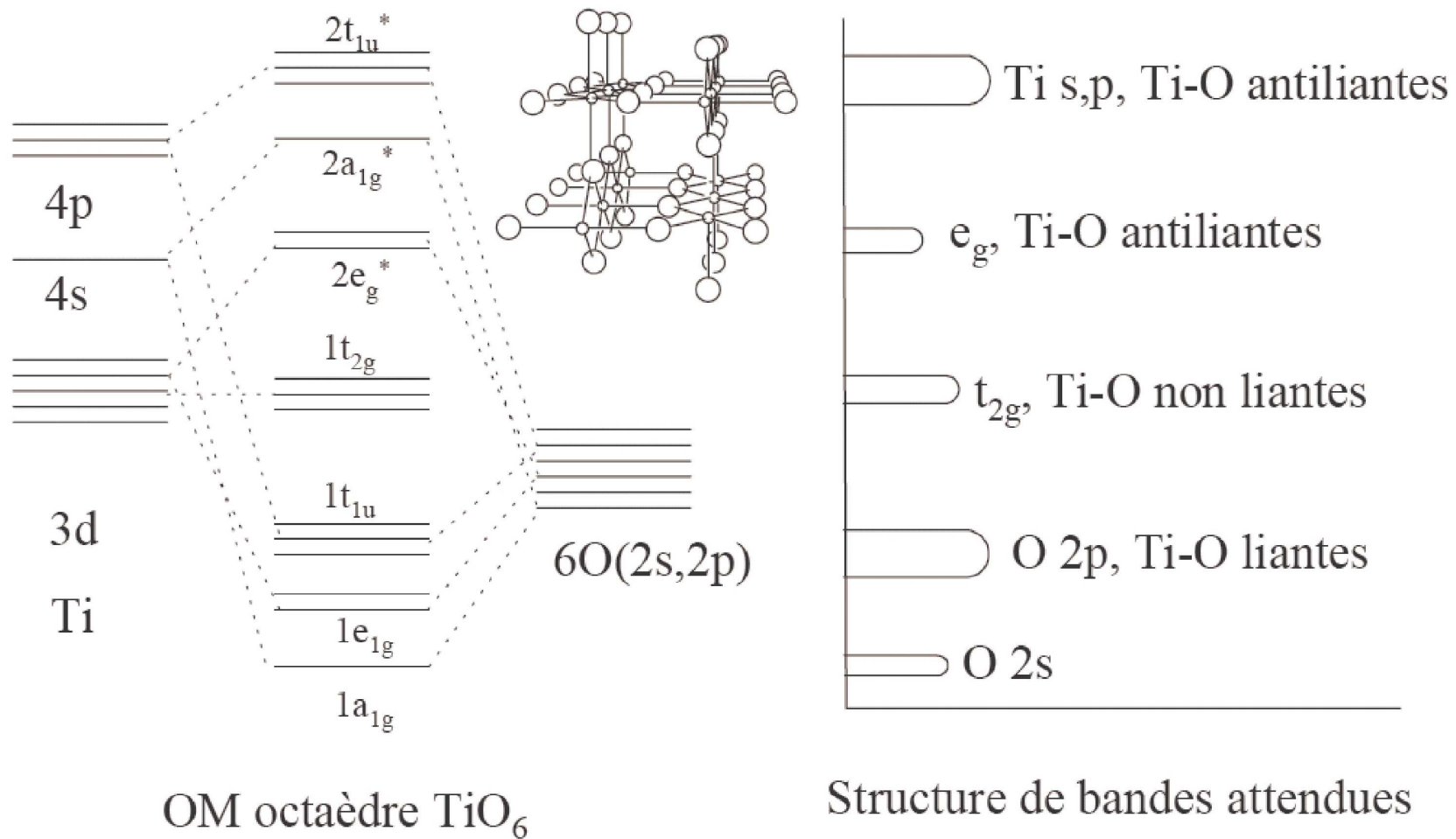
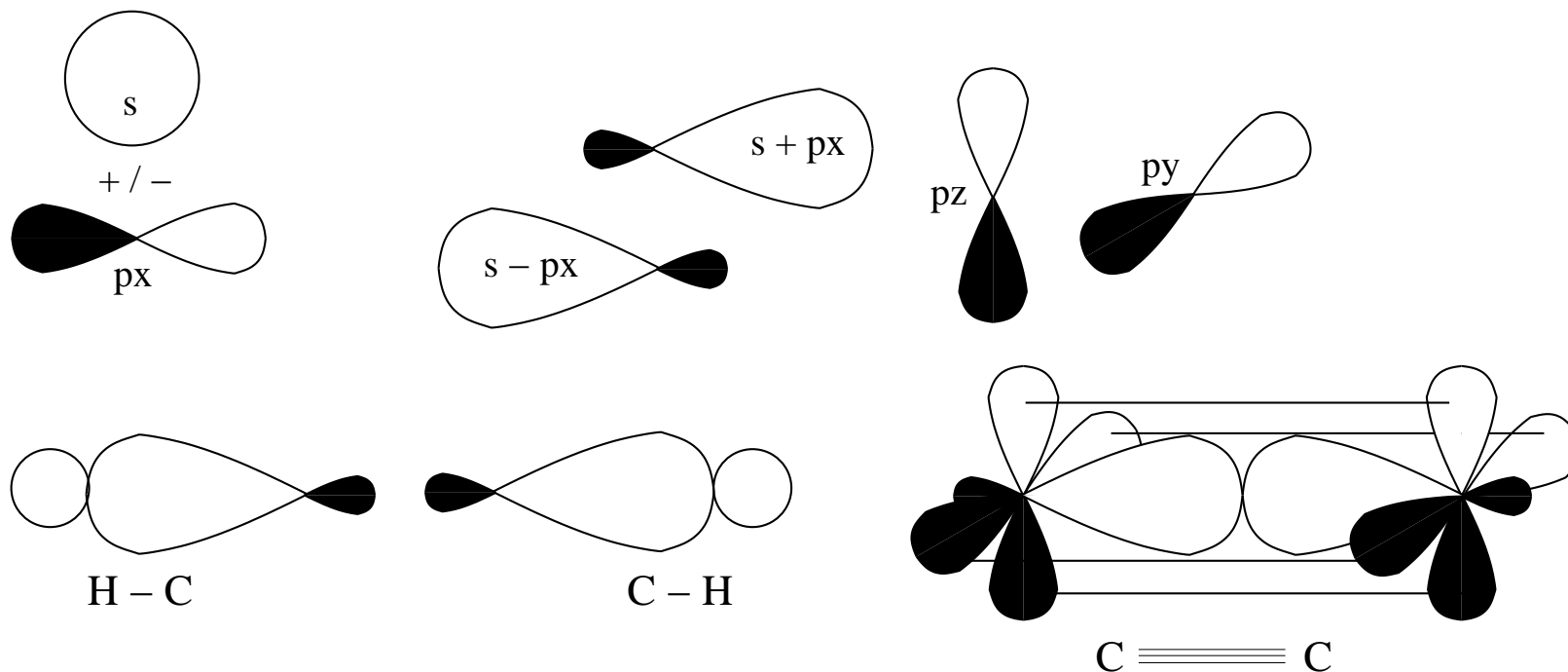


Diagram: Monica Calatayud, LCT, after R. Hoffmann, Solids and surfaces, Wiley-VCH, 1989

Working with atomic orbitals

More than 2 centers: hybridization of atomic orbitals



Series sp , sp^2 , sp^3 for describing $\text{H}-\text{C}\equiv\text{C}-\text{H}$, $\text{H}_2\text{C}=\text{CH}_2$, $\text{H}_3\text{C}-\text{CH}_3$

$$\phi_{1,2}^{sp} = s \pm p_z \quad \text{sp hybrid}$$

$$\phi_3 = p_x$$

$$\phi_4 = p_y$$

Working with atomic orbitals

Triangular situation: sp^2 hybrids

$$\phi_1^{sp^2} = \frac{1}{\sqrt{6}} s + \sqrt{\frac{2}{3}} p_x \quad sp^2 \text{ hybrid}$$

$$\phi_{2,3}^{sp^2} = \frac{1}{\sqrt{3}} s - \frac{1}{\sqrt{6}} p_x \pm \frac{1}{\sqrt{2}} p_y \quad sp^2 \text{ hybrid}$$

$$\phi_4 = p_z$$

Tetrahedral situation (4 bonds)

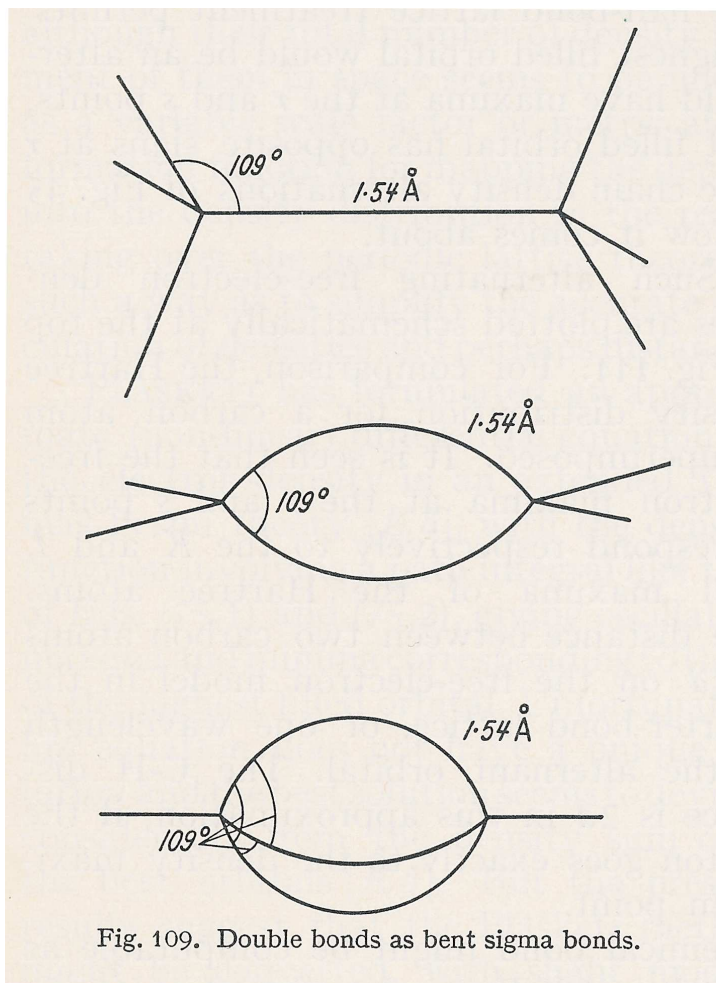
$$\phi_{1,2} = \frac{1}{2} (s + p_x \pm p_y \pm p_z)$$

$$\phi_{3,4} = \frac{1}{2} (s - p_x \pm p_y \mp p_z)$$

Very idealized situations — qualitatively correct, intuitive chemistry

Working with atomic orbitals

Bond lengths may as well be explained with sp^3 hybrids only



Single bond 154 pm

Double bond 133 pm

Triple bond 121 pm

H.J. Bernstein, J.Chem.Phys., **15** (1947) 284, 339, 688

The variational principle

Theorem: any approximate (normalized) wavefunction for the ground state of the Hamiltonian \hat{H} is higher in energy than the true ground state Ψ_0 :

$$\langle \Psi_{approx} | \hat{H} | \Psi_{approx} \rangle \geq \langle \Psi_0 | \hat{H} | \Psi_0 \rangle = E_0$$

with $\hat{H} | \Psi_0 \rangle = E_0 | \Psi_0 \rangle$

Proof: \hat{H} is hermitian, thus

- the eigenvalues of \hat{H} are real.
- the eigenvectors of \hat{H} are orthogonal.
- any approximate wavefunction can be expressed in the eigenfunctions of \hat{H}

$$\begin{aligned} E_{approx} &= \langle \Psi_{approx} | \hat{H} | \Psi_{approx} \rangle \\ &= \sum_i c_i^2 \langle \Phi_i | \hat{H} | \Phi_i \rangle = \sum_i c_i^2 E_i \geq E_0 \sum_i c_i^2 = E_0 \end{aligned}$$

Hückel theory

Ernst Hückel, 1930: admit a simple

- product wavefunction $\Psi = \phi_1(1)\phi_2(2) \dots \phi_n(n)$ and an
- one-electron Hamiltonian $\hat{H} = \hat{h}_1 + \hat{h}_2 + \dots \hat{h}_n$ acting on electrons $1 \dots n$.

If

$$\hat{h} \phi_i(\vec{r}) = \epsilon_i \phi_i(\vec{r})$$

then

$$\hat{H} |\Psi\rangle = \left(\sum_i \hat{h}_i \right) |\Psi\rangle = \left(\sum_i \epsilon_i \right) |\Psi\rangle = E |\Psi\rangle$$

satisfying Schrödinger's equation.

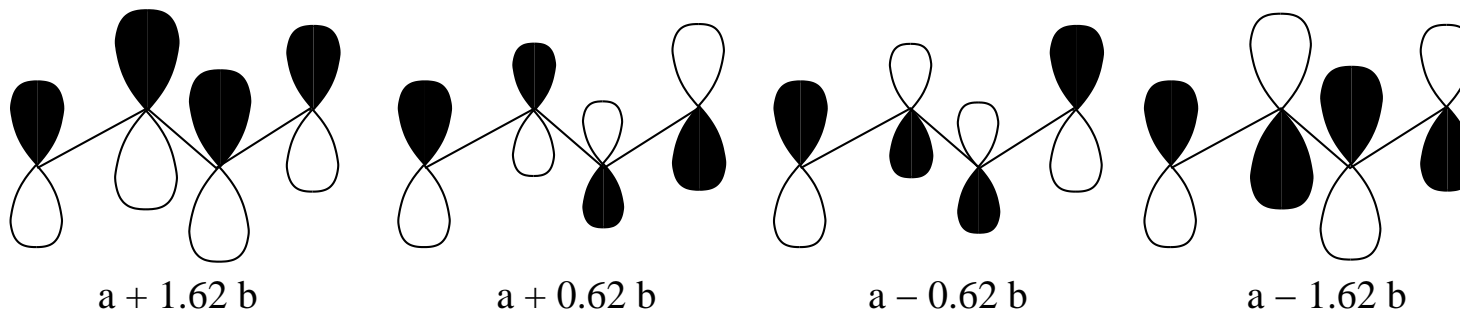
- Valence atomic orbitals χ (or only π system) for each atom
- On-site interaction $\langle \chi_\alpha | \hat{h} | \chi_\alpha \rangle = a < 0$
- Next-neighbour interaction $\langle \chi_\alpha | \hat{h} | \chi_\beta \rangle = b < 0$
- Parametrized matrix elements

Hückel theory

Example butadiene $\text{CH}_2=\text{CH}-\text{CH}=\text{CH}_2$:

$$\hat{H} = \begin{pmatrix} a & b & 0 & 0 \\ b & a & b & 0 \\ 0 & b & a & b \\ 0 & 0 & b & a \end{pmatrix}$$

- 4 eigenvalues $\frac{1}{2} (2a \pm b \pm \sqrt{5}b)$
- Corresponding eigenvectors: + + + +, + + - -, + - - +, + - + -
- 4 π electrons to be inserted into the two lowest orbitals

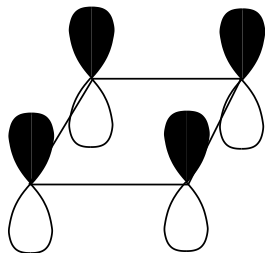


Hückel theory

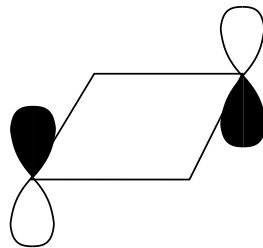
Example cyclo-butadiene $-\text{CH}=\text{CH}-\text{CH}=\text{CH}-$:

$$\hat{H} = \begin{pmatrix} a & b & 0 & b \\ b & a & b & 0 \\ 0 & b & a & b \\ b & 0 & b & a \end{pmatrix}$$

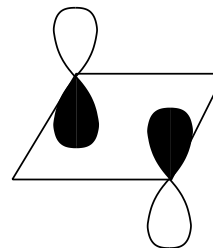
- 3 different eigenvalues $a - 2b$, a , a , $a + 2b$



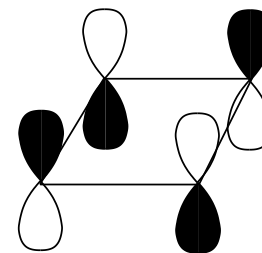
$a + 2b$



a



a



$a - 2b$

- Where to put the 4 electrons ? Unstable molecule.
- $4n + 2$ rule for cyclic hydrocarbons.

Many-electron wavefunctions

Many-electron systems: atomic Hamiltonian

$$\hat{H} = -\frac{1}{2} \left(\sum_{i=1}^n \Delta_i \right) - Z \left(\sum_{i=1}^n \frac{1}{r_i} \right) + \sum_{i=1}^{n-1} \sum_{j=i+1}^n \frac{1}{|\vec{r}_i - \vec{r}_j|}$$

Wavefunction contains as well spin $\Psi(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_n, s_1, s_2, \dots, s_n)$

- Should be antisymmetric

$$\Psi((\vec{r}_1, s_1), (\vec{r}_2, s_2), \dots) = -\Psi((\vec{r}_2, s_2), (\vec{r}_1, s_1), \dots)$$

- Electron density

$$\rho(\vec{r}) = n \underbrace{\int \dots \int}_{3n-3 \text{ integrals}} |\Psi(\vec{r}, \vec{r}_2, \dots, \vec{r}_n)|^2 d^3 r_2 \dots d^3 r_n$$

Many-electron wavefunctions

Case of 2 electrons, same spatial orbital ϕ , different spins:

$$\begin{aligned}\Psi(\vec{r}_1, s_1, \vec{r}_2, s_2) &= \phi(\vec{r}_1)\phi(\vec{r}_2) \frac{1}{\sqrt{2}} [\alpha(s_1)\beta(s_2) - \alpha(s_2)\beta(s_1)] \\ &= \Phi_S(\vec{r}_1, \vec{r}_2) \times \Theta_A(s_1, s_2)\end{aligned}$$

Energy depends only on the spatial part

$$\langle \Phi_S \Theta_A | \hat{H} | \Phi_S \Theta_A \rangle = \langle \Phi_S | \hat{H} | \Phi_S \rangle \times \langle \Theta_A | \Theta_A \rangle = \langle \Phi_S | \hat{H} | \Phi_S \rangle$$

Many-electron wavefunctions

Two different orbitals, two different spins

$$\begin{aligned}\Psi^I(\vec{r}_1, s_1, \vec{r}_2, s_2) &= \Phi_S(\vec{r}_1, \vec{r}_2) \times \Theta_A(s_1, s_2) \\ &= [\phi_1(\vec{r}_1)\phi_2(\vec{r}_2) + \phi_1(\vec{r}_2)\phi_2(\vec{r}_1)] [\alpha(s_1)\beta(s_2) - \alpha(s_2)\beta(s_1)] / 2\end{aligned}$$

Other possibility

$$\begin{aligned}\Psi^{II}(\vec{r}_1, s_1, \vec{r}_2, s_2) &= [\phi_1(\vec{r}_1)\phi_2(\vec{r}_2) - \phi_2(\vec{r}_1)\phi_1(\vec{r}_2)] \alpha(s_1)\alpha(s_2) \\ &= \Phi_A(\vec{r}_1, \vec{r}_2) \times \Theta_S(s_1, s_2)\end{aligned}$$

3 possibilities for symmetric spin parts

$$\alpha(s_1)\alpha(s_2) \quad \frac{1}{\sqrt{2}} (\alpha(s_1)\beta(s_2) + \beta(s_1)\alpha(s_2)) \quad \beta(s_1)\beta(s_2)$$

Conclusion: one singlet wavefunction, 3 triplet wavefunctions, different in energy, even with equal orbitals.

Many-electron wavefunctions

General case with more than 2 electron: Slater determinant (J.C. Slater 1929)

$$\Psi(\vec{r}_1 \dots, \vec{r}_n, s_1 \dots s_n) = \frac{1}{\sqrt{n!}} \begin{vmatrix} \phi_1(\vec{r}_1)\sigma_1(s_1) & \dots & \phi_1(\vec{r}_n)\sigma_1(s_n) \\ \vdots & \ddots & \vdots \\ \phi_n(\vec{r}_1)\sigma_n(s_1) & \dots & \phi_n(\vec{r}_n)\sigma_n(s_n) \end{vmatrix}$$

Completely antisymmetric upon exchange of electrons

Many-electron wavefunctions

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Completely antisymmetric upon exchange of electrons

The triplet wavefunctions (un-normalized)

$$\Psi_{\alpha\alpha}^{II} = [\phi_1(1)\phi_2(2) - \phi_2(1)\phi_1(2)] (\alpha(1)\alpha(2) + \alpha(1)\alpha(2))$$

$$= \begin{vmatrix} \phi_1(1)\alpha(1) & \phi_1(2)\alpha(2) \\ \phi_2(1)\alpha(1) & \phi_2(2)\alpha(2) \end{vmatrix} = |\phi_1\phi_2\rangle$$

$$\Psi_{\beta\beta}^{II} = \begin{vmatrix} \phi_1(1)\beta(1) & \phi_1(2)\beta(2) \\ \phi_2(1)\beta(1) & \phi_2(2)\beta(2) \end{vmatrix} = |\bar{\phi}_1\bar{\phi}_2\rangle$$

Many-electron wavefunctions

However:

$$\begin{aligned}\Psi_{\alpha\beta}^{II} &= \phi_1\bar{\phi}_2 + \bar{\phi}_1\phi_2 - \phi_2\bar{\phi}_1 - \bar{\phi}_2\phi_1 \\ &= \begin{vmatrix} \phi_1(1) & \phi_1(2) \\ \bar{\phi}_2(1) & \bar{\phi}_2(2) \end{vmatrix} + \begin{vmatrix} \bar{\phi}_1(1) & \bar{\phi}_1(2) \\ \phi_2(1) & \phi_2(2) \end{vmatrix} = |\phi_1\bar{\phi}_2\rangle + |\bar{\phi}_1\phi_2\rangle \\ \Psi_{\alpha\beta}^I &= \phi_1\bar{\phi}_2 - \bar{\phi}_1\phi_2 + \phi_2\bar{\phi}_1 - \bar{\phi}_2\phi_1 \\ &= \begin{vmatrix} \phi_1(1) & \phi_1(2) \\ \bar{\phi}_2(1) & \bar{\phi}_2(2) \end{vmatrix} - \begin{vmatrix} \bar{\phi}_1(1) & \bar{\phi}_1(2) \\ \phi_2(1) & \phi_2(2) \end{vmatrix} = |\phi_1\bar{\phi}_2\rangle - |\bar{\phi}_1\phi_2\rangle\end{aligned}$$

Two determinants necessary

Single-determinant situations:

- Closed-shell systems (for every occupied ϕ there is an occupied $\bar{\phi}$)
- High-spin systems (singly occupied orbitals have all the same spin)
- Combinations of both: $|1\bar{1}2\bar{2}\dots 5\bar{5}6879\rangle$

Calculate with Slater determinants

“Problem”: how to calculate $\hat{H} \Psi$ or

$$\langle \Psi | \hat{H} | \Psi \rangle = \langle \Psi | \hat{H} \Psi \rangle = \langle \hat{H}^\dagger \Psi | \Psi \rangle = \langle \hat{H} \Psi | \Psi \rangle$$

when Ψ is a Slater determinant, and \hat{H} the molecular Hamilton operator

$$\hat{H} = \sum_i \left(-\frac{1}{2} \Delta_i - \sum_A \frac{Z_A}{|\vec{R}_A - \vec{r}_i|} \right) + \sum_{i < j} \frac{1}{|\vec{r}_i - \vec{r}_j|}$$

with a one-electron part and a two-electron part

$$|\Psi\rangle = \frac{1}{\sqrt{(2n)!}} \begin{vmatrix} \phi_1(\vec{r}_1) & \phi_1(\vec{r}_2) & \dots & \phi_1(\vec{r}_{2n}) \\ \vdots & & \ddots & \vdots \\ \phi_n(\vec{r}_1) & & \dots & \phi_n(\vec{r}_{2n}) \\ \bar{\phi}_n(\vec{r}_1) & & \dots & \bar{\phi}_n(\vec{r}_{2n}) \end{vmatrix} = |1\bar{1}2\bar{2} \dots n\bar{n}\rangle$$

Calculate with Slater determinants

One-electron operator $\hat{O}_1 = \sum_{i=1}^{2n} \hat{h}_i$:

$$\begin{aligned}
 & \langle 1\bar{1}2\bar{2} \dots i \dots n\bar{n} | \hat{O}_1 | 1\bar{1}2\bar{2} \dots j \dots n\bar{n} \rangle \\
 = & \frac{1}{(2n)!} \left\langle \underbrace{\det}_{(2n)! \text{ terms}} \mid \underbrace{\sum}_{2n \text{ terms}} \mid \underbrace{\det}_{(2n)! \text{ terms}} \right\rangle \\
 = & \frac{1}{(2n)!} \int \dots \int f(\vec{r}_1, \vec{r}_2 \dots \vec{r}_{2n}) \left(\sum_k \hat{h}(\vec{r}_k) \right) g(\vec{r}_1, \vec{r}_2 \dots \vec{r}_{2n}) d^3 r_1 \dots d^3 r_{2n} \\
 = & \langle \phi_1 | \phi_i \rangle \langle \bar{\phi}_1 | \bar{\phi}_i \rangle \dots \langle \phi_i | \hat{h} | \phi_j \rangle \dots \langle \phi_n | \phi_n \rangle \langle \bar{\phi}_n | \bar{\phi}_n \rangle \\
 = & \langle \phi_i | \hat{h} | \phi_j \rangle
 \end{aligned}$$

- as each of the $(2n)!$ terms has to appear in the same ordering on the left and on the right,
- as each of $2n$ operators acts equally on each electron,
- as the integration factorizes,
- as e.g. $\langle \phi_1 | \hat{h} | \phi_1 \rangle \dots \langle \phi_i | \phi_j \rangle \dots \langle \bar{\phi}_n | \bar{\phi}_n \rangle = 0$.

Calculate with Slater determinants

One-electron operator $\hat{O}_1 = \sum_i \hat{h}_i$, other cases

$$\langle 1\bar{1}2\bar{2} \dots i \dots k \dots n\bar{n} | \hat{O}_1 | 1\bar{1}2\bar{2} \dots j \dots \ell \dots n\bar{n} \rangle = 0$$

$$\langle 1\bar{1}2\bar{2} \dots i \dots n\bar{n} | \hat{O}_1 | 1\bar{1}2\bar{2} \dots j \dots n\bar{n} \rangle = \langle \phi_i | \hat{h} | \phi_j \rangle$$

$$\langle 1\bar{1}2\bar{2} \dots n\bar{n} | \hat{O}_1 | 1\bar{1}2\bar{2} \dots n\bar{n} \rangle = 2 \sum_{i=1}^n \langle \phi_i | \hat{h} | \phi_i \rangle$$

Calculate with Slater determinants

Two-electron operator $\hat{O}_2 = \sum_{i < j} \hat{g}_{ij}$:

$$\begin{aligned} \langle 1\bar{1}2\bar{2} \dots i \dots j \dots k \dots n\bar{n} | \hat{O}_2 | 1\bar{1}2\bar{2} \dots p \dots q \dots r \dots n\bar{n} \rangle &= 0 \\ \langle 1\bar{1}2\bar{2} \dots i \dots \bar{j} \dots n\bar{n} | \hat{O}_2 | 1\bar{1}2\bar{2} \dots p \dots \bar{q} \dots n\bar{n} \rangle &= \langle \phi_i \phi_j | \hat{g} | \phi_p \phi_q \rangle \\ \langle 1\bar{1}2\bar{2} \dots i \dots j \dots n\bar{n} | \hat{O}_2 | 1\bar{1}2\bar{2} \dots p \dots q \dots n\bar{n} \rangle &= \langle \phi_i \phi_j | \hat{g} | \phi_p \phi_q \rangle \\ &\quad - \langle \phi_i \phi_j | \hat{g} | \phi_q \phi_p \rangle \end{aligned}$$

$$\begin{aligned} \langle 1\bar{1}2\bar{2} \dots i\bar{i} \dots n\bar{n} | \hat{O}_2 | 1\bar{1}2\bar{2} \dots p\bar{i} \dots n\bar{n} \rangle &= \sum_{k \neq i}^n 2 \langle \phi_k \phi_i | \hat{g} | \phi_k \phi_p \rangle - \langle \phi_k \phi_i | \hat{g} | \phi_p \phi_k \rangle \\ &\quad + \langle \phi_i \phi_i | \hat{g} | \phi_p \phi_i \rangle \end{aligned}$$

$$\langle 1\bar{1}2\bar{2} \dots n\bar{n} | \hat{O}_2 | 1\bar{1}2\bar{2} \dots n\bar{n} \rangle = \sum_{i=1}^n \sum_{j=1}^n 2 \langle \phi_i \phi_j | \hat{g} | \phi_i \phi_j \rangle - \langle \phi_i \phi_j | \hat{g} | \phi_j \phi_i \rangle$$

Slater-Condon rules (*J.C. Slater*, Phys.Rev., **34** (1929) 1293; *E.U. Condon*, Phys.Rev., **36** (1930) 1121)

Calculate with Slater determinants

Integral notations:

- Physicists:

$$\langle \phi_i \phi_j | \frac{\hat{1}}{r_{12}} | \phi_k \phi_l \rangle = \int \int \frac{\phi_i(\vec{r}_1) \phi_j(\vec{r}_2) \phi_k(\vec{r}_1) \phi_l(\vec{r}_2)}{|\vec{r}_1 - \vec{r}_2|} d^3 r_1 d^3 r_2$$

- Chemists:

$$(ij|kl) = \int \int \frac{\phi_i(\vec{r}_1) \phi_j(\vec{r}_1) \phi_k(\vec{r}_2) \phi_l(\vec{r}_2)}{|\vec{r}_1 - \vec{r}_2|} d^3 r_1 d^3 r_2$$

- Symmetry:

$$\begin{aligned} (ij|kl) &= (ji|kl) = (ji|lk) = (ij|lk) \\ &= (kl|ij) = (lk|ij) = (lk|ji) = (kl|ji) \end{aligned}$$

Hartree-Fock equations

Total energy for one single determinant (closed shell case):

$$\begin{aligned}
 E &= 2 \sum_{i \in occ.} h_{ii} + \sum_{i,j \in occ.} (2(ii|jj) - (ij|ij)) \\
 &= \sum_{\alpha,\beta} P_{\alpha\beta} h_{\alpha\beta} + \frac{1}{4} \sum_{\alpha,\beta} P_{\alpha\beta} \left(\sum_{\gamma,\delta} P_{\gamma\delta} (2(\alpha\beta|\gamma\delta) - (\alpha\delta|\gamma\beta)) \right)
 \end{aligned}$$

- Density matrix $P_{\alpha\beta} = 2 \sum_{i \in occ.} c_{\alpha i} c_{\beta i}$ in a (finite) basis
 $\phi_i(\vec{r}) = \sum_{\alpha} c_{\alpha i} \chi_{\alpha}(\vec{r})$.
- We have to derive E wrt the $c_{\alpha i}$ under the condition

$$\delta_{ij} = \langle \phi_i | \phi_j \rangle = \sum_{\alpha\beta} c_{\alpha i} c_{\beta j} S_{\alpha\beta}$$

$$\frac{\partial}{\partial c_{\gamma i}} \sum_{\alpha\beta} P_{\alpha\beta} h_{\alpha\beta} = 4 c_{\gamma i} h_{\gamma\gamma} + 2 \sum_{\beta \neq \gamma} c_{\beta i} h_{\beta\gamma} + 2 \sum_{\beta \neq \gamma} c_{\beta i} h_{\gamma\beta} = 4 \sum_{\beta} c_{\beta i} h_{\gamma\beta}$$

Hartree-Fock equations

Lagrange multipliers ϵ :

$$\mathcal{L} = E - 4 \sum_{ij} \epsilon_{ij} \left(\sum_{\alpha\beta} c_{\alpha i} c_{\beta j} S_{\alpha\beta} - \delta_{ij} \right)$$

leads to equations

$$\begin{aligned} 0 &= \frac{\partial}{\partial c_{\alpha i}} \mathcal{L} \\ &= 4 \sum_{\beta} c_{\beta i} \underbrace{\left(h_{\alpha\beta} + \sum_{\gamma\delta} P_{\gamma\delta} (2(\alpha\beta|\gamma\delta) - (\alpha\delta|\gamma\beta)) \right)}_{\text{Fock matrix } F_{\alpha\beta}} - 4 \sum_j \sum_{\beta} \epsilon_{ij} c_{\beta j} S_{\alpha\beta} \end{aligned}$$

Hartree-Fock equations

In matrix form:

$$F C = S C \epsilon$$

or

$$F \phi_i = \sum_j \epsilon_{ij} \phi_j$$

beyond the limitations of a (finite) basis set to express molecular orbitals ϕ_i

- Self-consistent (F contains the occupied orbitals ϕ_i) eigenvalue problem
- Orbitals for lowest energy within single-determinant wavefunction
- Unitary rotations ($U^\dagger = U^{-1}$) within the occupied or the virtual orbital space do not change the energy of wavefunction.
- Transform orbitals for ϵ diagonal: canonical orbitals

$$F \phi_i = \epsilon_i \phi_i$$

- Brillouin's theorem: occupied and virtual orbital do not mix: $F_{ia} = 0$ for any set of Hartree-Fock orbitals (canonical or not).

Basis sets

Hydrogen atom: spans not all possible space of one-electron functions.

- Nodeless Slater functions

$$\phi_{nlm}^{\text{STF}}(r, \theta, \varphi; \alpha) = Y_{lm}(\theta, \varphi) \times r^{n-1} \times e^{-\beta r} \quad l \leq n - 1$$

- Gaussian functions

$$\phi_{lm}^{\text{GTF}}(r, \theta, \varphi; \alpha) = Y_{lm}(\theta, \varphi) \times r^l \times e^{-\alpha r^2}$$

Why Gaussians ? Try to calculate a four-center bielectronic integral

$$\iint \frac{e^{-\alpha|\vec{r}_1 - \vec{R}_A|} e^{-\beta|\vec{r}_1 - \vec{R}_B|} e^{-\gamma|\vec{r}_2 - \vec{R}_C|} e^{-\delta|\vec{r}_2 - \vec{R}_D|}}{|\vec{r}_1 - \vec{r}_2|} d^3 r_1 d^3 r_2$$

Possible with Gaussians due to product formula

$$e^{-a(\vec{r} - \vec{R}_A)^2} e^{-b(\vec{r} - \vec{R}_B)^2} = e^{-\frac{ab}{a+b}(\vec{R}_A - \vec{R}_B)^2} e^{-(a+b)\left(\vec{r} - \frac{a\vec{R}_A + b\vec{R}_B}{a+b}\right)^2}$$

transforming a 4-center integral into a 2-center integral.

Basis sets

- Minimal basis sets: for each atomic occupied level a set of basis functions (H $1s$, C $1s2s2p$, Ti $1s2s2p3s3p3d4s$ etc.)
- Split-valence basis sets: multiple basis functions for each valence orbital (6-31G, double or triple ζ etc.)
- Even-tempered basis sets: Gaussian exponents obey geometrical law
 $\alpha_i = \alpha_0 \times \tau^i$
- add polarization functions of higher angular momentum
- add diffuse functions with small exponents for bond formation
- add correlation functions
- toward complete basis set.

Basis set libraries: <https://bse.pnl.gov/bse/portal> Basis Set Exchange with ≈ 500 basis sets.

- Nearly every quantum chemistry program has its library

Basis set extrapolations

- Larger basis set = lower energy
- Hartree-Fock limit: still 1 determinant
- Systematic constructions ?

Example: He atom in series of basis sets aug-cc-pvXz, X=2..6

X	HF energy (a.u.)	correlated energy (Full CI, a.u.)	# basis functions
2	-2.855704	-2.88955	11
3	-2.861183	-2.90060	28
4	-2.861522	-2.90253	58
5	-2.861627	-2.90320	108
6	-2.861673	-2.90346	186

Extrapolation: empirically $E(X) = A + \frac{B}{X^3}$

$$E(\infty) = A = E(X) - \frac{Y^3}{X^3 - Y^3} (E(X) - E(Y))$$

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Extrapolation

	A	B
HF	-2.86228	0.0509344
Full CI	-2.90431	0.1168

What do we have already: Hartree-Fock

Closed-shell systems

At disposition

- Molecular orbitals \longrightarrow density, multipolar moments
- Orbital energies \longrightarrow ionization potentials, excitation energies, band structures
- Total energy \longrightarrow geometry, dissociation energies, vibrational and rotational constants, IR spectra, polarizabilities, thermochemistry

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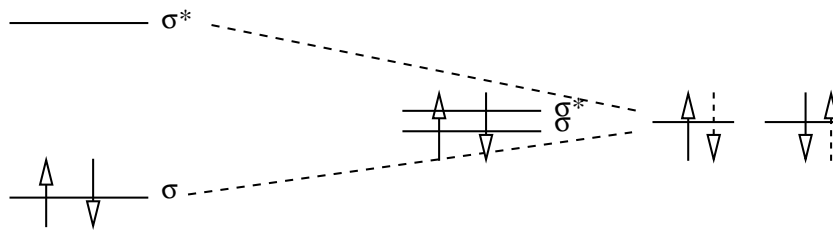
How do they perform?

- Good geometries, slightly too short bond lengths
- Good ionization potentials (Koopmans theorem)
- Bad binding energies (50 % of experimental values)
- Bad vibrational frequencies (much too high)
- Incapable to dissociate closed-shell systems continuously into two separated open-shell systems
- Only high-spin states possible, no open-shell singlet for instance

Bond dissociation

Calculation for H₂ in a minimal basis for different bond lengths

$$\sigma = s_A + s_B \qquad \sigma^* = s_A - s_B$$



- Equilibrium distance:

- Hartree-Fock $|\Phi_0\rangle = |\sigma\bar{\sigma}\rangle$

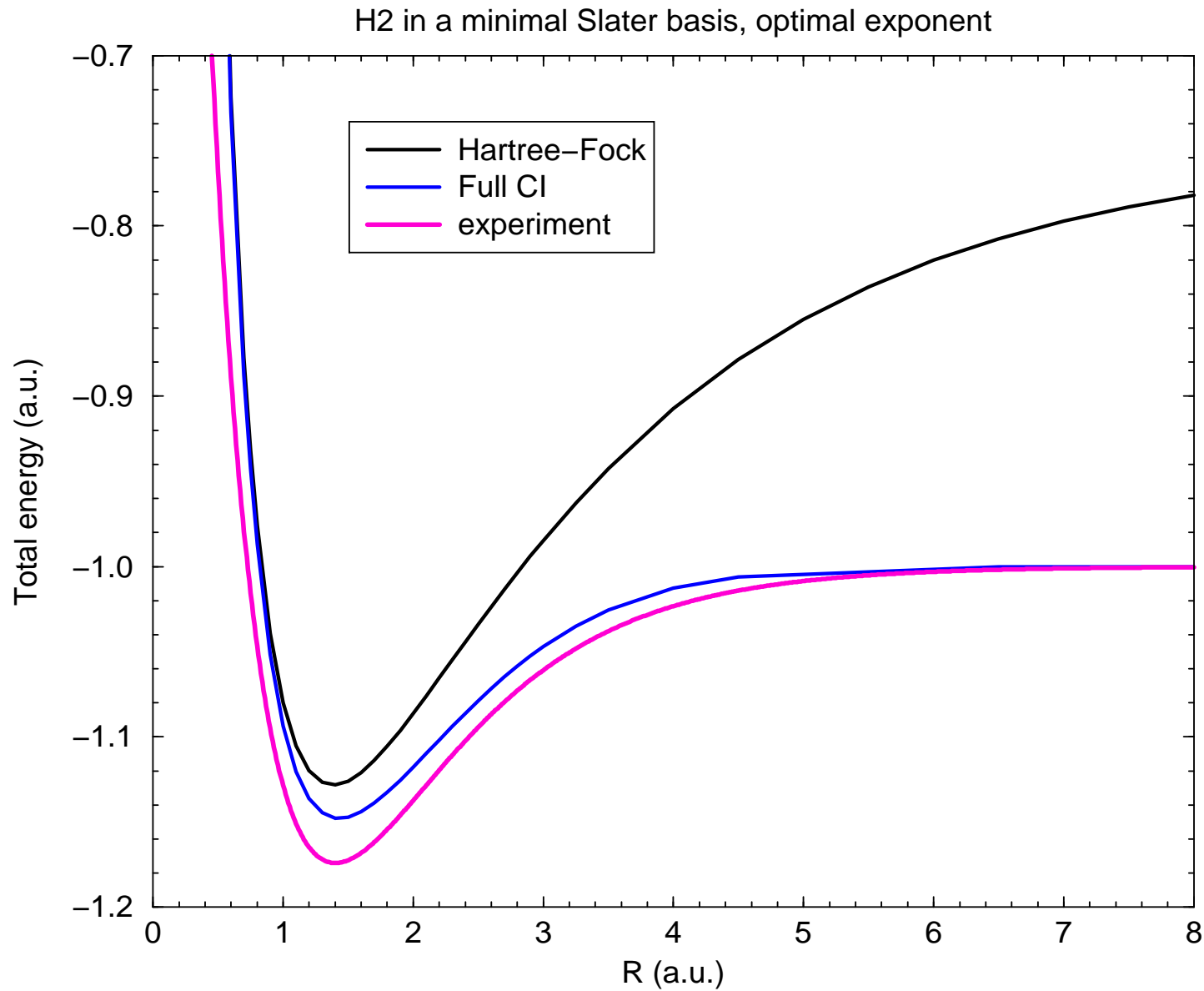
- 2-determinant wavefunction: $|\Psi\rangle = \sqrt{1 - \epsilon^2}|\sigma\bar{\sigma}\rangle + \epsilon|\sigma^*\bar{\sigma}^*\rangle$

Long distance:

$$|\Psi\rangle = a|\sigma\bar{\sigma}\rangle + b|\sigma^*\bar{\sigma}^*\rangle \quad \text{with } |a| \approx |b| \approx 1/\sqrt{2}$$

in order to have $|s_A\bar{s}_B\rangle + |s_B\bar{s}_A\rangle$ without the ionic configurations $|s_A\bar{s}_A\rangle$ and $|s_B\bar{s}_B\rangle$

Bond dissociation



Bond dissociation

Hartree-Fock:

$$\begin{aligned} |\Psi\rangle &= \begin{vmatrix} \sigma(1) & \sigma(2) \\ \bar{\sigma}(1) & \bar{\sigma}(2) \end{vmatrix} = \begin{vmatrix} (s_A + s_B)(1) & (s_A + s_B)(2) \\ (\bar{s}_A + \bar{s}_B)(1) & (\bar{s}_A + \bar{s}_B)(2) \end{vmatrix} \\ &= |s_A \bar{s}_A\rangle + |s_B \bar{s}_B\rangle + |s_A \bar{s}_B\rangle + |s_B \bar{s}_A\rangle \end{aligned}$$

Two regimes of deviation from experiment:

- $R \approx R_{eq}$: small, but significant contribution, dynamical electron correlation
- $R \rightarrow \infty$: $c_0 = c_1$, nondynamical electron correlation
- Electron correlation = insufficiency of “1 electron = 1 orbital”

Definition of the correlation energy

$$E_{Corr} = E - E_{HF}$$

Two common approaches to dynamical correlation:

1. Perturbation theory
2. Configuration Interaction

Electron Correlation

Treat it in a mixed order:

- Configuration Interaction
- MCSCF and bond breaking
- Dynamical correlation
 - Configuration Interaction of Single and Double excitations
 - Perturbation theory
 - Coupled-Cluster Theory

Configuration interaction

- Add additional determinants

$$\Psi = c_0 \Phi_0 + \sum_I c_I \Phi_I$$

- Minimize the total energy under the constraint $\langle \Psi | \Psi \rangle = 1$:

$$E(\{c_I\}) = \left\langle c_0 \Phi_0 + \sum_I c_I \Phi_I \left| \mathbf{H} \right| c_0 \Phi_0 + \sum_J c_J \Phi_J \right\rangle$$

$$\mathcal{L}(\{c_I\}; \lambda) = E(\{c_I\}) - \lambda (\langle \Psi | \Psi \rangle - 1)$$

- System of linear equations

$$\frac{\partial \mathcal{L}(\{c_I\}; \lambda)}{\partial c_I} = 0; \quad \frac{\partial \mathcal{L}(\{c_I\}; \lambda)}{\partial \lambda} = 0$$

Configuration interaction

Written as matrix equation:

$$\begin{pmatrix} \langle 0|\mathbf{H}|0\rangle & \dots & \langle 0|\mathbf{H}|I\rangle & \dots \\ \vdots & & & \vdots \\ \langle 0|\mathbf{H}|J\rangle & \dots & \langle I|\mathbf{H}|J\rangle & \dots \\ \vdots & & & \vdots \end{pmatrix} \cdot \begin{pmatrix} c_0 \\ \vdots \\ c_I \\ \vdots \end{pmatrix} = \lambda \begin{pmatrix} c_0 \\ \vdots \\ c_J \\ \vdots \end{pmatrix}$$

Identify λ with the total energy from the functional:

$$E(\{c_I\}) = \sum_I c_I \underbrace{\sum_J c_J \langle \Phi_I | \hat{H} | \Phi_J \rangle}_{=\lambda c_I} = \lambda \sum_I c_I^2 = \lambda$$

Configuration interaction

Subtract $E_{HF} = \langle \Phi_0 | \mathbf{H} | \Phi_0 \rangle$ from the diagonal:

$$\begin{pmatrix} 0 & \dots & \langle 0 | \mathbf{H} | I \rangle & \dots \\ \vdots & \ddots & & \vdots \\ \langle 0 | \mathbf{H} | I \rangle & \dots & \langle I | \mathbf{H} | I \rangle - E_{HF} & \dots \\ \vdots & & \ddots & \end{pmatrix} \cdot \begin{pmatrix} c_0 \\ \vdots \\ c_I \\ \vdots \end{pmatrix} = E_{Corr} \begin{pmatrix} c_0 \\ \vdots \\ c_J \\ \vdots \end{pmatrix}$$

- Intermediate norm: $c_0 = 1$:

$$E_{total} = \langle \Phi_0 | \mathbf{H} | \Psi \rangle = E_{HF} + \sum_{I \neq 0} c_I \langle 0 | \mathbf{H} | \Phi_I \rangle$$

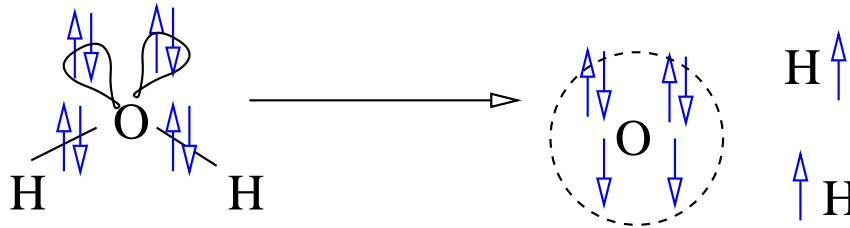
$$E_{Corr} = \sum_{I \neq 0} c_I \langle 0 | \mathbf{H} | \Phi_I \rangle$$

- The correlation energy is **entirely** determined by the coefficients of the di-excitations

MCSCF: general considerations

Few electrons in a few orbitals to consider

- H_2O : 4 electrons in 4 orbitals to start with



- Better: 8 electrons in 6 orbitals (s on H, $2sp$ on O)
- Full CI in this subspace

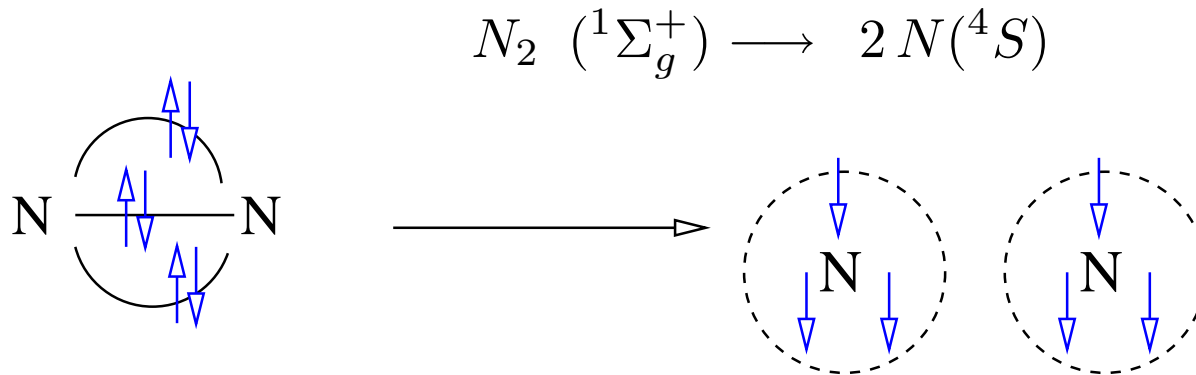
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Other example:

- Triple bond in N₂: 6 electrons of the 14 possible in 6 atomic *2p* orbitals



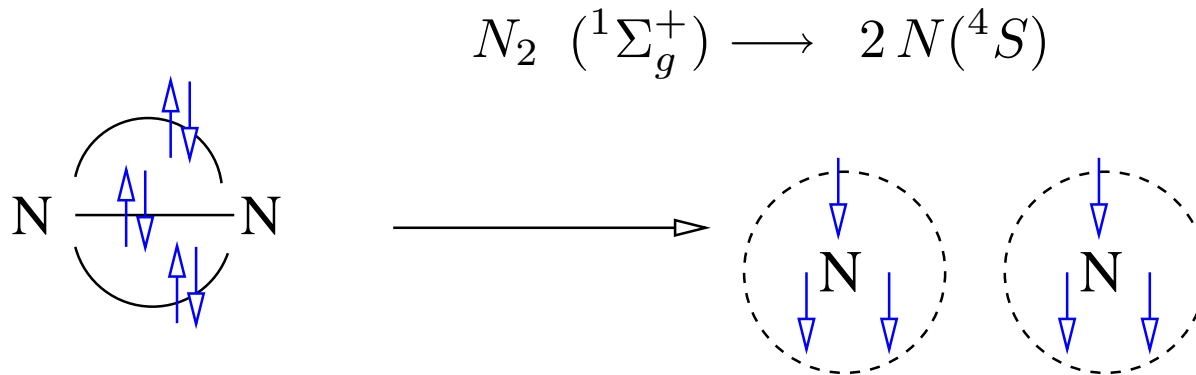
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Other example:

- Triple bond in N₂: 6 electrons of the 14 possible in 6 atomic *2p* orbitals



Need for multiconfigurational wavefunctions

Non-dynamical correlation

General considerations

2 ingredients needed:

- Where to look for orbitals?
- Which determinants to take?

Hartree-Fock orbitals optimized for one single determinant.

- Average over different occupations?
- But how to treat open-shell determinants? No spin eigenfunctions!

Simultaneous optimization of orbitals and wavefunctions:

Multiconfigurational Self-Consistent Field (M C S C F)

Super-CI method

Alternative to the diagonalization of the Fock matrix

1. Starting orbitals
2. Orthonormalize the orbitals
3. Construct Fock matrix, calculate total energy
4. Construct CI matrix of single excitations

$$\langle \Phi_i^a | \hat{H} | \Phi_j^b \rangle \approx F_{ab} \delta_{ij} - F_{ij} \delta_{ab}$$

5. Use the CI coefficients of

$$|\Psi\rangle = |\Phi_0\rangle + \frac{1}{\sqrt{2}} \sum_{ia} c_i^a (|\Phi_i^a\rangle + |\Phi_i^{\bar{a}}\rangle)$$

to correct the orbitals (occupied and virtual ones) through

$$\phi'_i = \phi_i + \sum_a c_i^a \phi_a ; \quad \phi'_a = \phi_a - \sum_i c_i^a \phi_i$$

6. Go to step 2

Super-CI method

If canonical orbitals are required, then diagonalize **once** the converged Fock matrix

- Orbitals change through mono-excitations
- Excitation operator

$$\begin{aligned}\hat{E}_{ia} &= \frac{1}{\sqrt{2}} \left(\hat{a}_{a,\alpha}^\dagger \hat{a}_{i,\alpha} + \hat{a}_{a,\beta}^\dagger \hat{a}_{i,\beta} \right) \\ \hat{E}_{ia} |\Phi_0\rangle &= \frac{1}{\sqrt{2}} \left(|\Phi_i^a\rangle + |\Phi_i^{\bar{a}}\rangle \right) \\ |\Psi\rangle &= \left(1 + \sum_{ia} c_i^a \hat{E}_{ia} \right) |\Phi_0\rangle\end{aligned}$$

- Convergence achieved if Brillouin's theorem is satisfied

$$\langle \Phi_0 | \hat{H} | \Phi_i^a \rangle = F_{ia} \longrightarrow 0$$

or total energy changes smaller than a given threshold

Super-CI method

If canonical orbitals are required, then diagonalize **once** the converged Fock matrix

- Orbitals change through mono-excitations
- Excitation operator
 - Creation/destruction operators obey anti-commutator rules:
 - Creation operators \hat{a}_i^\dagger , destruction operators \hat{a}_i
 - Definition for an antisymmetric wavefunction:

$$\begin{aligned}\hat{a}_i |n_1 \dots n_i \dots\rangle &= (-1)^{\sum_{k<i} n_k} n_i |n_1 \dots 0_i \dots\rangle = \theta_i n_i |n_1 \dots 0_i \dots\rangle \\ \hat{a}_i^\dagger |n_1 \dots n_i \dots\rangle &= \theta_i (1 - n_i) |n_1 \dots 1_i \dots\rangle\end{aligned}$$

- Wavefunction is antisymmetric: the order of creation is important
- $\hat{a}_i^\dagger \hat{a}_j^\dagger = -\hat{a}_j^\dagger \hat{a}_i^\dagger$, or $\hat{a}_i^\dagger \hat{a}_j^\dagger + \hat{a}_j^\dagger \hat{a}_i^\dagger = 0$
- the same holds for the destruction operators: $\hat{a}_i \hat{a}_j = -\hat{a}_j \hat{a}_i$
- We may destroy an existing particle or hole and recreate it, or create a non-existing particle or hole and destroy it: $\hat{a}_i^\dagger \hat{a}_i + \hat{a}_i \hat{a}_i^\dagger = 1$

$$\hat{E}_{ia} = \frac{1}{\sqrt{2}} \left(\hat{a}_{a,\alpha}^\dagger \hat{a}_{i,\alpha} + \hat{a}_{a,\beta}^\dagger \hat{a}_{i,\beta} \right)$$

Super-CI method

If canonical orbitals are required, then diagonalize **once** the converged Fock matrix

- Orbitals change through mono-excitations
- Excitation operator

$$\begin{aligned}\hat{E}_{ia} &= \frac{1}{\sqrt{2}} \left(\hat{a}_{a,\alpha}^\dagger \hat{a}_{i,\alpha} + \hat{a}_{a,\beta}^\dagger \hat{a}_{i,\beta} \right) \\ \hat{E}_{ia} |\Phi_0\rangle &= \frac{1}{\sqrt{2}} \left(|\Phi_i^a\rangle + |\Phi_i^{\bar{a}}\rangle \right) \\ |\Psi\rangle &= \left(1 + \sum_{ia} c_i^a \hat{E}_{ia} \right) |\Phi_0\rangle\end{aligned}$$

- Convergence achieved if Brillouin's theorem is satisfied

$$\langle \Phi_0 | \hat{H} | \Phi_i^a \rangle = F_{ia} \longrightarrow 0$$

or total energy changes smaller than a given threshold

Generalization

Matrix elements between determinants $|\Phi_m\rangle$ and $|\Phi_n\rangle$

- Monoelectronic operator \hat{h} :

$$\begin{aligned}\langle \Phi_m | \hat{h} | \Phi_n \rangle &= \sum_{ij} h_{ij} \langle \Phi_m | \hat{E}_{ij} | \Phi_n \rangle \\ &= \sum_{ij} h_{ij} D_{ij}^{mn}\end{aligned}$$

- Bi-electronic operator \hat{G} :

$$\begin{aligned}\langle \Phi_m | \hat{G} | \Phi_n \rangle &= \frac{1}{2} \sum_{ijkl} g_{ijkl} \langle \Phi_m | \hat{E}_{ij} \hat{E}_{kl} - \delta_{jk} \hat{E}_{il} | \Phi_n \rangle \\ &= \sum_{ij} g_{ijkl} P_{ijkl}^{mn}\end{aligned}$$

Generalization

$$|\Psi\rangle = |\Phi_0\rangle + \sum_n c_n |\Phi_n\rangle$$

- First-order density matrix (or 1-particle density matrix)

$$D_{ij} = \langle \Psi | \hat{E}_{ij} | \Psi \rangle = \sum_{mn} c_m^* c_n D_{ij}^{mn}$$

- Second-order density matrix (or 2-particle density matrix)

$$P_{ijkl} = \frac{1}{2} \langle \Psi | \hat{E}_{ij} \hat{E}_{kl} - \delta_{jk} \hat{E}_{il} | \Psi \rangle = \sum_{mn} c_m^* c_n P_{ijkl}^{mn}$$

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$$|\Psi\rangle = |\Phi_0\rangle + \sum_n c_n |\Phi_n\rangle$$

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$$P_{ijkl} = \frac{1}{2} \langle \Psi | \hat{E}_{ij} \hat{E}_{kl} - \delta_{jk} \hat{E}_{il} | \Psi \rangle = \sum_{mn} c_m^* c_n P_{ijkl}^{mn}$$

- Total energy

$$E_{\text{tot}} = \langle \Psi | \hat{H} | \Psi \rangle = \sum_{ij} h_{ij} D_{ij} + \sum_{ijkl} g_{ijkl} P_{ijkl}$$

- h_{ij} and g_{ijkl} depend only on the molecular orbitals
- D_{ij} and P_{ijkl} depend only on the wavefunction expansion coefficients c_n .

Density matrices

Hartree-Fock:

$$E_{HF} = 2 \sum_{i \in occ} h_{ii} + \sum_{ij \in occ} 2(ii|jj) - (ij|ji)$$

fits in the same expression as above with the density matrices

$$D_{ij} = \begin{cases} 2 & i = j; i \in occ. \\ 0 & \text{otherwise} \end{cases}$$

$$P_{ijkl} = \begin{cases} 2 & i = j, k = l, i \neq k; all \in occ. \\ 1 & i = j = k = l; i \in occ. \\ -1 & i = l, j = k, i \neq j; i, j \in occ. \\ 0 & \text{otherwise} \end{cases}$$

Only entries 0, 1, 2 or -1 in these matrices in the 1-determinant case.

$$E_{HF} = \sum_{ij \in all} D_{ij} h_{ij} + \sum_{ijkl \in all} P_{ijkl} (ij|kl)$$

Density matrices

- One-electron properties:

Multipolar moments, electrostatic potentials as $\sum_{ij} D_{ij} \langle \phi_i | \hat{O} | \phi_j \rangle$

- Integrals of the operator in molecular orbitals
- Alternative: integrals and density matrix in atomic orbitals

$$F_{\alpha\beta} = h_{\alpha\beta} + \sum_{\gamma\delta} D_{\gamma\delta} [2(\alpha\beta|\gamma\delta) - (\alpha\delta|\gamma\beta)]$$

- Mulliken population analysis

$$N_A = \sum_{\alpha\beta \in A} D_{\alpha\beta} S_{\alpha\beta}$$

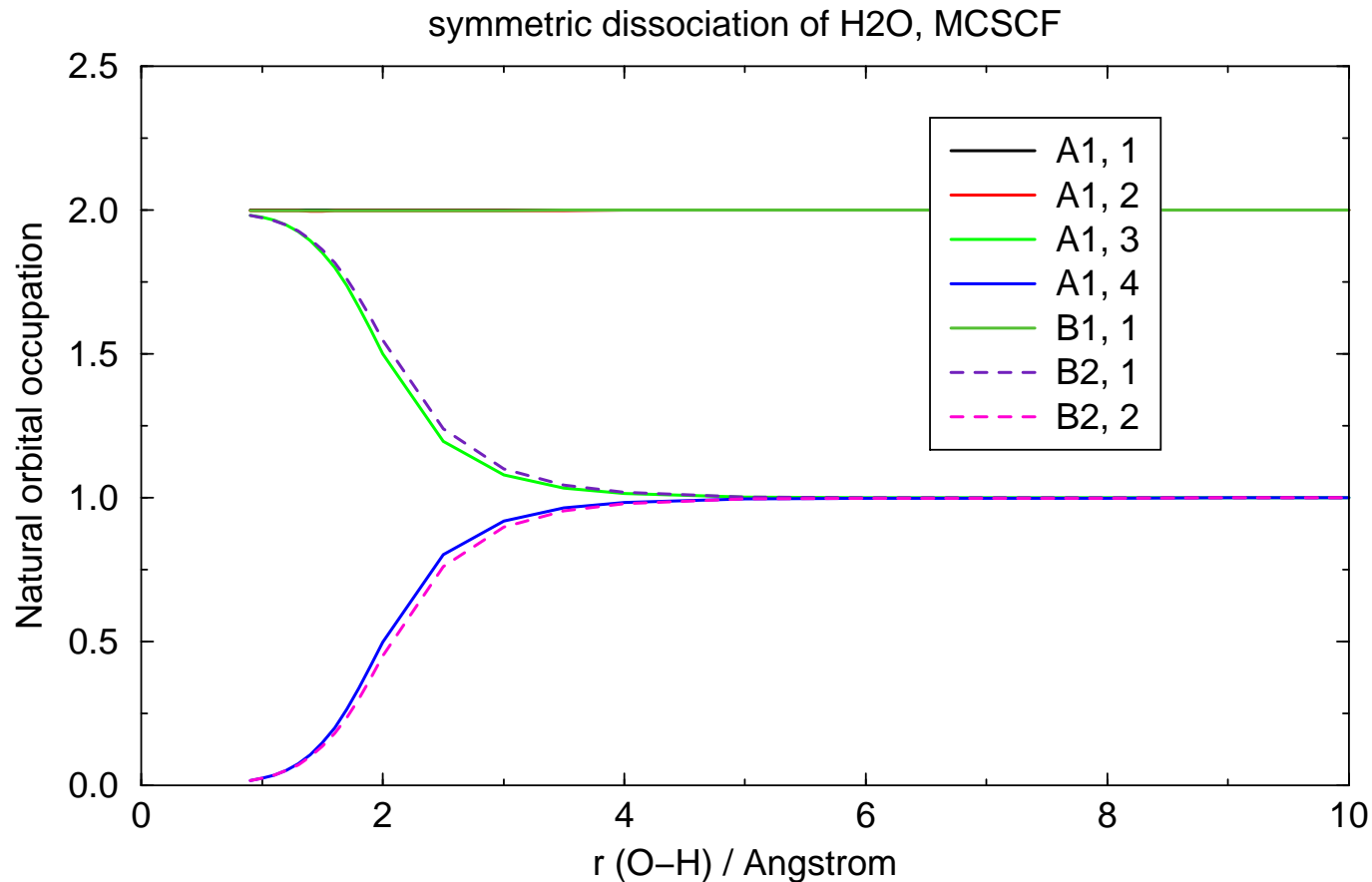
- Dipole moment

$$\vec{\mu} = \sum_{\alpha\beta} D_{\alpha\beta} \langle \chi_\alpha | \hat{r} | \chi_\beta \rangle = \sum_{i \in occ} D_{ii} \langle \phi_i | \hat{r} | \phi_i \rangle$$

Natural orbitals

We may diagonalize the multi-determinantal 1-particle matrix D_{ij} : orbitals with fractional occupation numbers.

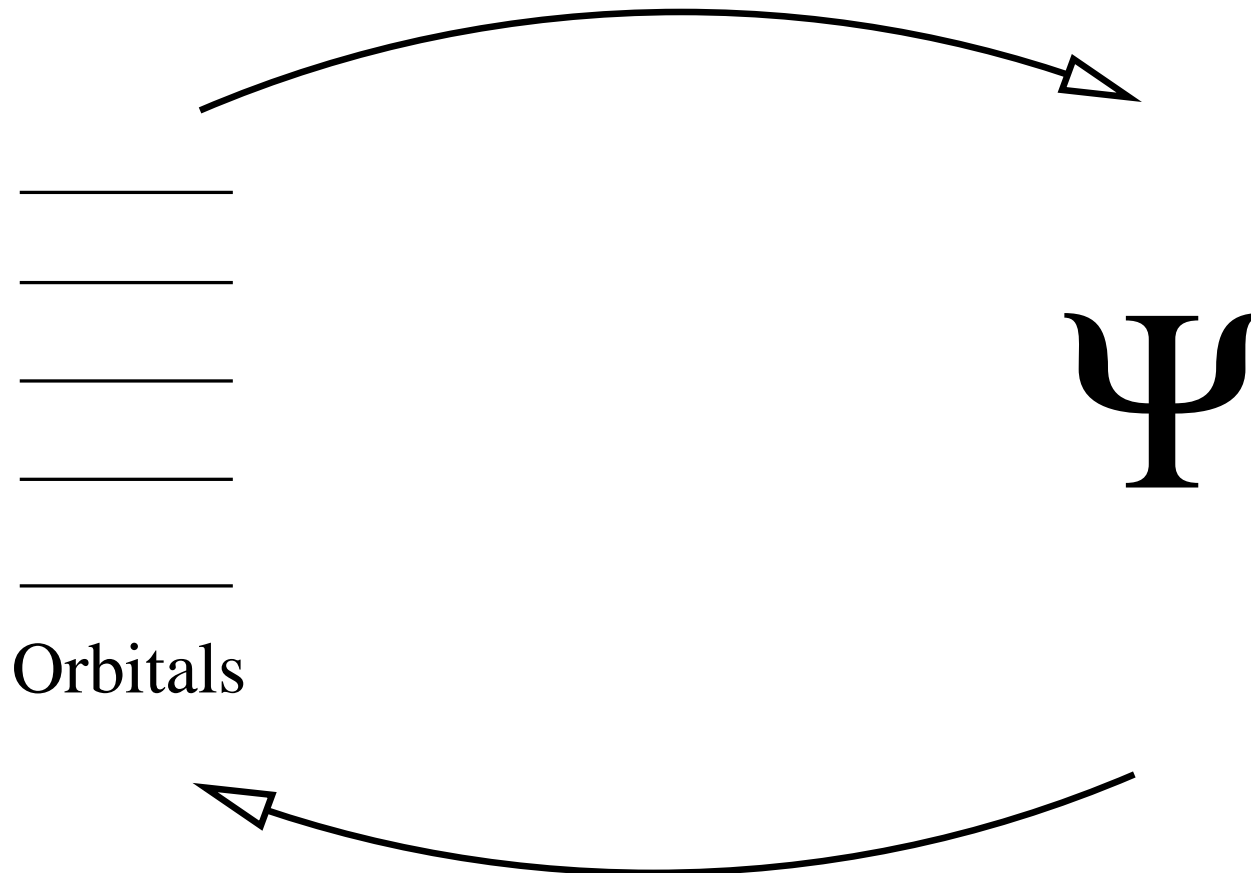
- ≈ 2 electrons: closed shell
- ≈ 1 electron : singly occupied orbital
- ≈ 0 electrons: virtual orbitals



Remains to work

Derivatives of the total energy with respect to orbitals and CI coefficients:

- Derivatives of h_{ij} and g_{ijkl} wrt orbital expansion coefficients
- Derivatives of the density matrices wrt to WF expansion coefficients
- Alternate between orbital and WF optimization steps



Remains to work

Rotations in the parameter space via unitary matrices U with $U^\dagger U = U U^\dagger = 1$.

- Conserves orthonormality
- Can be expressed as exponential of an anti-hermitian matrix T ,

$$T^\dagger = -T \quad : \quad U = e^{\hat{T}}$$

- Orbital rotations (parameters are the matrix elements T_{ij}):

$$\hat{T} = \sum_{i>j} T_{ij} \left(\hat{E}_{ij} - \hat{E}_{ji} \right)$$

- Wavefunction rotations: $\hat{S} = \sum_{K \neq 0} S_{K0} (|\Psi_K\rangle \langle \Psi_0| - |\Psi_0\rangle \langle \Psi_K|)$
- Transformation of a general multireference state $|\Psi_0\rangle$ into another

$$|\Psi\rangle = e^{\hat{T}} e^{\hat{S}} |\Psi_0\rangle$$

Finally the optimization

- Total energy after application of the rotations on an initial multi-configurational state $|\Psi_0\rangle$:

$$E_{\text{tot}} = \langle \Psi_0 | e^{-\hat{S}} e^{-\hat{T}} \hat{H} e^{\hat{T}} e^{\hat{S}} | \Psi_0 \rangle$$

- Expansion of the exponentials to 2nd order:

$$\begin{aligned} E_{\text{tot}} = & \langle \Psi_0 | \hat{H} | \Psi_0 \rangle + \langle \Psi_0 | [\hat{H}, \hat{T}] | \Psi_0 \rangle + \langle \Psi_0 | [\hat{H}, \hat{S}] | \Psi_0 \rangle + \\ & + \frac{1}{2} \langle \Psi_0 | [[\hat{H}, \hat{T}], \hat{T}] | \Psi_0 \rangle + \frac{1}{2} \langle \Psi_0 | [[\hat{H}, \hat{S}], \hat{S}] | \Psi_0 \rangle \\ & + \langle \Psi_0 | [[[\hat{H}, \hat{T}], \hat{S}]] | \Psi_0 \rangle + \dots \end{aligned}$$

- Taylor expansion in the rotational parameters T_{ij} and S_{K0}

Finally the optimization

- Newton-Raphson procedure:

$$\begin{aligned} E(\mathbf{x}) &= E(0) + \mathbf{a}^\dagger \cdot \mathbf{x} + \frac{1}{2} \mathbf{x}^\dagger \mathbf{B} \mathbf{x} \\ \mathbf{a} + \mathbf{B} \cdot \mathbf{x} &= 0 \\ \mathbf{x} &= -\mathbf{B}^{-1} \cdot \mathbf{a} \end{aligned}$$

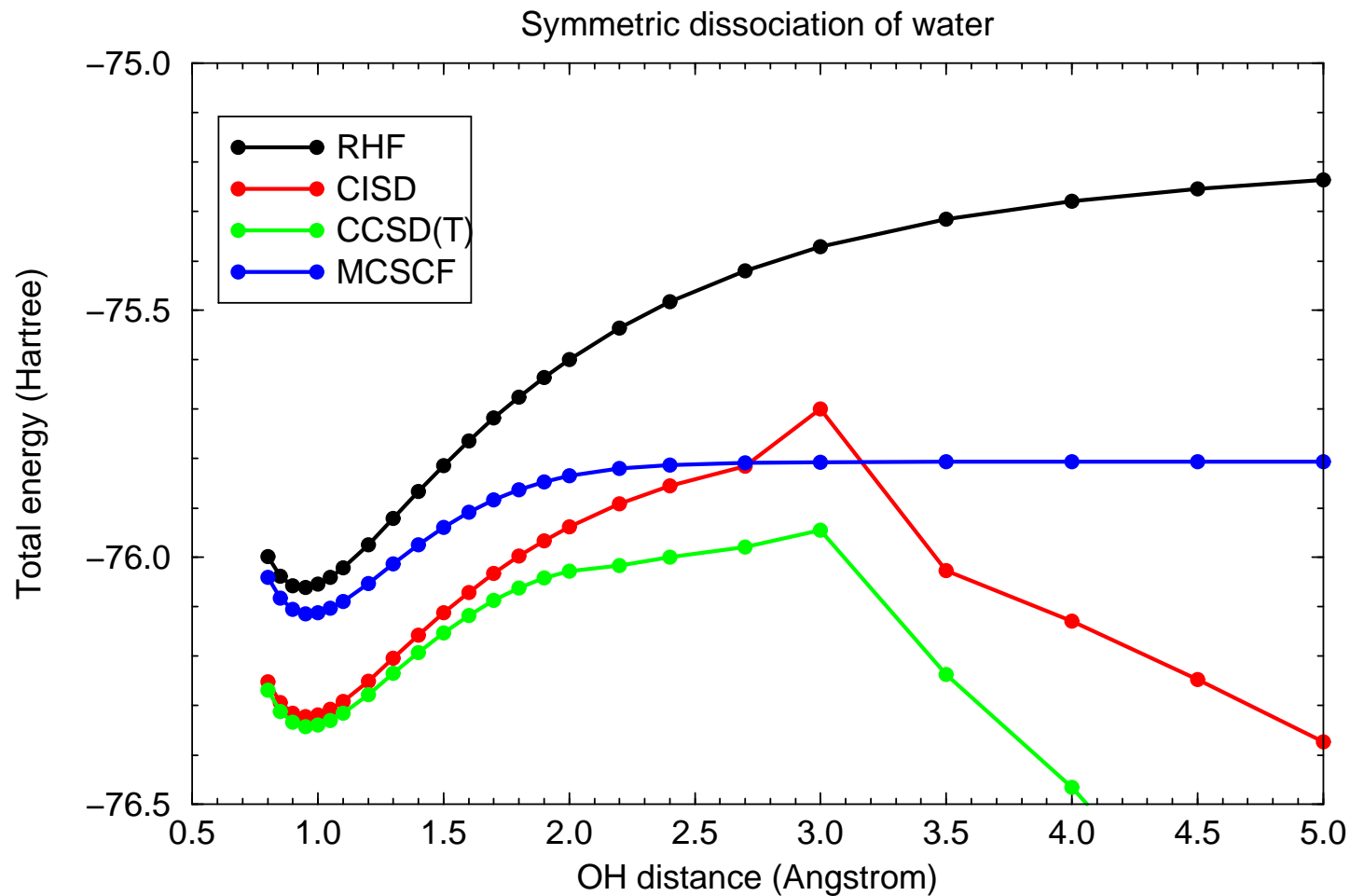
- Identify:

$$\begin{aligned} \mathbf{a}_{T_{ij}} &= \left(\frac{\partial E}{\partial T_{ij}} \right) = \langle \Psi_0 | [\hat{H}, \hat{E}_{ij} - \hat{E}_{ji}] | \Psi_0 \rangle \\ \mathbf{a}_{S_{K0}} &= \left(\frac{\partial E}{\partial S_{K0}} \right) = 2 \langle \Psi_0 | \hat{H} | \Psi_K \rangle \end{aligned}$$

Three types of 2nd derivatives: orbital–orbital, WF–orbital, WF–WF

Has to be implemented

Symmetric dissociation of water



Practical aspects

- Much more expensive than RHF
- Grows exponentially with number of active orbitals
- Definition of active space not straightforward: all valence electrons in all valence atomic orbitals may be too large
- Convergence not assured
- Active space may change for different points on a potential surface
- Starting point for calculations on
 - Open-shell systems
 - Electronically excited states
 - Resonant singlet systems

CI of Singles and Doubles

Number of configurations: N orbitals, n electrons α, β

$$N_{\text{Det}} = \binom{N}{n}^2 = \left(\frac{N!}{n!(N-n)!} \right)^2$$

Example: H₂O, 10 electrons, 40 orbitals (small basis) $n = 5$, $N = 40$:
432974528064 = 4.6×10^{11} determinants

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Possible solution: limit the number of excitations

- only doubly excited determinants in the expression for the correlation energy
- Contribution of triples and quadruples small in MP4

Spin conservation during an excitation:

$$\longrightarrow 78225 = \underbrace{(5 \times 4) \times 2 \times 35 \times 34}_{\text{same spin}} + \underbrace{(5 \times 5) \times 35 \times 35}_{\text{opposite spin}} \text{ determinants}$$

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Additional reduction of the number of determinants:

- by spatial symmetry
- by spin combination: 4 open shells \rightarrow 1 quintet, 3 triplets, 2 singlets

CI of Singles and Doubles

Too many determinants to hold the matrix of all $\langle \Phi_I | H | \Phi_J \rangle$

Iterative solution of the eigenvalue problem, we are only interested in the **best** wavefunction (Davidson procedure):

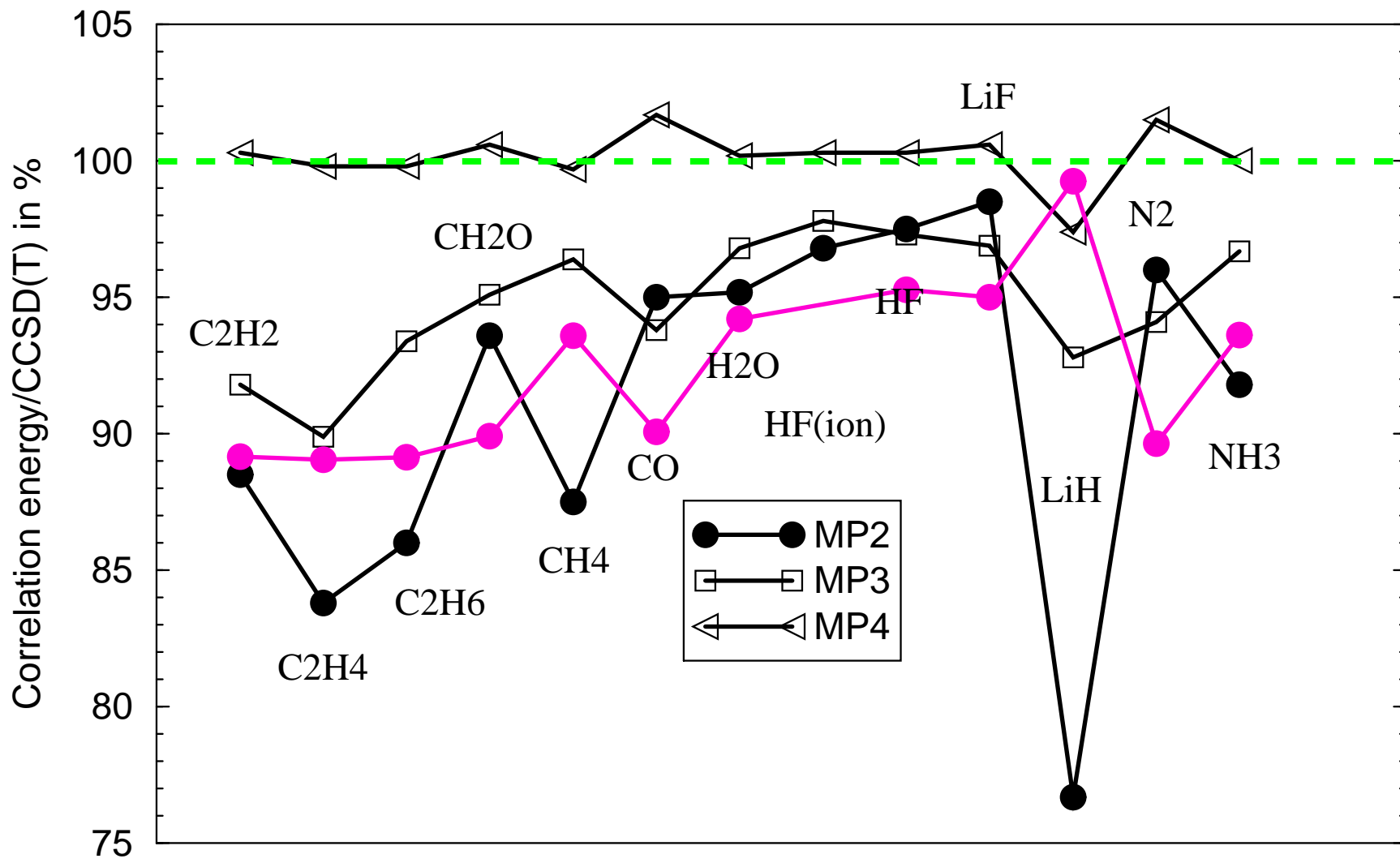
- Starting wavefunction (Φ_{HF} or $\Phi_{\text{HF}} + \Psi^{(1)}$)
- Form $|\tilde{\Psi}'\rangle = -(H_0 - \langle \Psi | \hat{H} | \Psi \rangle)^{-1} (\hat{H} - \langle \Psi | \hat{H} | \Psi \rangle) |\Psi\rangle$, construct the 2×2 matrix

$$\begin{pmatrix} \langle \Psi | H | \Psi \rangle & \langle \Psi | H | \tilde{\Psi}' \rangle \\ \langle \tilde{\Psi}' | H | \Psi \rangle & \langle \tilde{\Psi}' | H | \tilde{\Psi}' \rangle \end{pmatrix}$$

diagonalize it; eigenvector is a better WF Ψ'

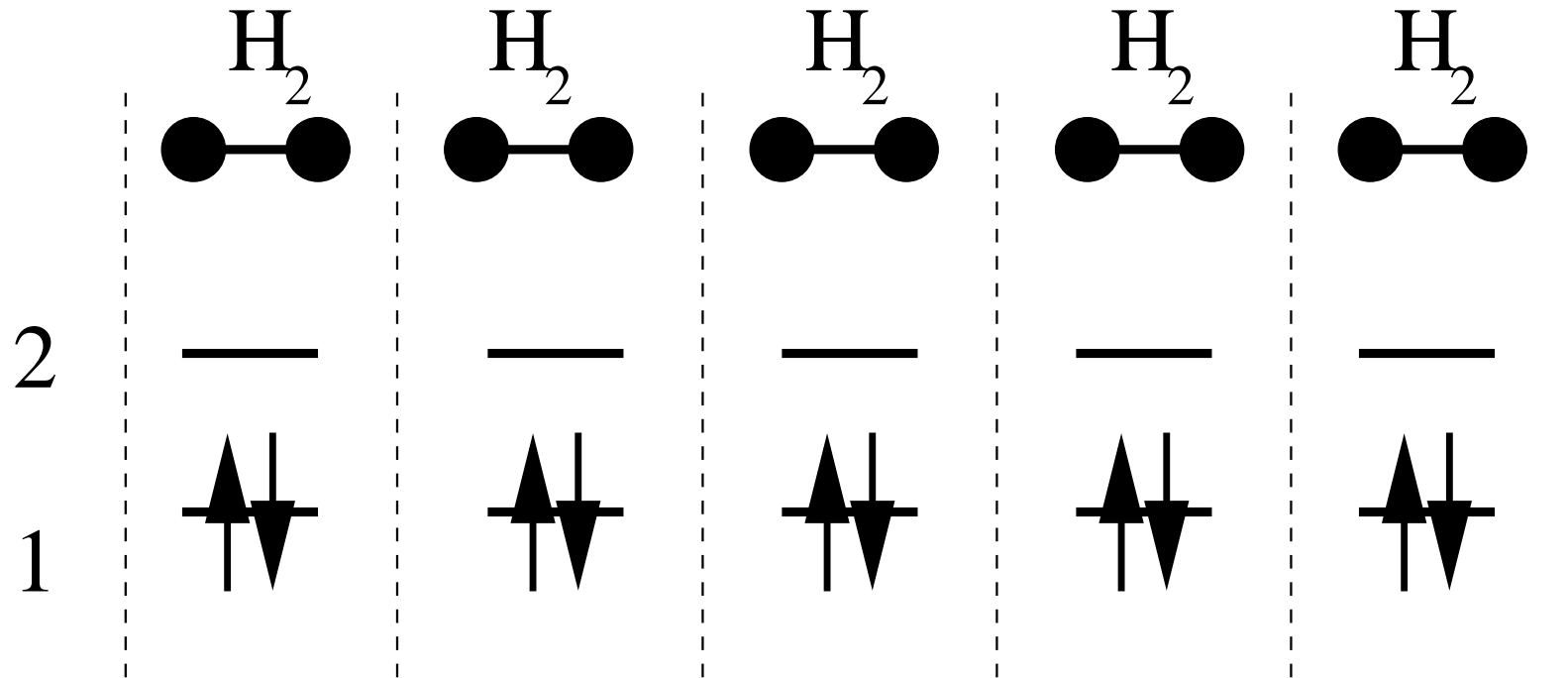
- Construct $|\tilde{\Psi}''\rangle = -(H_0 - \langle \Psi' | \hat{H} | \Psi' \rangle)^{-1} (\hat{H} - \langle \Psi' | \hat{H} | \Psi' \rangle) |\Psi'\rangle$, augment the 2×2 matrix by one line and column: 3×3 matrix
- diagonalize, best vector gives $|\Psi''\rangle$
- Continue until convergence
- Convergence in ca. 10 iterations

CI of Singles and Doubles



Wrong scaling property of SD-CI

Model of N hydrogen molecules in minimal basis, without interaction



Wrong scaling property of SD-CI

- One single H₂ molecule:

$$\begin{aligned}\langle \Phi_{1\bar{1}} | \mathbf{H} | \Phi_{1\bar{1}} \rangle &= E_{HF} = 2h_{11} + (11|11) \\ \langle \Phi_{2\bar{2}} | \mathbf{H} | \Phi_{2\bar{2}} \rangle &= E_{2\bar{2}} = 2h_{22} + (22|22) \\ \langle \Phi_{1\bar{1}} | \mathbf{H} | \Phi_{2\bar{2}} \rangle &= (12|12) = K_{12}\end{aligned}$$

-

$$\begin{pmatrix} E_{HF} & K_{12} \\ K_{12} & E_{2\bar{2}} \end{pmatrix} \begin{pmatrix} 1 \\ c_{2\bar{2}} \end{pmatrix} = E \begin{pmatrix} 1 \\ c_{2\bar{2}} \end{pmatrix}$$

with eigenvalues

$$\begin{aligned}E_{\pm} &= E_{HF} + \underbrace{\frac{E_{2\bar{2}} - E_{HF}}{2}}_{\Delta} \pm \sqrt{\left(\frac{E_{2\bar{2}} - E_{HF}}{2}\right)^2 + K_{12}^2} \\ &= E_{HF} + \underbrace{\Delta \pm \sqrt{\Delta^2 + K_{12}^2}}_{E_{Corr}}\end{aligned}$$

Wrong scaling property of SD-CI

N independent molecules ($(N - 1)E_{HF}$ subtracted):

$$\begin{pmatrix} E_{HF} & K_{12} & \dots & \dots & K_{12} \\ K_{12} & E_{2\bar{2}} & 0 & \dots & 0 \\ \vdots & 0 & \ddots & \ddots & \vdots \\ \vdots & \vdots & \ddots & \ddots & 0 \\ K_{12} & 0 & \dots & 0 & E_{2\bar{2}} \end{pmatrix} \begin{pmatrix} 1 \\ \vdots \\ c_{2\bar{2},I} \\ \vdots \\ \vdots \end{pmatrix} = E \begin{pmatrix} 1 \\ \vdots \\ c_{2\bar{2},I} \\ \vdots \\ \vdots \end{pmatrix}$$

In fact only two equations:

$$K_{12} + c_{2\bar{2}} E_{2\bar{2}} = c_{2\bar{2}} (E_{HF} + E_{Corr}) \longrightarrow c_{2\bar{2}} = \frac{K_{12}}{E_{Corr} - 2\Delta}$$

$$E_{HF} + N K_{12} c_{2\bar{2}} = E_{HF} + E_{Corr} \longrightarrow E_{Corr} = N c_{2\bar{2}} K_{12} = \frac{N K_{12}^2}{E_{Corr} - 2\Delta}$$

Wrong scaling property of SD-CI

Solution of the quadratic equation

$$E_{Corr}^2 - 2 \Delta E_{Corr} - N K_{12}^2 = 0$$

$$E_{Corr} = \Delta - \sqrt{\Delta^2 + N K_{12}^2} \sim \sqrt{N}$$

Default of the size consistence !

Wrong scaling property of SD-CI

Solution of the quadratic equation

$$E_{\text{Corr}}^2 - 2 \Delta E_{\text{Corr}} - N K_{12}^2 = 0$$

$$E_{\text{Corr}} = \Delta - \sqrt{\Delta^2 + N K_{12}^2} \sim \sqrt{N}$$

Default of the size consistence !

- Siegbahn's correction

$$E_{\text{Corr}} \longrightarrow (1 - c_0^2)/c_0^2 E_{\text{Corr}}$$

P.E.M. Siegbahn, Chem.Phys.Lett., **55** (1978) 386

- Correction of Davidson and Silver

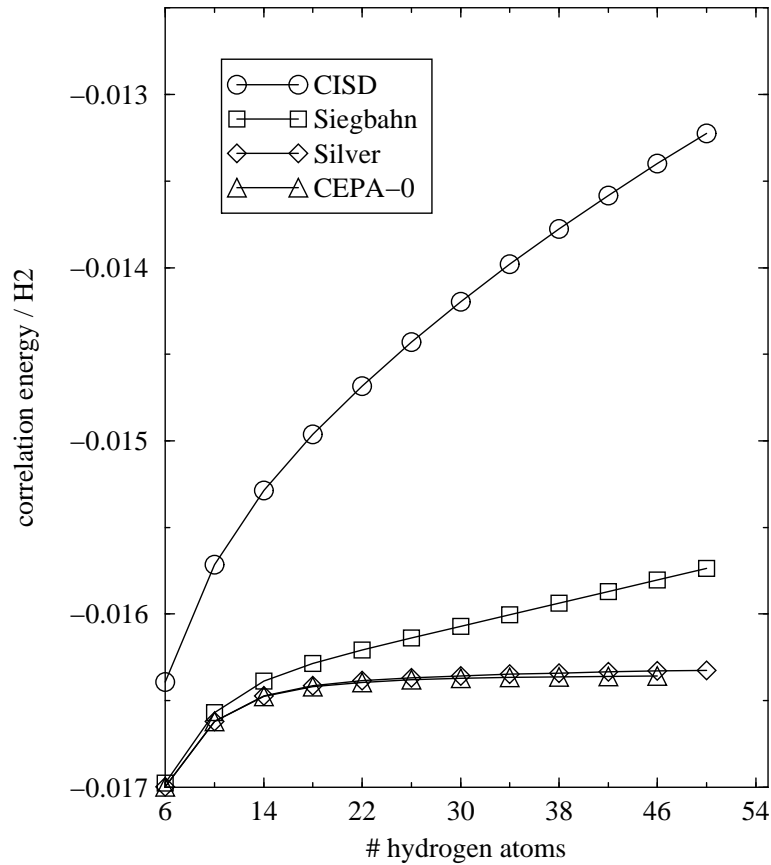
$$E_{\text{Corr}} \longrightarrow (1 - c_0^2)/(2c_0^2 - 1) E_{\text{Corr}}$$

E.R. Davidson, D.W. Silver, Chem.Phys.Lett., **52** (1977) 403

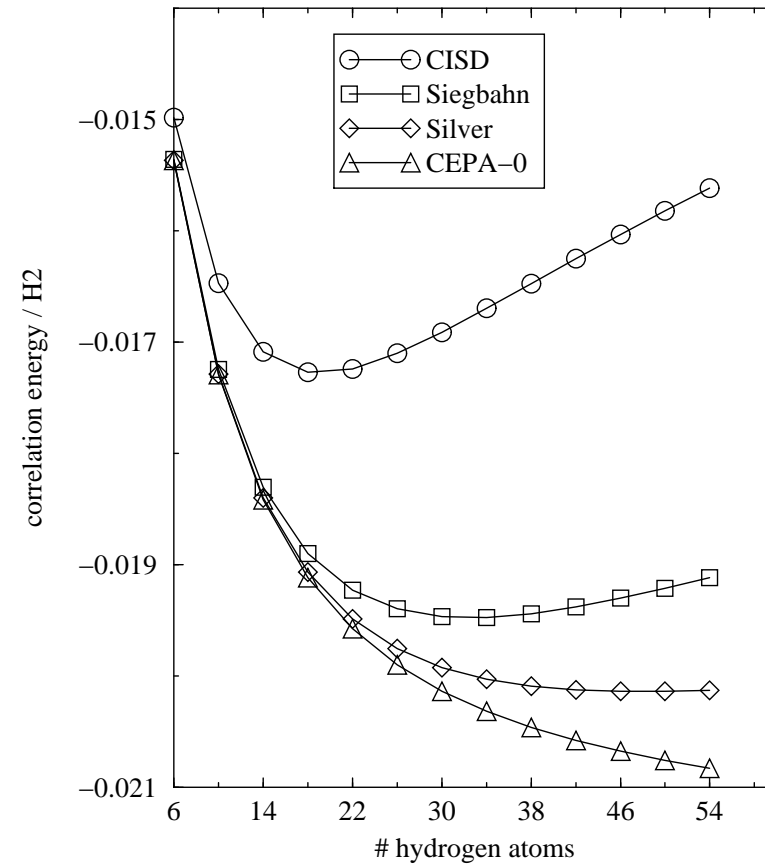
Wrong scaling property of SD-CI

Result for $(H_2)_n$ rings :

molecular case



metal-like case



Size-consistent methods

CISD eigenvalue problem

$$\begin{pmatrix} 0 & \dots & H_{0I} & \dots \\ \vdots & \ddots & & \\ \vdots & & H_{II} - E_{\text{HF}} & \\ \vdots & & & \ddots \end{pmatrix} \begin{pmatrix} 1 \\ \vdots \\ c_I \\ \vdots \end{pmatrix} = E_{\text{Corr}} \begin{pmatrix} 1 \\ \vdots \\ c_I \\ \vdots \end{pmatrix}$$

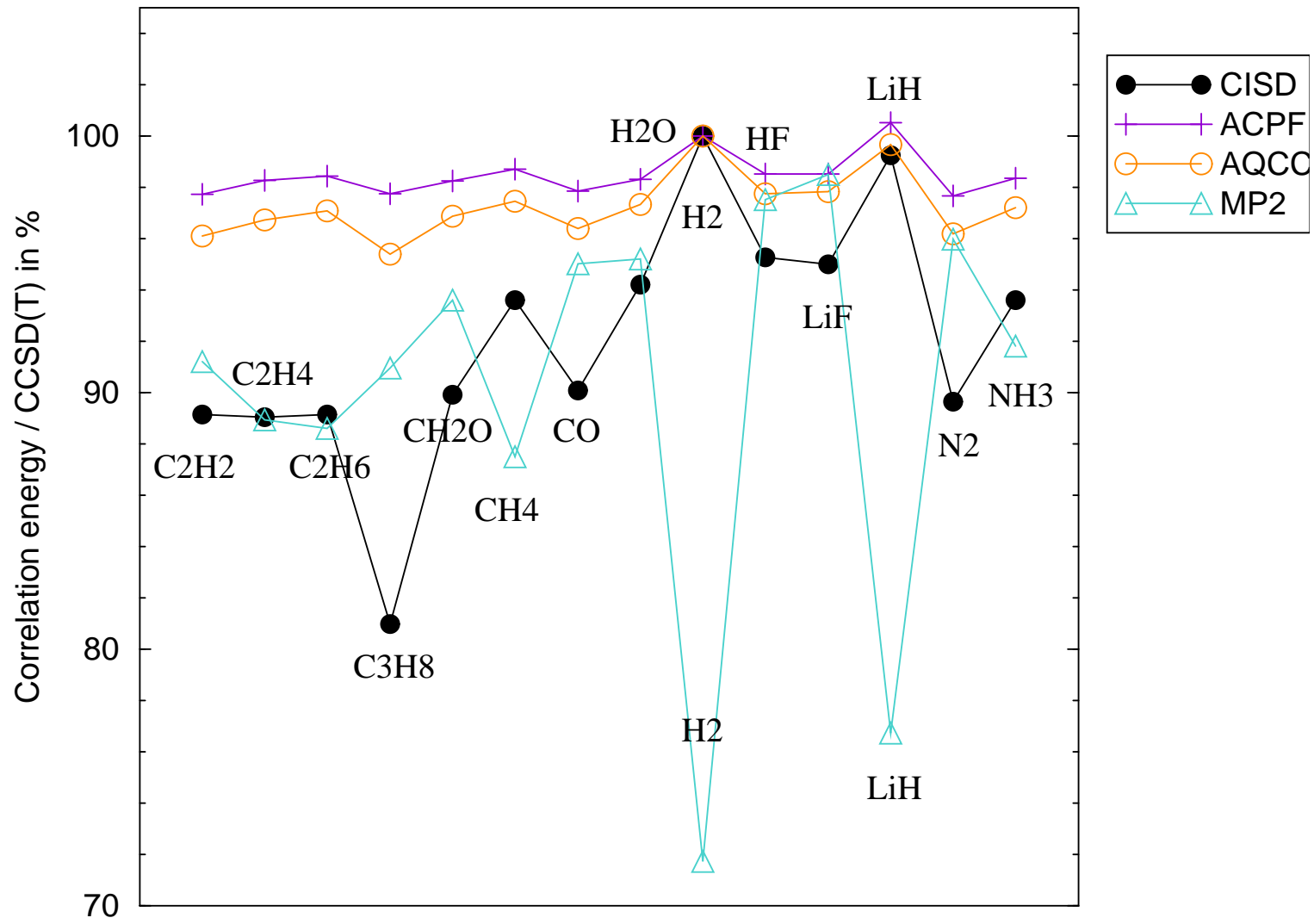
Size-consistent methods

Dressed CISD eigenvalue problem

$$\begin{pmatrix} 0 & \dots & H_{0I} & \dots \\ \vdots & \ddots & & \\ \vdots & & H_{II} - E_{\text{HF}} + \Delta & \\ \vdots & & & \ddots \end{pmatrix} \begin{pmatrix} 1 \\ \vdots \\ c_I \\ \vdots \end{pmatrix} = E_{\text{Corr}} \begin{pmatrix} 1 \\ \vdots \\ c_I \\ \vdots \end{pmatrix}$$

- $\Delta = E_{\text{Corr}}$ decouples the equations: CEPA-0, too severe
- Δ should go to E_{Corr} asymptotically, but be zero for 2 electrons (Full CI !)
- ACPF: $\Delta = E_{\text{Corr}} \left(1 - \frac{2}{N}\right)$ Averaged Coupled Pair Functional
- AQCC: $\Delta = E_{\text{Corr}} \frac{(N-2)(N-3)}{N(N-1)}$ Averaged Quadratic Coupled Cluster
- Self-consistent solution of the dressed equations

Size-consistent methods



Perturbation theory

- Decompose \hat{H} in two parts

$$\hat{H} = \hat{H}_0 + \hat{V}$$

- Eigenfunctions of \hat{H}_0 known:

$$\hat{H}_0 \Phi_k = E_k^{(0)} \Phi_k$$

In particular

$$\hat{H}_0 \Phi_0 = E_0^{(0)} \Phi_0$$

with the Hartree-Fock reference determinant Φ_0

- But not necessarily $\hat{H}_0 \Phi_0 = E_{HF} \Phi_0$
- \hat{V} small

Perturbation theory

- Introduce a parameter λ with $0 \leq \lambda \leq 1$:

$$\hat{H}(\lambda) = \hat{H}_0 + \lambda \hat{V}$$

- Parametrize the total energy and the wavefunction with λ in the Schrödinger equation:

$$\hat{H}(\lambda) \Psi(\lambda) = E(\lambda) \Psi(\lambda)$$

Perturbation theory

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$$\hat{H}(\lambda) = \hat{H}_0 + \lambda \hat{V}$$

- Parametrize the total energy and the wavefunction with λ in the Schrödinger equation:

$$\hat{H}(\lambda) \Psi(\lambda) = E(\lambda) \Psi(\lambda)$$

- Develop in powers of λ :

$$\hat{H} = \hat{H}_0 + \lambda \hat{V} \quad ; \quad |\Psi\rangle = \sum_{n=0}^{\infty} \lambda^n |\Psi^{(n)}\rangle \quad ; \quad E_0 = \sum_{n=0}^{\infty} \lambda^n E_0^{(n)} \quad .$$

- Develop $|\Psi^{(n)}\rangle$ in eigenfunctions of \hat{H}_0 (excited determinants):

$$|\Psi^{(n)}\rangle = \sum_{k=1}^{\infty} |\Phi_k\rangle \langle \Phi_k | \Psi^{(n)} \rangle = \sum_{k=1}^{\infty} c_k^{(n)} |\Phi_k\rangle$$

- Rayleigh-Schrödinger perturbation theory

Perturbation theory

Schrödinger equation $\mathbf{H}|\Psi\rangle = E_0 |\Psi\rangle$:

$$\left(\hat{H}_0 + \lambda\hat{V}\right) \sum_{n=0}^{\infty} \lambda^n |\Psi^{(n)}\rangle = \sum_{m=0}^{\infty} \lambda^m E_0^{(m)} \sum_{k=0}^{\infty} \lambda^k |\Psi^{(k)}\rangle$$

Perturbation theory

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$$\left(\hat{H}_0 + \lambda\hat{V}\right) \sum_{n=0}^{\infty} \lambda^n |\Psi^{(n)}\rangle = \sum_{m=0}^{\infty} \lambda^m E_0^{(m)} \sum_{k=0}^{\infty} \lambda^k |\Psi^{(k)}\rangle$$

Energies:

$$E_0^{(n)} = \langle 0 | V | n - 1 \rangle$$

Coefficients:

$$c_k^{(n)} = \langle \Phi_k | \Psi^{(n)} \rangle = \frac{1}{E_0^{(0)} - E_k^{(0)}} [\langle k | V | n - 1 \rangle - E_0^{(1)} c_k^{(n-1)} - E_0^{(2)} c_k^{(n-2)} - \dots - E_0^{(n-1)} c_k^{(1)}]$$

Perturbation theory

Schrödinger equation $\mathbf{H}|\Psi\rangle = E_0 |\Psi\rangle$:

$$\left(\hat{H}_0 + \lambda\hat{V}\right) \sum_{n=0}^{\infty} \lambda^n |\Psi^{(n)}\rangle = \sum_{m=0}^{\infty} \lambda^m E_0^{(m)} \sum_{k=0}^{\infty} \lambda^k |\Psi^{(k)}\rangle$$

- We always have

$$E_0^{(0)} + E_0^{(1)} = \langle \Phi_0 | \hat{H}_0 + \hat{V} | \Phi_0 \rangle = \langle \Phi_0 | \hat{H} | \Phi_0 \rangle = E_{HF} \quad .$$

-

$$c_k^{(1)} = \langle \Phi_k | \Psi^{(1)} \rangle = \frac{1}{E_0^{(0)} - E_k^{(0)}} \langle k | V | 0 \rangle$$

$$E_0^{(2)} = \langle \Phi_0 | V | \Psi^{(1)} \rangle = \sum_{k \neq 0} \frac{\langle 0 | V | k \rangle^2}{E_0^{(0)} - E_k^{(0)}} < 0$$

Perturbation theory

$$\begin{aligned}
 E_0^{(1)} &= \langle \Phi_0 | V | \Phi_0 \rangle = \langle 0 | \hat{V} | 0 \rangle \\
 E_0^{(2)} &= \langle \Phi_0 | V | \Psi^{(1)} \rangle = \sum_{k \neq 0} \langle 0 | \hat{V} \frac{|k\rangle\langle k|}{E_0^{(0)} - E_k^{(0)}} \hat{V} | 0 \rangle \\
 E_0^{(3)} &= \langle \Phi_0 | V | \Psi^{(2)} \rangle \\
 &= \sum_{k, l \neq 0} \langle 0 | \hat{V} \frac{|k\rangle\langle k|}{E_0^{(0)} - E_k^{(0)}} \hat{V} \frac{|l\rangle\langle l|}{E_0^{(0)} - E_l^{(0)}} \hat{V} | 0 \rangle \\
 &\quad - E_0^{(1)} \sum_{k \neq 0} \left(\frac{\langle 0 | V | k \rangle}{E_0^{(0)} - E_k^{(0)}} \right)^2
 \end{aligned} \tag{1}$$

There is a systematic structure in the equations ...

e.g. $2n + 1$ rule:

$$E_0^{(3)} = \langle \Psi^{(1)} | V | \Psi^{(1)} \rangle - E_0^{(1)} \langle \Psi^{(1)} | \Psi^{(1)} \rangle$$

Møller-Plesset perturbation theory

C.Møller, M.S.Plesset, Phys.Rev., 46 (1934) 618

What should we take for \hat{H}_0 ?

We know the eigenfunctions of the Fock operator: orbitals

We know the eigenvalues of these functions: orbital energies ϵ_i

$$\hat{H}_0 = \sum_i \epsilon_i \hat{a}_i^\dagger \hat{a}_i$$

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We know the eigenvalues of these functions: orbital energies ϵ_i

- Creation/destruction operators obey anti-commutator rules:

- Creation operators \hat{a}_i^\dagger , destruction operators \hat{a}_i
- Definition for an antisymmetric wavefunction:

$$\begin{aligned}\hat{a}_i |n_1 \dots n_i \dots\rangle &= (-1)^{\sum_{k<i} n_k} n_i |n_1 \dots 0_i \dots\rangle = \theta_i n_i |n_1 \dots 0_i \dots\rangle \\ \hat{a}_i^\dagger |n_1 \dots n_i \dots\rangle &= \theta_i (1 - n_i) |n_1 \dots 1_i \dots\rangle\end{aligned}$$

- Wavefunction is antisymmetric: the order of creation is important
- $\hat{a}_i^\dagger \hat{a}_j^\dagger = -\hat{a}_j^\dagger \hat{a}_i^\dagger$, or $\hat{a}_i^\dagger \hat{a}_j^\dagger + \hat{a}_j^\dagger \hat{a}_i^\dagger = 0$
- the same holds for the destruction operators: $\hat{a}_i \hat{a}_j = -\hat{a}_j \hat{a}_i$
- We may destroy an existing particle or hole and recreate it, or create a non-existing particle or hole and destroy it: $\hat{a}_i^\dagger \hat{a}_i + \hat{a}_i \hat{a}_i^\dagger = 1$

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We know the eigenvalues of these functions: orbital energies ϵ_i

$$\hat{H}_0 = \sum_i \epsilon_i \hat{a}_i^\dagger \hat{a}_i$$

Apply this to a Slater determinant :

$$\hat{H}_0 \Phi_k = \left(\sum_{i \in occ(k)} \epsilon_i \right) \Phi_k$$

Matrix element $\langle 0 | V | k \rangle$: k must be a di-excited determinant, otherwise zero.

$$\begin{aligned} \langle 0 | \hat{V} | k \rangle &= \langle \Phi_0 | \hat{H} | \Phi_{ij}^{ab} \rangle - \underbrace{\langle \Phi_0 | \hat{H}_0 | \Phi_{ij}^{ab} \rangle}_{=0} = \langle 0 | \hat{H} | k \rangle \\ &= (ia|jb) - (ib|ja) \delta_{\sigma_i \sigma_j} \quad \text{bi-electronic integrals} \end{aligned}$$

Møller-Plesset perturbation theory

Second-order energy:

$$E^{(2)} = \sum_{ijab} \frac{(2(ia|jb) - (ib|ja)) (ia|jb)}{\epsilon_i + \epsilon_j - \epsilon_a - \epsilon_b}$$

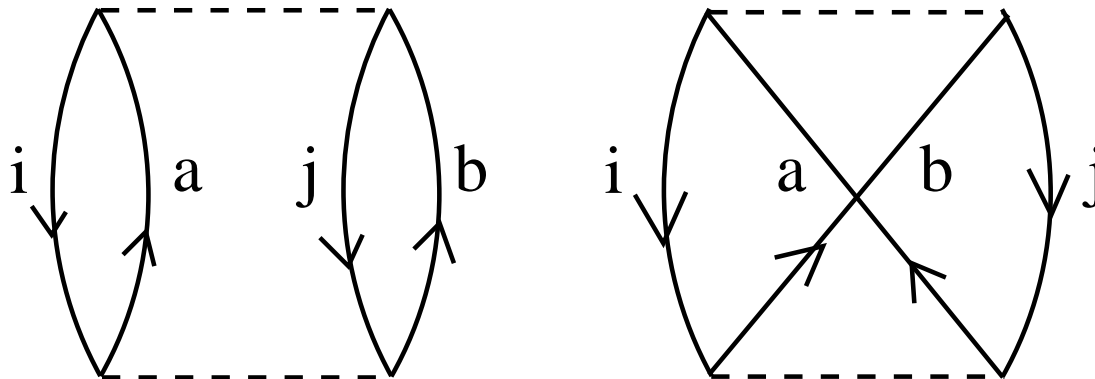
And higher-order formulas can be derived as well ... but become more and more complex

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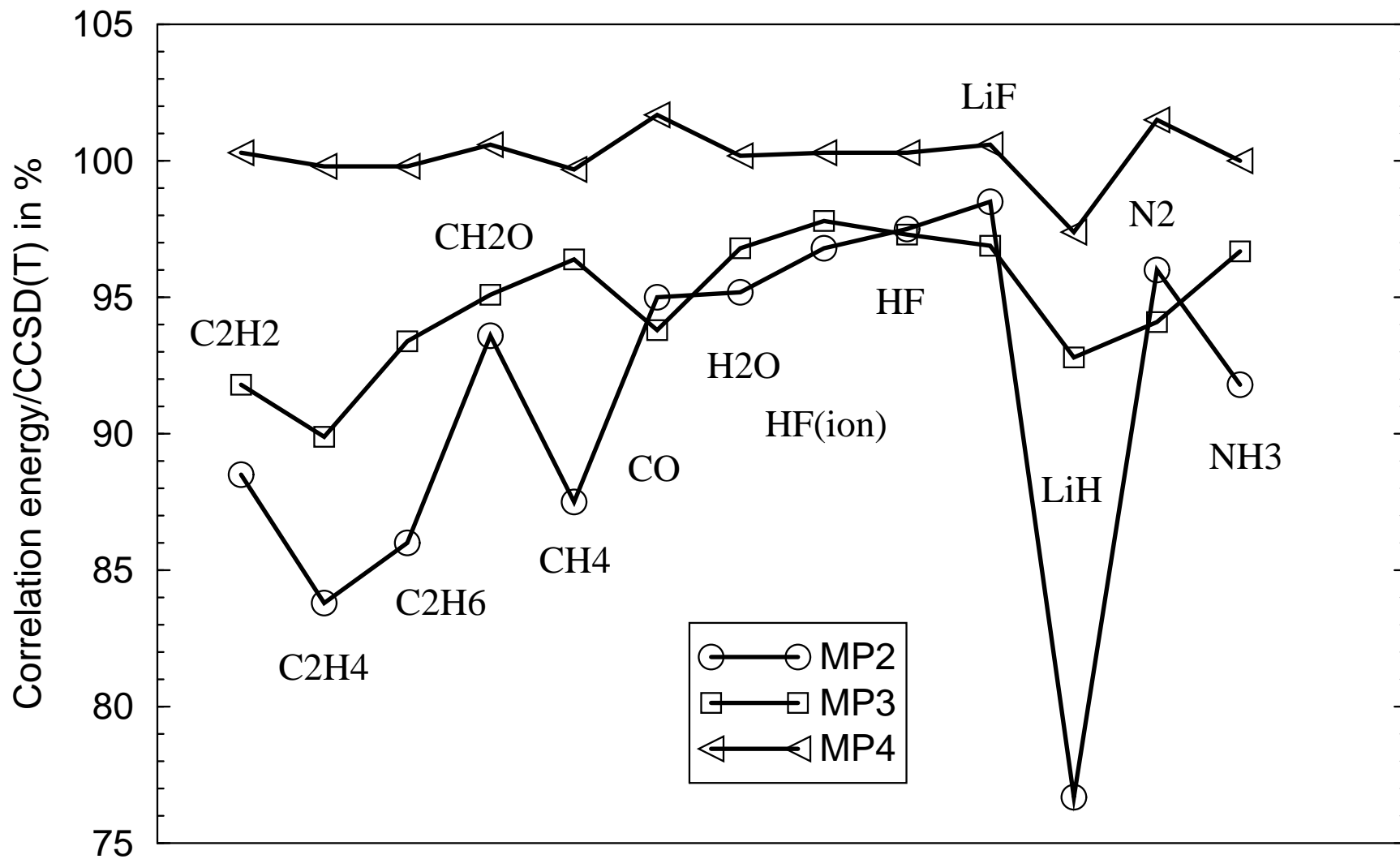
$$2 \frac{(ia|jb)(ia|jb)}{\epsilon_i + \epsilon_j - \epsilon_a - \epsilon_b}$$

$$- \frac{(ia|jb)(ib|ja)}{\epsilon_i + \epsilon_j - \epsilon_a - \epsilon_b}$$

Summary

- Development order-by-order to improve **total energy**
- Straight-forward evaluation, term by term, diagram by diagram
- Only **infinite order** satisfies Schrödinger's equation
- Zeroth+first order is the **Hartree-Fock energy**
- 1st-order wavefunction \longrightarrow natural orbitals, density
- Second-order **always lowers** the total energy
- Higher orders become rapidly **more and more complex**
- $2n + 1$ rule: $E^{(2n+1)}$ known from $\Psi^{(n)}$; $0 \rightarrow 1, 1 \rightarrow 3, 2 \rightarrow 5$
- Commonly used: **MP2, MP4**

Performance



Basis aug-cc-pvtz, fixed geometry

Performance

Geometry parameters

molecule	Hartree-Fock		2nd order Møller-Plesset	
	Δr (pm)	Δang (deg.)	Δr (pm)	Δang (deg.)
H ₂ O	-1.7	+1.8	+0.1	-0.4
N ₂	-3.1		+1.6	
CH ₄	-0.5		-0.1	
CO	-2.4		+1.1	
HF	-1.8		+0.5	
NH ₃	-1.4	+1.5	0.0	+0.5
P ₂	-3.6		+3.4	
SO ₂	-2.7	-1.3	+2.7	-1.1

Source: NIST database <http://www.nist.org>

Performance

Vibrational constants, atomization energies

molecule	Hartree-Fock		2nd order Møller-Plesset		Experiment	
	ν (cm^{-1})	at.ener. (kJ/mol)	ν (cm^{-1})	at.ener. (kJ/mol)	ν (cm^{-1})	at.ener. (kJ/mol)
H ₂ O	4121	597	3821	917	3657	918
N ₂	2726	472	2187	952	2359	942
CH ₄	3147	1260	3069	1607	2917	1642
CO	2421	716	2110	1099	2170	1072
HF	4465	380	4125	576	4138	567
NH ₃	3686	754	3503	1128	3337	1158
P ₂	909	150	726	451	781	486
SO ₂	1371	393	1106	—	1151	1063

Source: NIST database <http://www.nist.org>

How much does it cost ?

- MP2: N^5 due to integral transformation $(\alpha\beta|\gamma\delta) \longrightarrow (ia|jb)$
- CISD: N^6 , form matrix elements $\langle \Phi_{ij}^{ab} | H | \Phi_{ij}^{cd} \rangle$
- MP3: one iteration of CISD
- adding dressings to CISD: no additional cost
- MP4:
 - exploit: $\langle \Phi_{ij}^{ab} | H | \Phi_{ijkl}^{abcd} \rangle = \langle \Phi_0 | H | \Phi_{kl}^{cd} \rangle$
 - treatment of Singles, Doubles, and Quadruples $\sim N^6$
 - Triples: excitation sequence

$$\langle 0 | H | D \rangle \langle D | H | T \rangle \langle T | H | D \rangle \langle D | H | 0 \rangle$$

$$\langle \Phi_{ij}^{ab} | H | \Phi_{klm}^{cde} \rangle = \langle a b k l \underline{m} | H | i j c d \underline{e} \rangle \longrightarrow N^7$$
- for comparison: HF or DFT $\sim N^3$

Coupled Cluster Theory

Finally the Coupled-Cluster

- Wavefunction

$$|\Psi\rangle = e^{\hat{S}} |\Phi_0\rangle$$

- \hat{S} excitation operator

$$\begin{aligned}\hat{T}_1 &= \sum_{i,a} t_i^a \hat{a}_a^\dagger \hat{a}_i \\ \hat{T}_2 &= \sum_{ij,ab} t_{ij}^{ab} \hat{a}_a^\dagger \hat{a}_b^\dagger \hat{a}_i \hat{a}_j \quad \text{etc.}\end{aligned}$$

- Schrödinger's equation $\hat{H}|\Psi\rangle = E|\Psi\rangle$
- Energy through projection against $\langle\Phi_0|$ or $\langle\Phi_0|e^{-\hat{S}}$

$$\begin{aligned}\langle\Phi_0|e^{-\hat{S}}\hat{H}e^{\hat{S}}|\Phi_0\rangle &= \langle\Phi_0|\hat{H}e^{\hat{S}}|\Phi_0\rangle \\ &= \langle\Phi_0|e^{-\hat{S}}Ee^{\hat{S}}|\Phi_0\rangle = E = E_{HF} + E_{Corr}\end{aligned}$$

General approach

Projection of $e^{-\hat{S}} \hat{H} e^{\hat{S}}$:

$$\begin{aligned}\langle \Phi_i^a | e^{-\hat{S}} \hat{H} e^{\hat{S}} | \Phi_0 \rangle &= 0 \\ \langle \Phi_{ij}^{ab} | e^{-\hat{S}} \hat{H} e^{\hat{S}} | \Phi_0 \rangle &= 0\end{aligned}\tag{2}$$

Exponential

$$e^{\hat{S}} = 1 + \hat{S} + \frac{1}{2} \hat{S}^2 + \frac{1}{6} \hat{S}^3 + \dots$$

leads to the (exact) Baker-Campbell-Hausdorff expansion

$$e^{-\hat{S}} \hat{H} e^{\hat{S}} = \hat{H} + [\hat{H}, \hat{S}] + \frac{1}{2} [[\hat{H}, \hat{S}], \hat{S}] + \frac{1}{6} [[[\hat{H}, \hat{S}], \hat{S}], \hat{S}] + \frac{1}{24} [[[[\hat{H}, \hat{S}], \hat{S}], \hat{S}], \hat{S}]$$

Remains to insert $\hat{S} = \hat{T}_1 + \hat{T}_2$, regroup and evaluate.

We may use

$$e^{-\hat{S}} \hat{H} e^{\hat{S}} = e^{-\hat{T}_1 - \hat{T}_2} \hat{H} e^{\hat{T}_1 + \hat{T}_2} = e^{-\hat{T}_2} \left(e^{-\hat{T}_1} \hat{H} e^{\hat{T}_1} \right) e^{\hat{T}_2} = e^{-\hat{T}_2} \hat{H}_{eff} e^{\hat{T}_2}$$

General approach

Projection of $\hat{H}e^{\hat{S}}$:

$$\langle \Phi_i^a | \hat{H}e^{\hat{T}_1 + \hat{T}_2} | \Phi_0 \rangle = \langle \Phi_i^a | \hat{H} \left(\hat{T}_1 + \hat{T}_2 + \hat{T}_1\hat{T}_2 + \frac{1}{2}\hat{T}_1^2 + \frac{1}{6}\hat{T}_1^3 \right) | \Phi_0 \rangle$$

$$\begin{aligned} \langle \Phi_{ij}^{ab} | \hat{H}e^{\hat{T}_1 + \hat{T}_2} | \Phi_0 \rangle = & \langle \Phi_{ij}^{ab} | \hat{H} \left(1 + \hat{T}_1 + \hat{T}_2 + \hat{T}_1\hat{T}_2 + \right. \\ & \left. + \frac{1}{2}\hat{T}_1^2 + \frac{1}{2}\hat{T}_1^2\hat{T}_2 + \frac{1}{2}\hat{T}_2^2 + \frac{1}{6}\hat{T}_1^3 + \frac{1}{24}\hat{T}_1^4 | \Phi_0 \rangle \right) \end{aligned}$$

Equation of degree 4 to solve, via iterative methods.

Continuing

Take only diexcitations ($t_{ij}^{ab} = c_{ij}^{ab}$):

$$E = \langle \Phi_0 | \hat{H} | \Phi_0 \rangle + \langle \Phi_0 | \hat{H} \hat{T}_2 | \Phi_0 \rangle = E_{HF} + \sum_I c_I \langle \Phi_0 | \hat{H} | \Phi_I \rangle$$

$$\langle \Phi_{ij}^{ab} | \hat{H} \left(1 + \hat{T}_2 + \frac{1}{2} \hat{T}_2^2 \right) | \Phi_0 \rangle = E \underbrace{\langle \Phi_{ij}^{ab} | \hat{T}_2 | \Phi_0 \rangle}_{= c_{ij}^{ab}}$$

Look at the contributions from $\hat{T}_2 \hat{T}_2 | \Phi_0 \rangle = 2 \sum_{klcd} (c_{ij}^{ab} * c_{kl}^{cd}) | \Phi_{ijkl}^{abcd} \rangle$

with all the possibilities

$$\begin{aligned} c_{ij}^{ab} * c_{kl}^{cd} &= c_{ij}^{ab} c_{kl}^{cd} - \langle c_{ij}^{ab} * c_{kl}^{cd} \rangle \\ &= c_{ij}^{ab} c_{kl}^{cd} - c_{ik}^{ab} c_{jl}^{cd} + c_{il}^{ab} c_{jk}^{cd} - c_{ij}^{ac} c_{kl}^{bd} + c_{ik}^{ac} c_{jl}^{bd} - c_{il}^{ac} c_{jk}^{bd} \\ &\quad + c_{ij}^{ad} c_{kl}^{bc} - c_{ik}^{ad} c_{jl}^{bc} + c_{il}^{ad} c_{jk}^{bc} + c_{ij}^{cd} c_{kl}^{ab} - c_{ik}^{cd} c_{jl}^{ab} + c_{il}^{cd} c_{jk}^{ab} \\ &\quad - c_{ij}^{bd} c_{kl}^{ac} + c_{ik}^{bd} c_{jl}^{ac} - c_{il}^{bd} c_{jk}^{ac} + c_{ij}^{bc} c_{kl}^{ad} - c_{ik}^{bc} c_{jl}^{ad} + c_{il}^{bc} c_{jk}^{ad} \end{aligned}$$

Continuing

Assembly :

$$\begin{aligned} & \hat{H}_{0I} + \sum_J \hat{H}_{IJ} c_J + \\ & + \sum_J \langle \Phi_I | \hat{H} | \Phi_{I+J} \rangle (c_I c_J - \langle c_I^* c_J \rangle) \\ & - \underbrace{\left(E_{HF} c_I + \sum_J \hat{H}_{0J} c_I c_J \right)}_{E c_I} = 0 \end{aligned}$$

With $\langle \Phi_I | \hat{H} | \Phi_{I+J} \rangle = \langle \Phi_0 | \hat{H} | \Phi_J \rangle$

$$\sum_J \langle \Phi_I | \hat{H} | \Phi_{I+J} \rangle c_I c_J - \sum_J \hat{H}_{0J} c_I c_J = 0$$

Continuing

Finally the equations to determine the coefficients :

$$\hat{H}_{0I} + \langle \Phi_I | \hat{H} - E_{HF} | \Phi_I \rangle c_I + \sum_{J \neq I} \hat{H}_{IJ} c_J = \sum_J \hat{H}_{0J} \langle c_I * c_J \rangle$$

- Still quadratic in the coefficients
- Only di-excited determinants to consider
- We had for the **variational** CISD correlation energy:

$$E_{Corr} = \sum_I c_I \langle \Phi_0 | \hat{H} | \Phi_I \rangle$$

- Equations resemble the CISD equations !
- To be solved iteratively

Including triple excitations

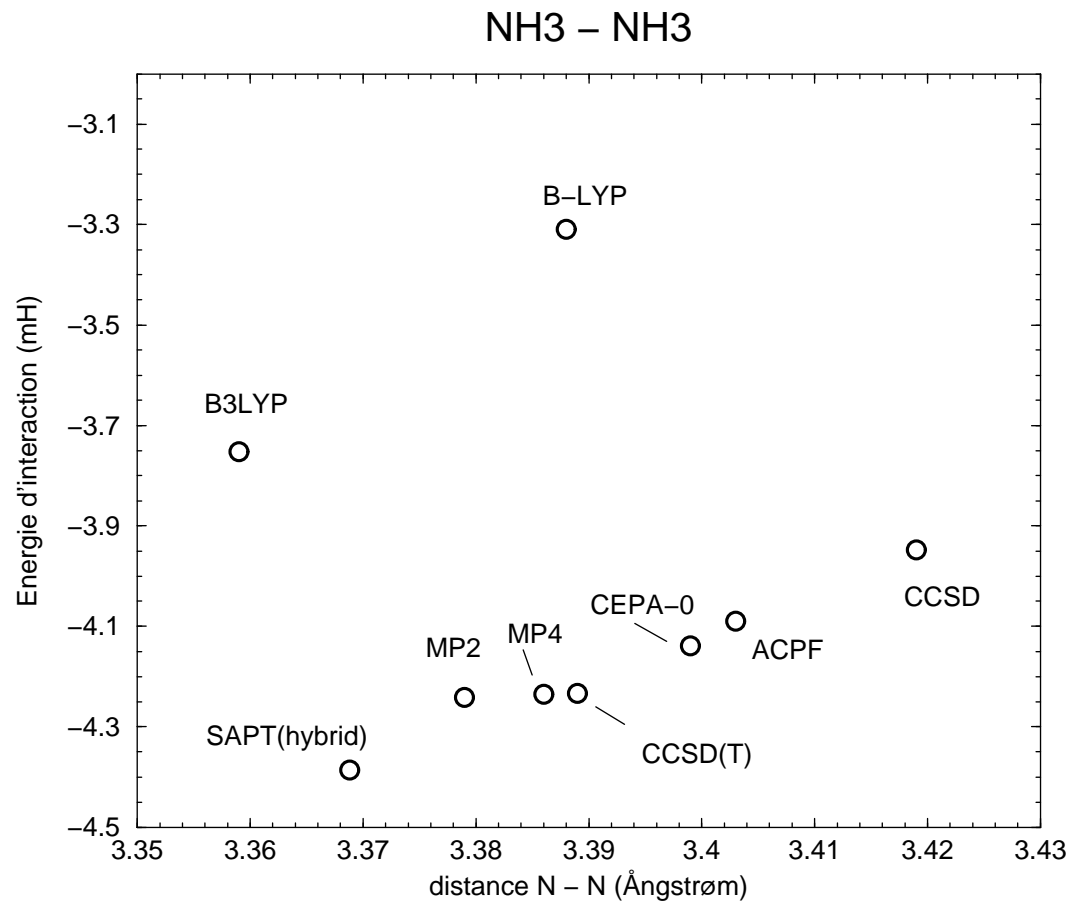
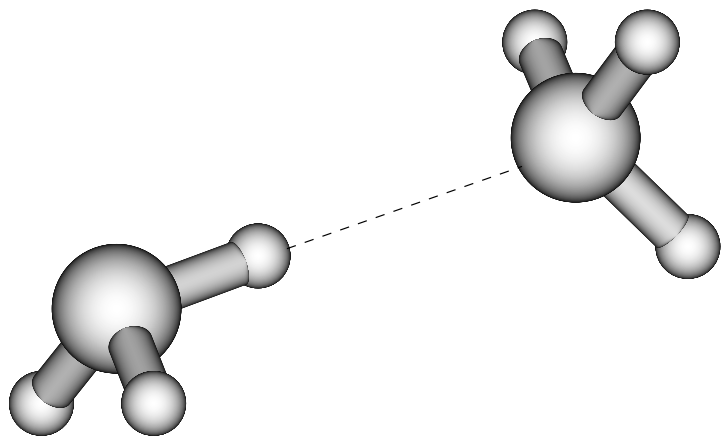
- CCSD: only di-excited determinants needed
- Cost as CISD or MP4 (SDQ): $\sim N^6$
- Triples may be important:
 - Orbital corrections for di-excitations
 - Much better performance for intermolecular interactions
- Full triples step costs $\sim N^8$:

$$\langle i j k a b c | \hat{H} | l m n d e f \rangle$$

6 indices $ijklabc$ and 2 differences $\longrightarrow N^8$

- Needs much more memory for coefficients t_{ijk}^{abc} , etc.
- Perturbative treatment: straightforward, no need for iterations \longrightarrow CCSD(T)
as triples in MP4: $\sim N^7$
- Nowadays reference method for closed-shell systems

Example: $\text{NH}_3\text{—NH}_3$



Performance

Geometry parameters

molecule	Hartree-Fock		MP2		CCSD(T)	
	Δr (pm)	Δang ($^\circ$)	Δr (pm)	Δang ($^\circ$)	Δr (pm)	Δang ($^\circ$)
H ₂ O	-1.7	+1.8	+0.1	-0.4	-0.1	-0.3
N ₂	-3.1		+1.6		+0.6	
CH ₄	-0.5		-0.1		+0.3	
CO	-2.4		+1.1		+0.8	
HF	-1.8		+0.5		+0.4	
NH ₃	-1.4	+1.5	0.0	+0.5	+2.3	-0.3
P ₂	-3.6		+3.4		+2.3	
SO ₂	-2.7	-1.3	+2.7	-1.1	+0.3	-1.1

Source: NIST database <http://www.nist.org>

Performance

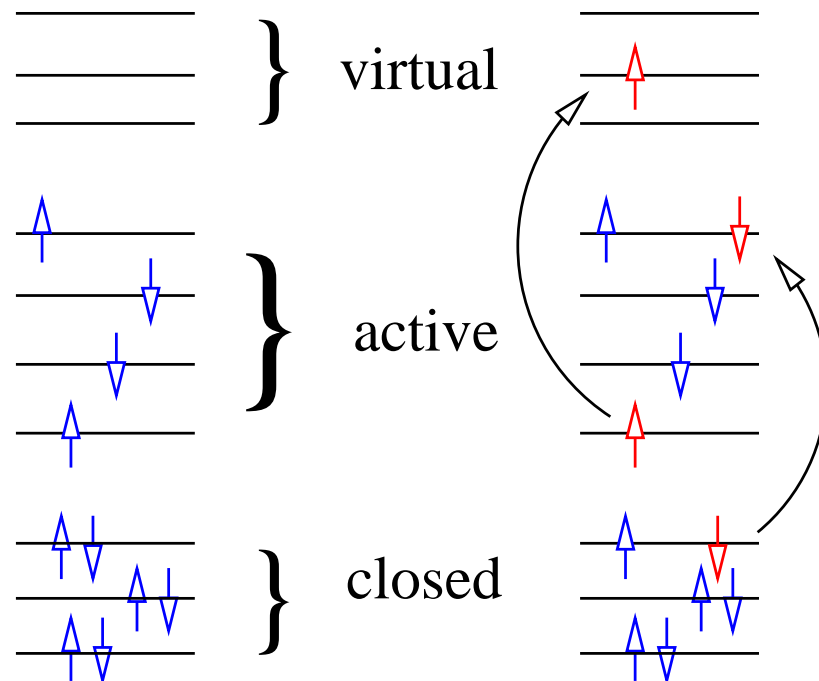
Vibrational constants, atomization energies

molecule	2nd order Møller-Plesset		CCSD(T)		Experiment	
	ν (cm^{-1})	at.ener. (kJ/mol)	ν (cm^{-1})	at.ener. (kJ/mol)	ν (cm^{-1})	at.ener. (kJ/mol)
H ₂ O	3821	917	3811	899	3657	918
N ₂	2187	952	2339	897	2359	942
CH ₄	3069	1607	3028	1617	2917	1642
CO	2110	1099	2144	1040	2170	1072
HF	4125	576	4128	557	4138	567
NH ₃	3503	1128	3464	1131	3337	1158
P ₂	726	451	768	437	781	486
SO ₂	1106	—	1136	968	1151	1063

Source: NIST database <http://www.nist.org>

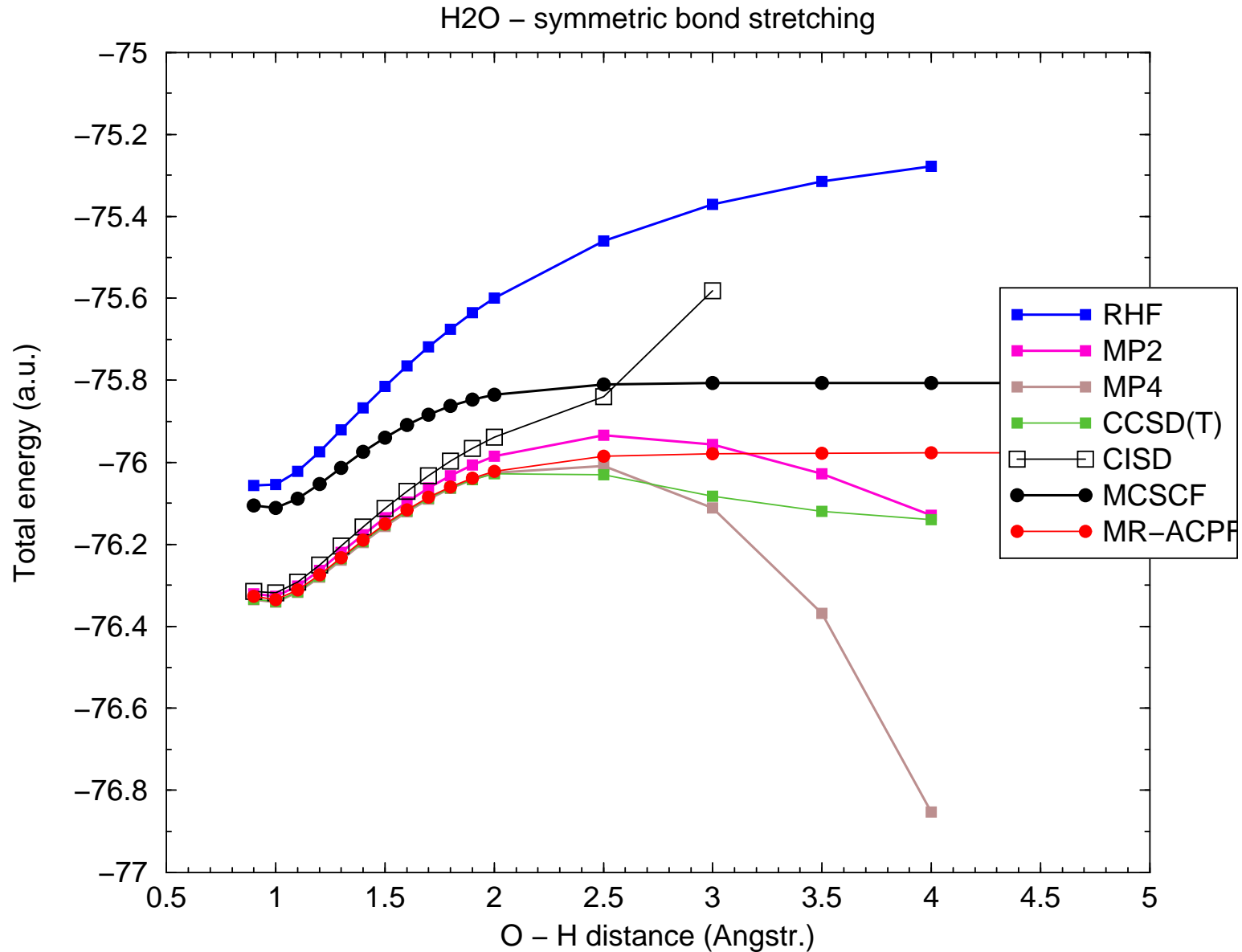
Adding dynamical correlation to MCSCF

- Add excitations



- CISD like in the mono-reference case
- Dressing techniques available: MR-CEPA, MR-ACPF, MR-AQCC
- Same size-consistence problems as for mono-reference case

Adding dynamical correlation to MCSCF



Range-separated DFT

- Common Kohn-Sham procedure

$$E_{\text{exact}} = \min_{\Phi} \{ \langle \Phi | T + V_{\text{ne}} | \Phi \rangle + E_{\text{Hxc}} [n_{\Phi}] \}$$

Range-separated DFT

- Range-separated hybrid approach (RSH):

$$E^{\text{RSH}} = \min_{\Phi} \left\{ \langle \Phi | T + V_{\text{ne}} + W_{\text{ee}}^{\text{lr}} | \Phi \rangle + E_{\text{Hxc}}^{\text{sr}} [n_{\Phi}] \right\}$$

with long-range part $\langle \Phi | W_{\text{ee}}^{\text{lr}} | \Phi \rangle$ as Hartree term (electrostatics) and Hartree-Fock exchange

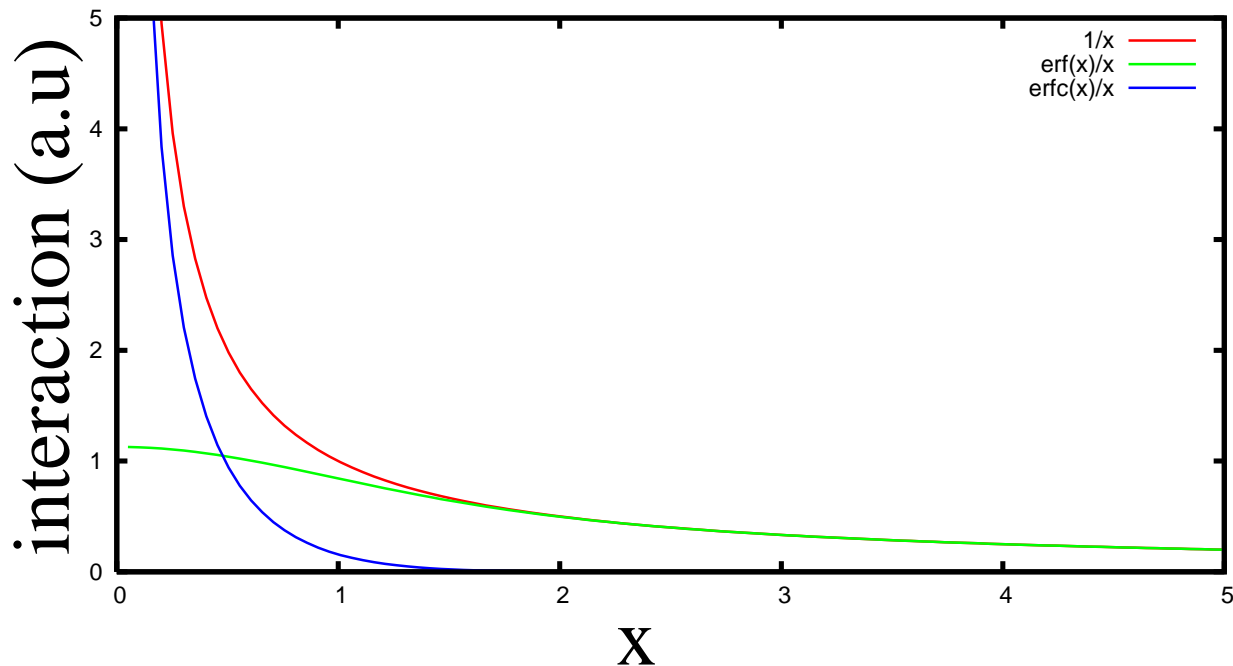
Range-separated DFT

- Range-separated hybrid approach (RSH):

$$E^{\text{RSH}} = \min_{\Phi} \left\{ \langle \Phi | T + V_{\text{ne}} + W_{\text{ee}}^{\text{lr}} | \Phi \rangle + E_{\text{Hxc}}^{\text{sr}} [n_{\Phi}] \right\}$$

Range separation via the error function and a parameter μ

$$\frac{1}{r_{ij}} = w_{ee}^{\text{lr}} + \left(\frac{1}{r_{ij}} - w_{ee}^{\text{lr}} \right) \text{ with } w_{ee}^{\text{lr}}(r_{ij}) = \text{erf}(\mu r_{ij})/r_{ij}$$



Range-separated DFT

Kohn-Sham-like RSH equations

$$(T + V_{\text{ne}} + V_{\text{H}} + V_{\text{x}}^{\text{lr}} + V_{\text{xc}}^{\text{sr}}) |\phi_i^{\text{RSH}}\rangle = \epsilon_i |\phi_i^{\text{RSH}}\rangle$$

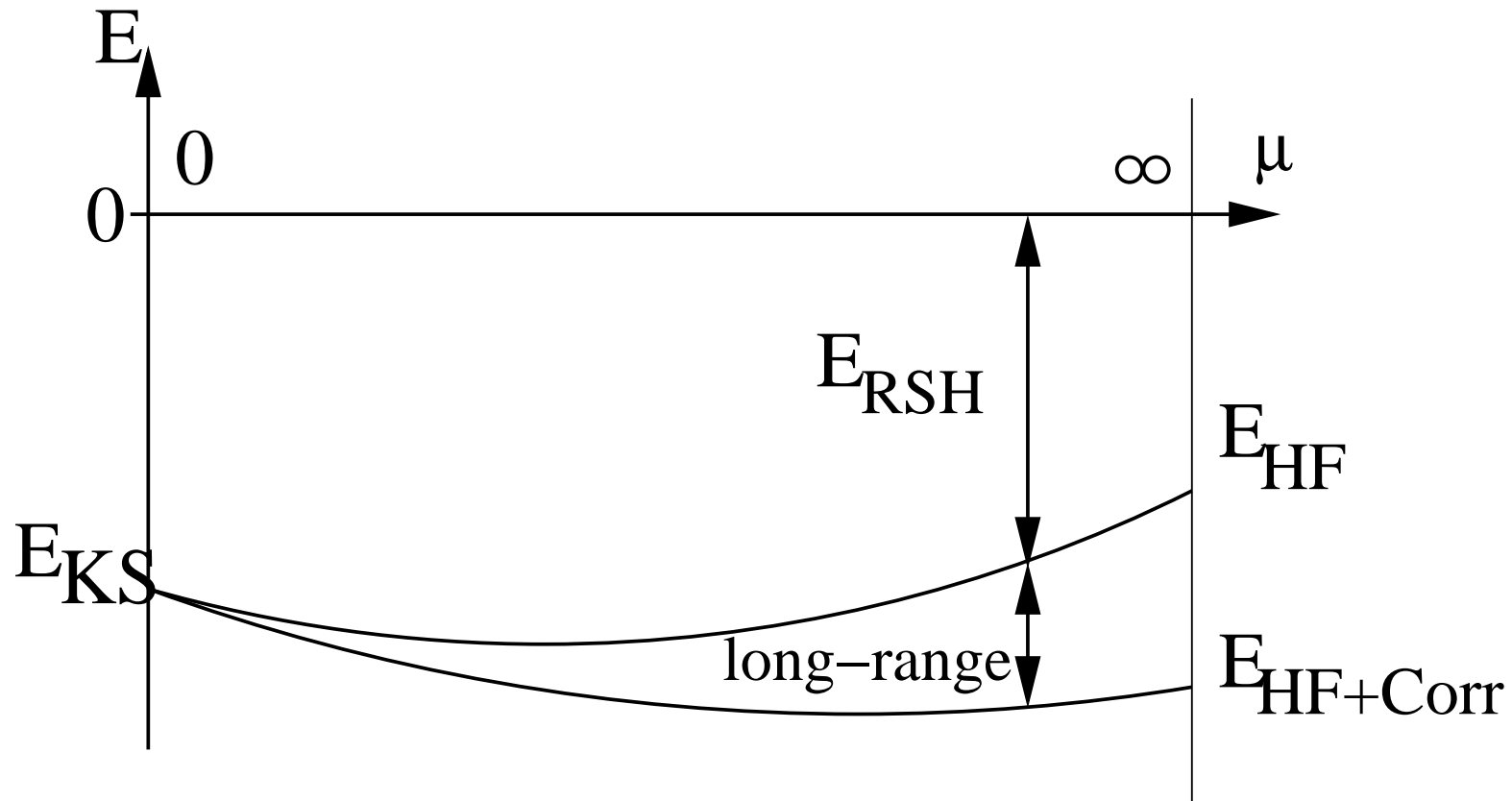
- Long-range HF exchange part V_{x}^{lr} (“erf” part of the e-e interaction)
- Specific short-range exchange and correlation functionals $V_{\text{xc}}^{\text{sr}}(\mu)$

Total energy E^{RSH} does not include long-range correlation.

- Furnishes orbital energies ϵ_i
- Molecular orbitals $\phi_i(\vec{r})$
- Long-range correlation energy, e.g. MP2

$$E_{\mu, \text{MP2}}^{(2)} = \sum_{ijab} \frac{(ia|jb)_{lr, \mu} (2(ia|jb)_{lr, \mu} - (ib|ja)_{lr, \mu})}{\epsilon_i + \epsilon_j - \epsilon_a - \epsilon_b}$$

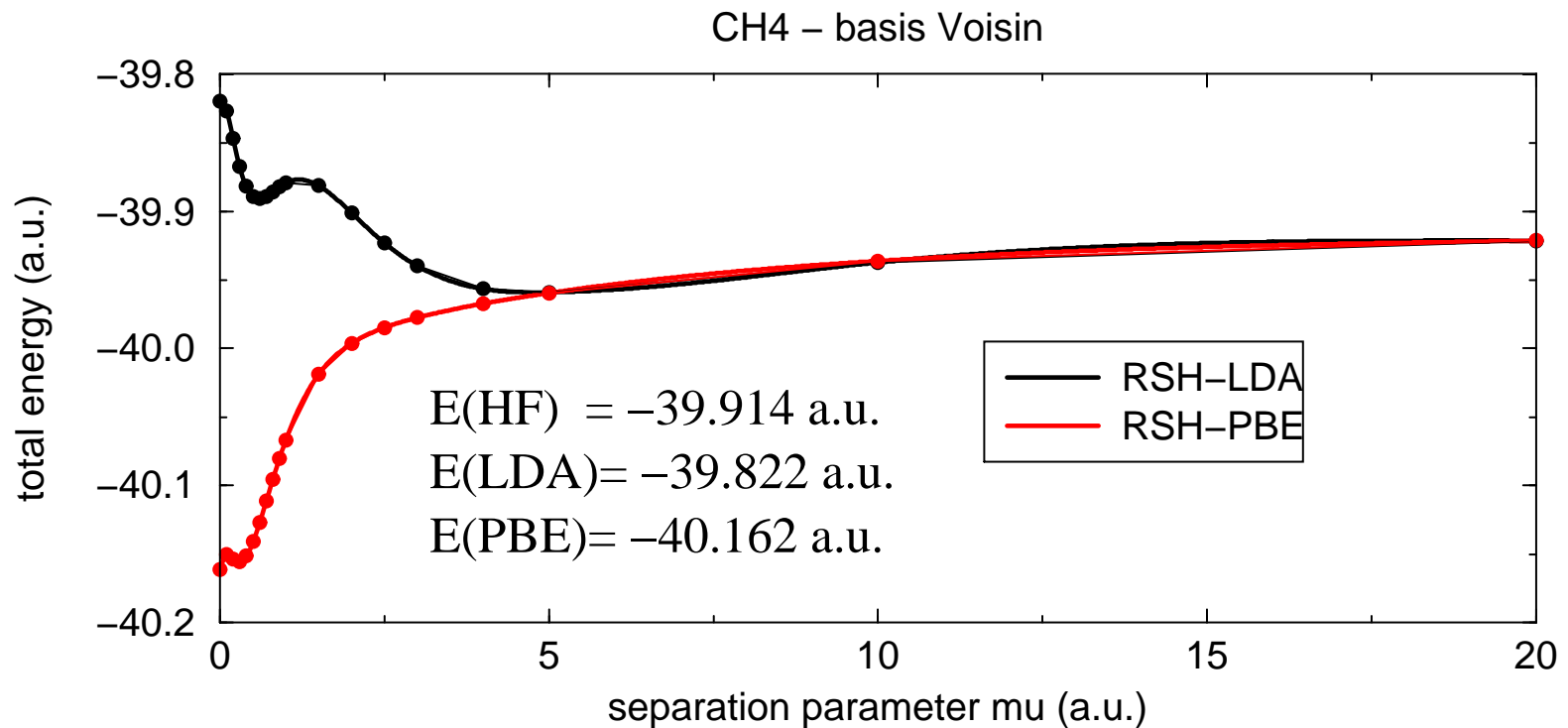
Range-separated DFT



- $\mu = 0$ \longrightarrow standard Kohn-Sham theory
- $\mu \rightarrow \infty$ \longrightarrow Hartree-Fock + correlation

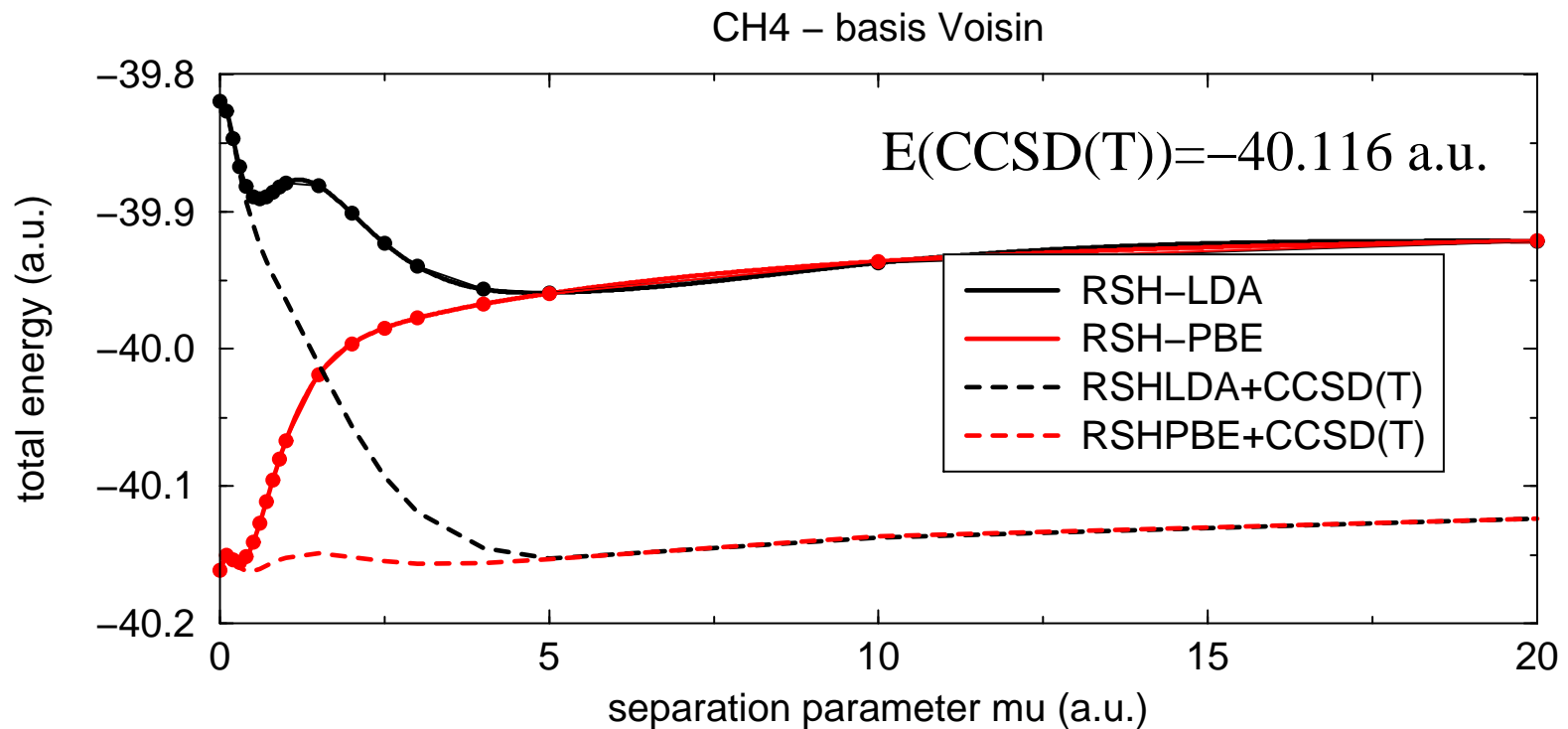
Range-separated DFT

- Asymptotic behaviour for $\mu \rightarrow 0$: standard LDA or PBE functionals, long-range exchange part exactly zero
- Functionals go to zero with $\mu \rightarrow \infty$, and $E^{\text{RSH}} \rightarrow E_{\text{HF}}$



Range-separated DFT

- Asymptotic behaviour for $\mu \rightarrow 0$: standard LDA or PBE functionals, long-range exchange part exactly zero
- Functionals go to zero with $\mu \rightarrow \infty$, and $E^{\text{RSH}} \rightarrow E_{\text{HF}}$



Expected advantages

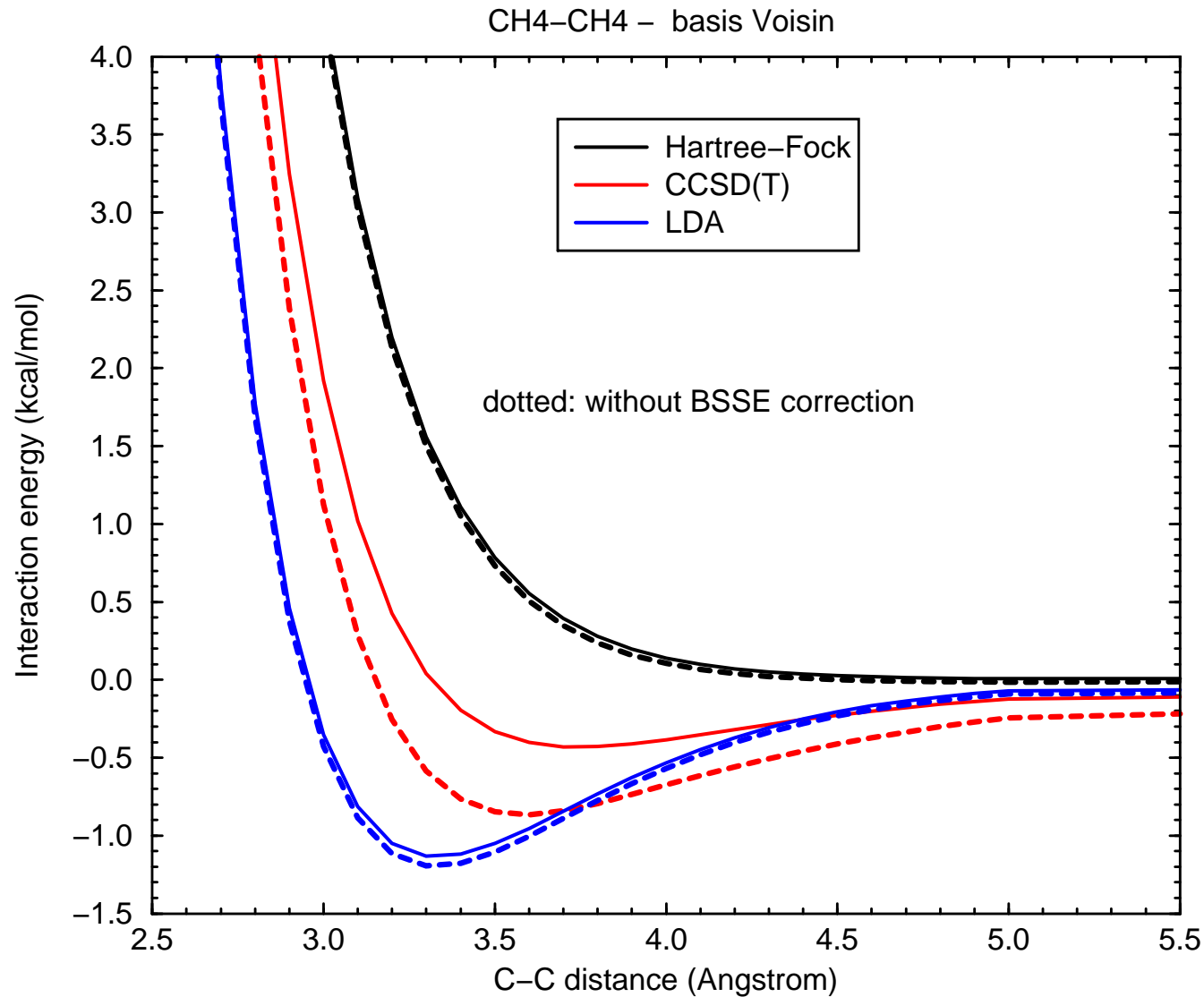
- Computationally advantageous
 - HF/DFT: $\sim N^3$
 - CCSD(T) $\sim N^7$
 - RPA $\sim N^4$ (dRPA); MP2 $\sim N^5$; RPA_x, CISD $\sim N^6$
 - long-range AO integrals not numerous for small μ , as well MO integrals in localized orbitals

Expected advantages

- Computationally advantageous
- Should describe long-range correlation effects correctly
- BSSE less important
- Less basis set dependent than “standard methods”

Expected advantages

- Computationally advantageous



Expected advantages

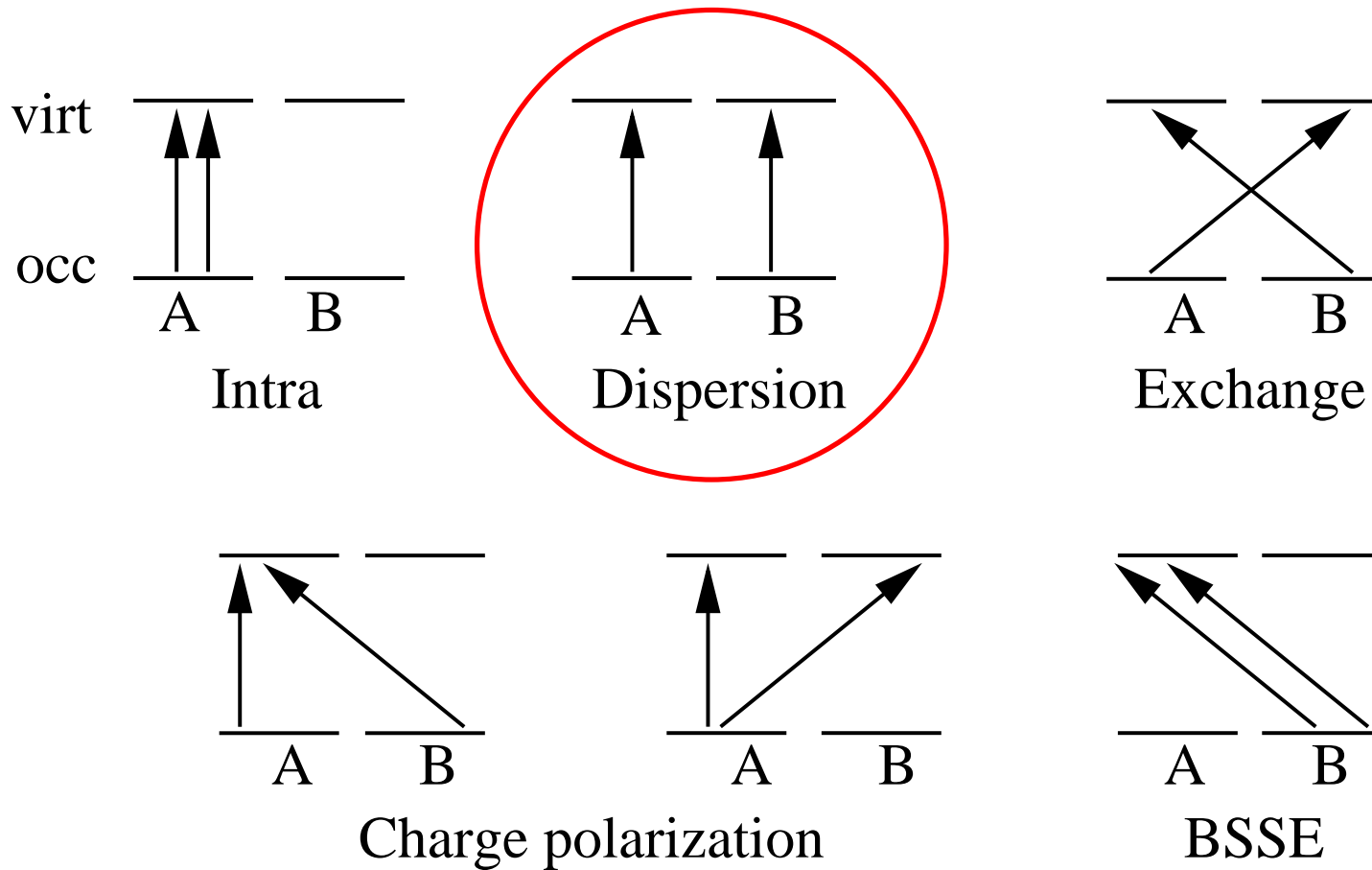
- Computationally advantageous
- Should describe long-range correlation effects correctly
- BSSE less important
- Less basis set dependent than “standard methods”

Choose μ as small as possible

Long-range correlation, RPA

MP2, CISD, CCSD etc.: $E_{Corr.} = \sum_{ijab} t_{ij}^{ab} \langle \Phi_0 | \hat{H} | \Phi_{ij}^{ab} \rangle$

different classes of diexcitations



Long-range correlation, RPA

En vogue: Random Phase Approximation (RPA)

- Coupling of singlet mono-excitations
- Equations from excited states $\langle \Psi_0 | [\hat{H}, F] | \Psi_q \rangle = \Delta E_{0q} \langle \Psi_0 | \hat{F} | \Psi_q \rangle$ and

$$\Psi_0 = \Phi_0 + \frac{1}{4} \sum_{ia,jb} D_{ia,jb} \Phi_{ij}^{ab}$$

$$\Psi_q = \sum_{ia} c_i^a \Phi_i^a$$

- Ground state: ring-CCD equations, infinite summation of ring diagrams in perturbation theory
- Different flavours tested and implemented recently
- Formulation via an adiabatic connection used here

Long-range correlation, RPA

RPA, some elements:

- Matrix equations of type

$$E_{Corr} = \frac{1}{2} \int_0^1 \sum_{ia,jb} (ia|jb)^{lr} (P_{\lambda,c})_{ia,jb} d\lambda$$

$$P_{c,\lambda} = 2[(A_\lambda - B_\lambda)^{1/2} M_\lambda^{-1/2} (A_\lambda - B_\lambda)^{1/2} - 1]$$

with matrices A , B and M

$$M_\lambda = (A_\lambda - B_\lambda)^{1/2} (A_\lambda + B_\lambda) (A_\lambda - B_\lambda)^{1/2}$$

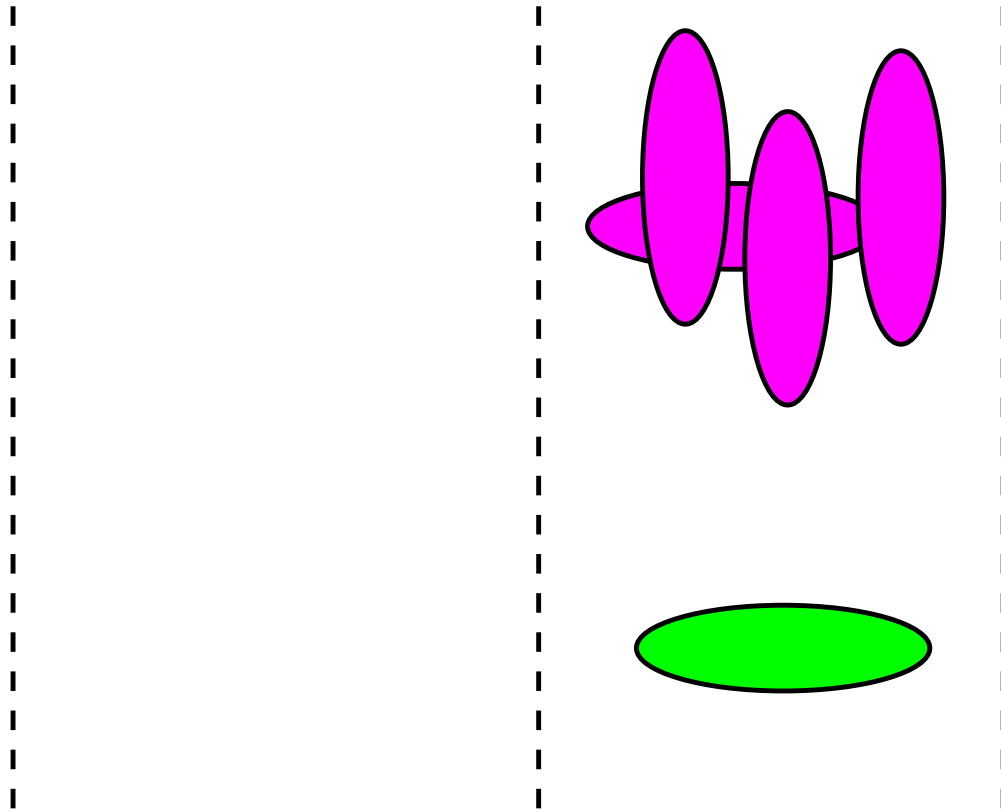
$$(A_\lambda)_{ia,jb} = \langle \Phi_i^a | \hat{H}_\lambda | \Phi_j^b \rangle = F_{ab} \delta_{ij} - F_{ij} \delta_{ab} + 2\lambda (ia|jb)^{lr} - \lambda (ij|ab)^{lr}$$

$$(B_\lambda)_{ia,jb} = \langle \Phi_0 | \hat{H}_\lambda | \Phi_{ij}^{ab} \rangle = 2\lambda (ia|jb)^{lr} - \lambda (ib|ja)^{lr}$$

- Dimension only $n_{occ} \times n_{virt}$.
- Energy through a linear functional in the amplitudes
- Correlation energy invariant to orbital rotations
- Size consistent

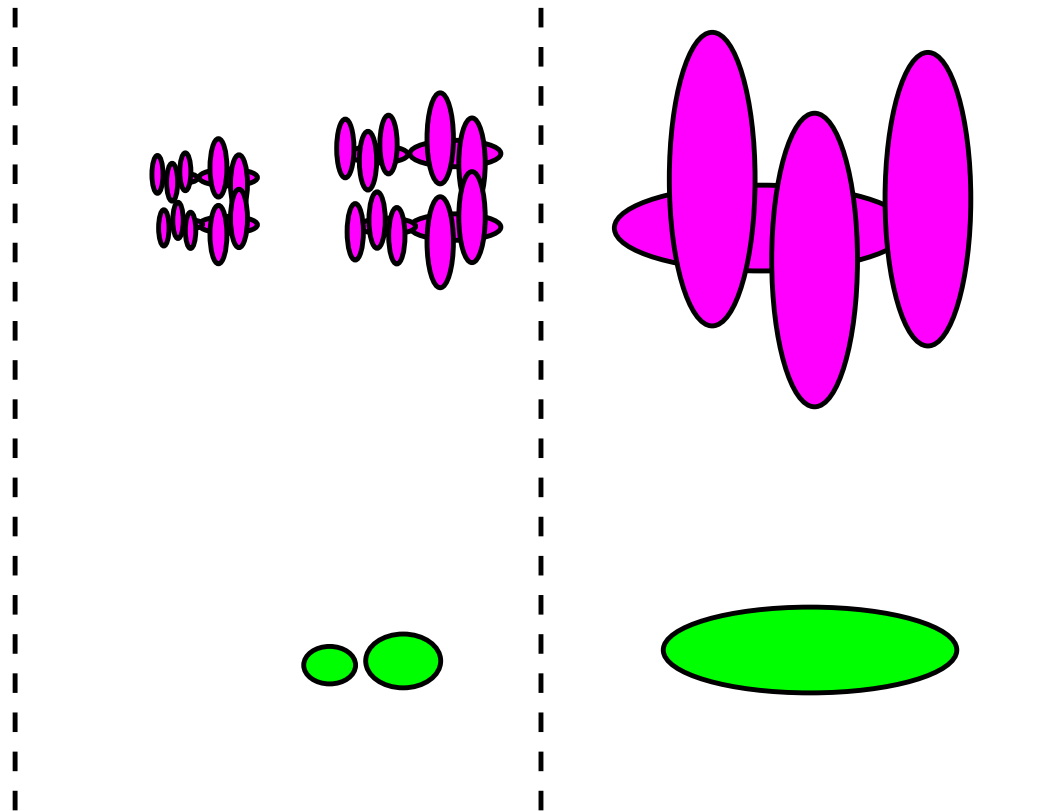
Orbital localization

- SCF of monomers in monomer basis (canonical or localized orbitals)
- Keep virtual orbitals

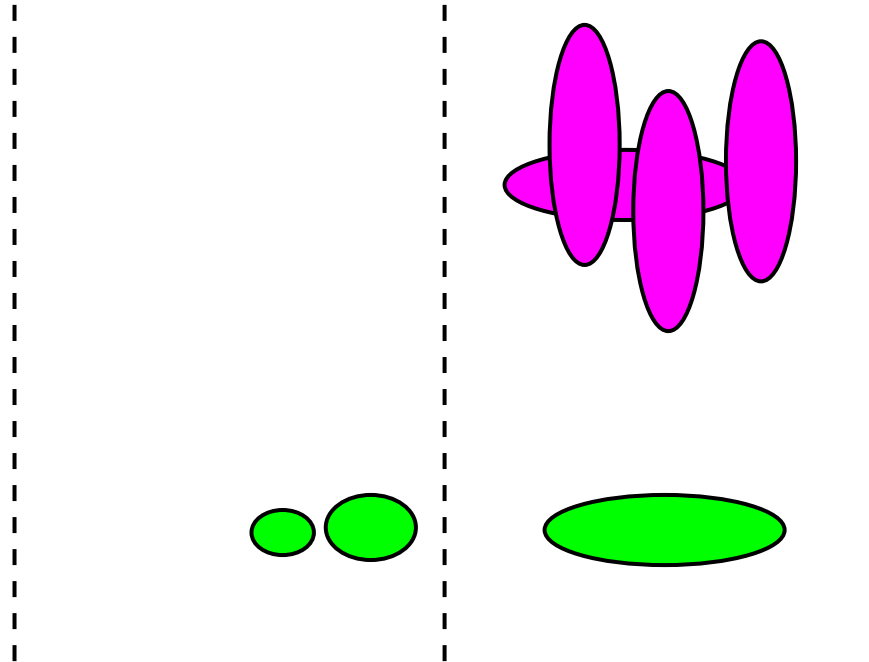


Orbital localization

- SCF of monomers in the dimer basis
- Keep occupied orbitals



Orbital localization



- Occupied orbitals : symmetrical orthogonalisation $\mathbf{S}^{-1/2}$
- Occupied/virtual ones : projection
- Virtuals : symmetric orthogonalisation $\mathbf{S}^{-1/2}$

Orbital localization

Multi-step localization without canonical orbitals: Super-CI

- CI of mono-excited determinants

SCF iterations

1. **Guess** orbitals
2. **Orthogonalization** via $\mathbf{S}^{-1/2}$
3. Construction of the **Fock** matrix, total energy, convergency ?
4. Approximate Singles-CI matrix, $\langle \Phi_i^a | \mathbf{H} | \Phi_j^b \rangle \approx F_{ab} \delta_{ij} - F_{ij} \delta_{ab}$
Best wavefunction : $\Psi = \Phi_0 + \sum_{ia} c_i^a \Phi_i^a$
5. Correction to first order of the **orbitals** through the CI coefficients

$$\phi'_i = \phi_i + \sum_a c_i^a \phi_a \quad (3)$$

$$\phi'_a = \phi_a - \sum_i c_i^a \phi_i \quad (4)$$

6. Back to step 2

Dispersion-only approximation

Three possibilities:

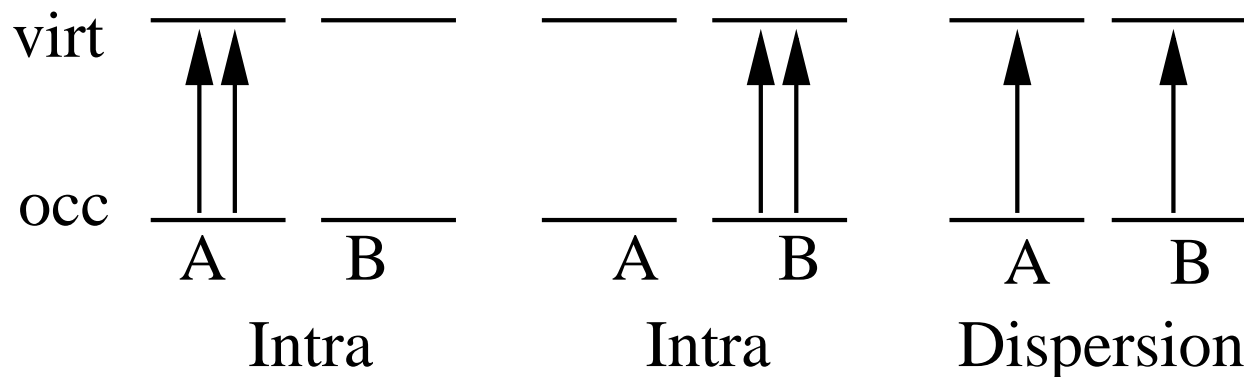
1. RPA calculation for dimer and monomers, difference:

$$\Delta E_{Corr} = E_{Corr, multimer} - E_{Corr, monomers}$$

2. Only the dispersion component of the dimer RPA calculation:

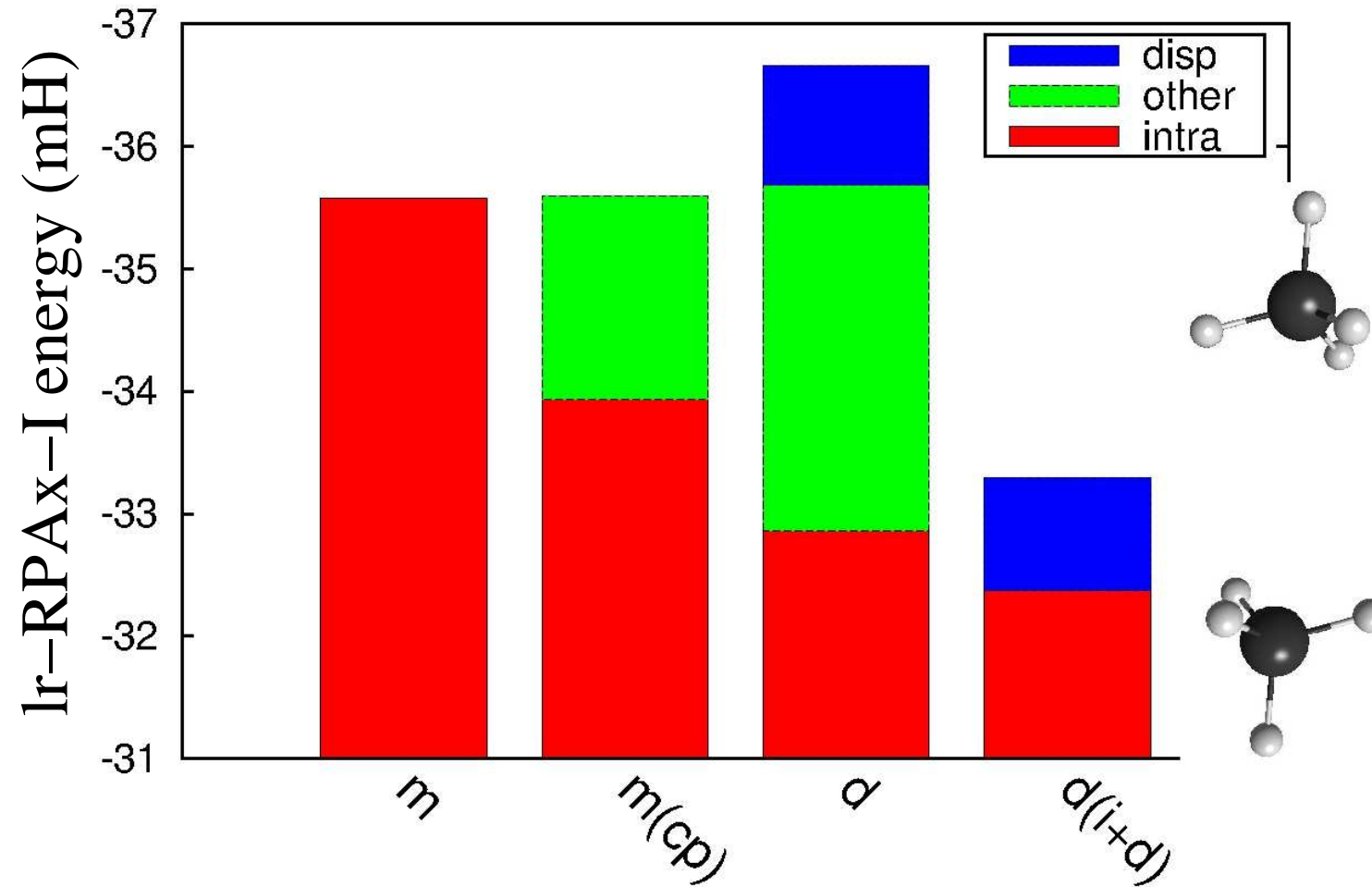
$$\Delta E_{Corr} = \sum_{Disp.} t_{ij}^{ab} \langle \Phi_0 | \hat{H} | \Phi_{ij}^{ab} \rangle$$

3. Include only intra and dispersion interactions in the RPA



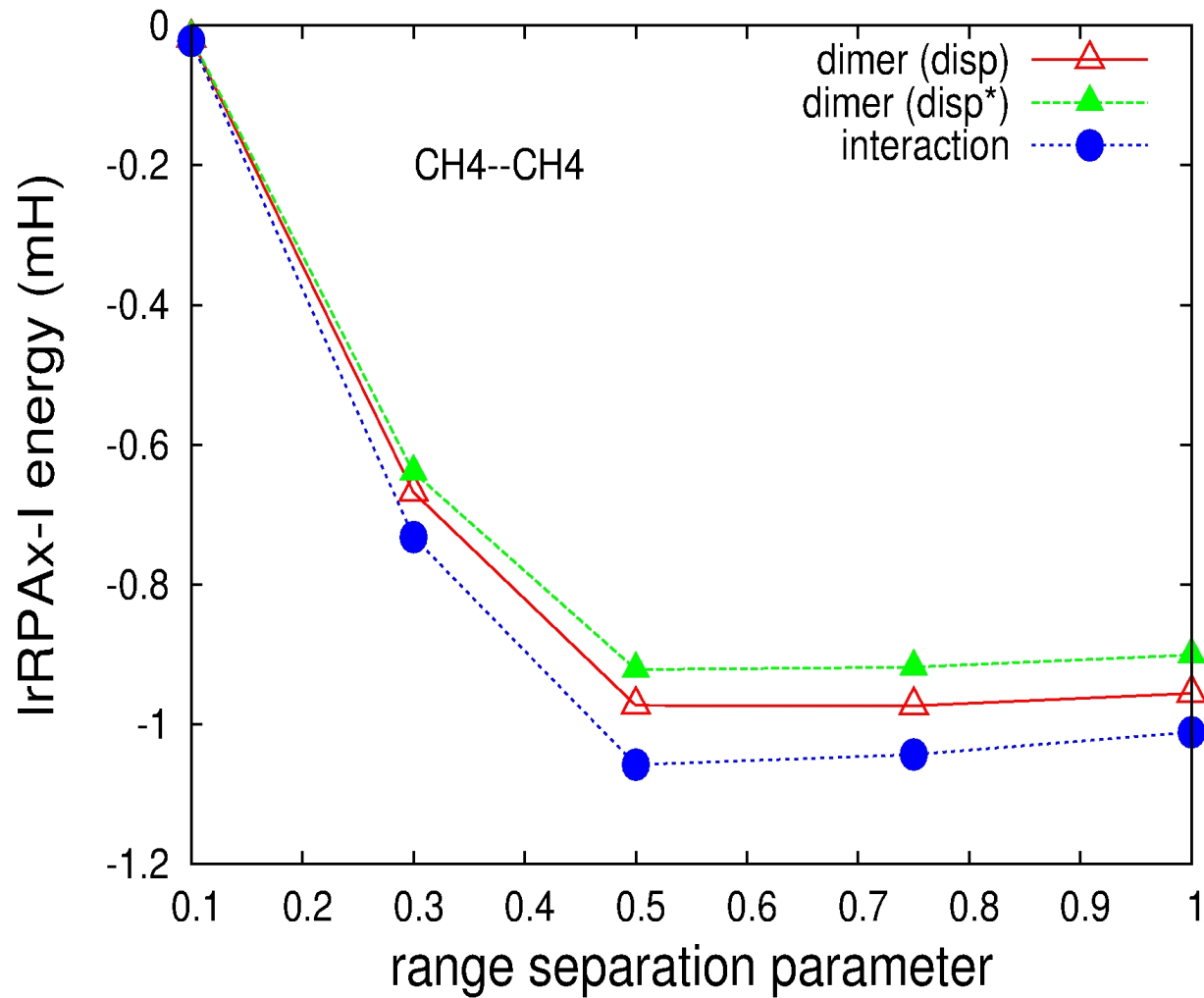
Dispersion-only approximation

$\mu = 0.5$ a.u.



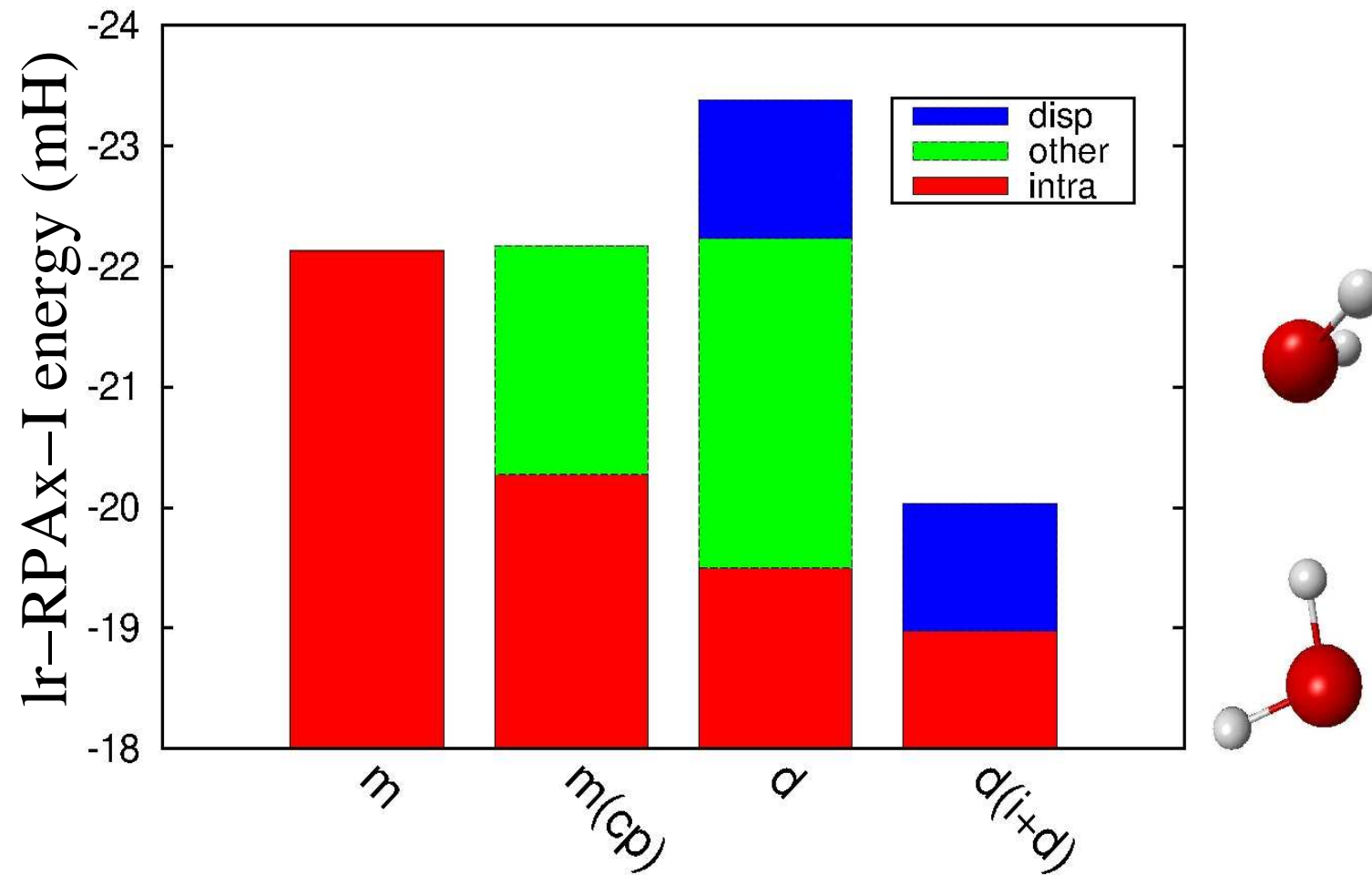
Dispersion-only approximation

As a function of the separation parameter μ



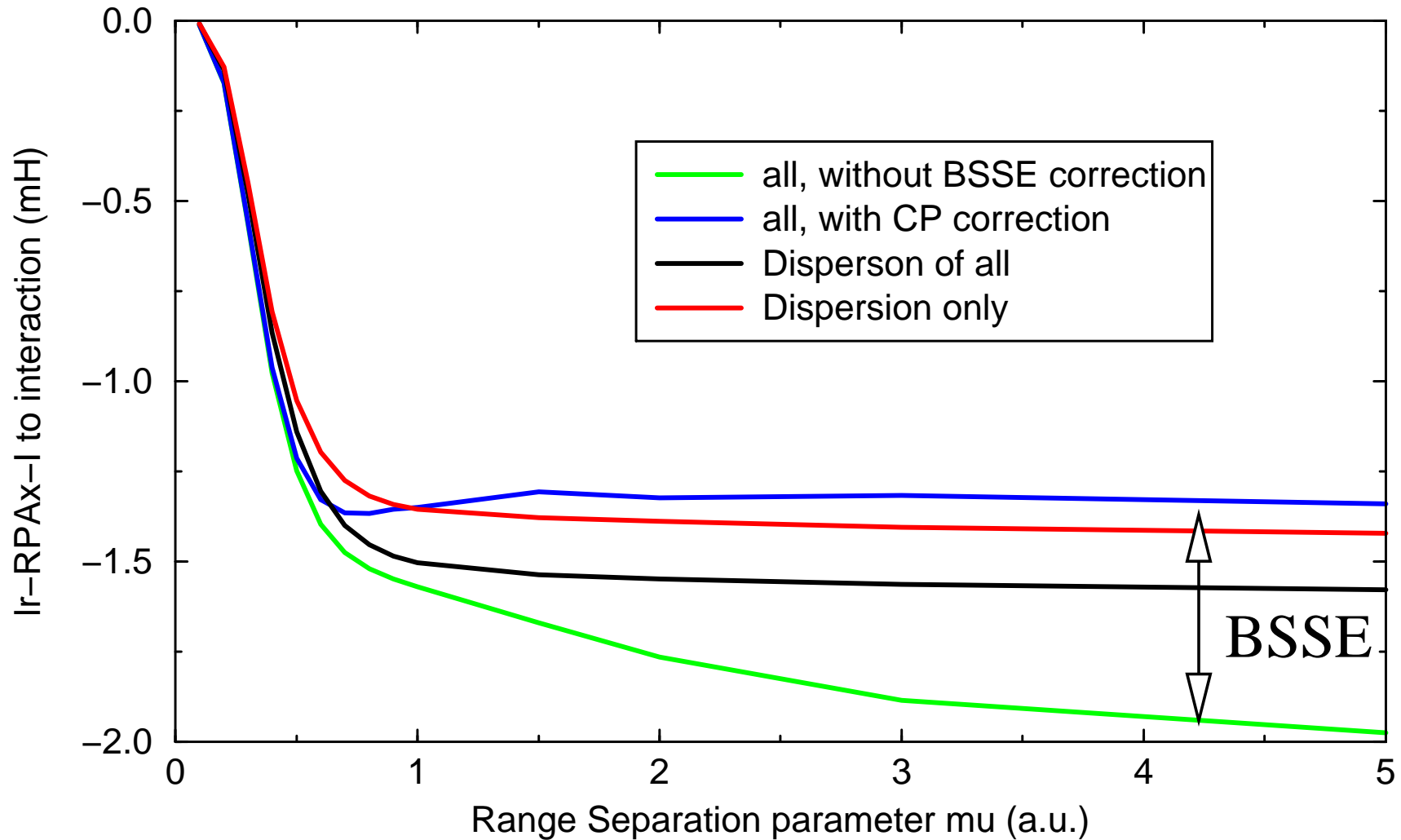
Dispersion-only approximation

$\mu = 0.5$ a.u.



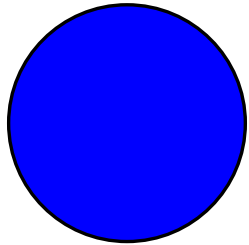
Dispersion-only approximation

As a function of the separation parameter μ

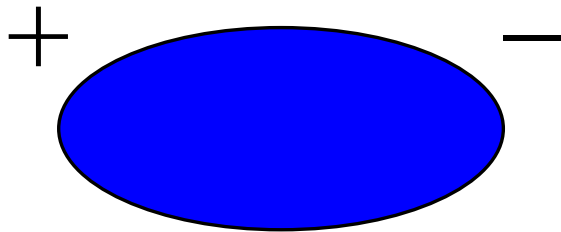
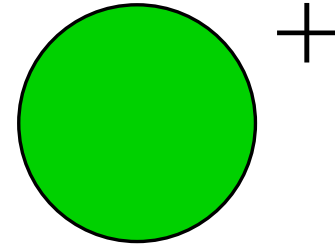


More extreme: $\text{NH}_4^+ \dots \text{H}_2\text{O}$

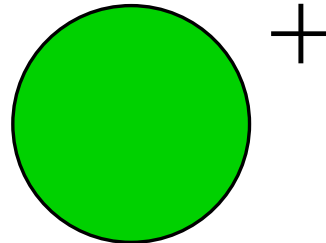
Repulsive correlation contribution: monomer correlation larger than in the dimer due to polarization



unperturbed density



polarized density

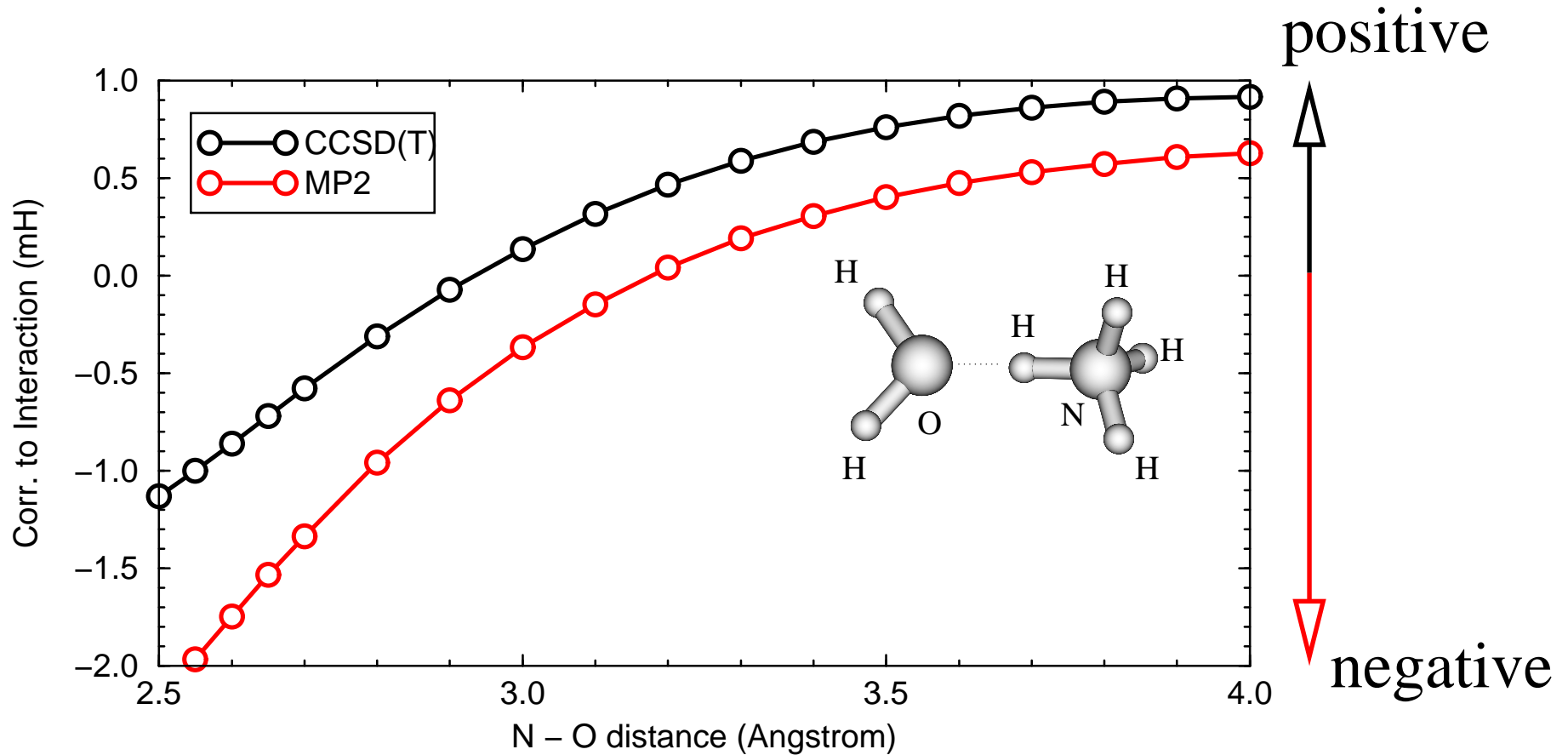


However, dispersion is ALWAYS attractive

More extreme: $\text{NH}_4^+ \dots \text{H}_2\text{O}$

Correlation contribution to the interaction energy

$\mu \longrightarrow \infty$, i.e. Hartree-Fock wavefunction



More extreme: $\text{NH}_4^+ \dots \text{H}_2\text{O}$

Repulsive correlation contribution ($d = 3.5 \text{ \AA}$)

