

# TCCM lectures – Advanced Computational Techniques

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# Thursday morning — II

- Common quantum chemistry problems

# Overview of computational methods

- Hartree-Fock to start with ... or Hückel?
- Multiconfigurational SCF
- Perturbation theory for electron correlation
- CISD and derivatives (CEPA, ACPF)
- Coupled-Cluster Theory
- Full CI
- Density-Functional methods
- Atoms-In-Molecules, Non-Covalent-Interactions etc
- Quantum Monte-Carlo methods
- Quantum chemistry for periodic systems
- Molecular dynamics, potential surfaces, finite-temperature methods ...

What are the bottle-necks? What are sources of errors?

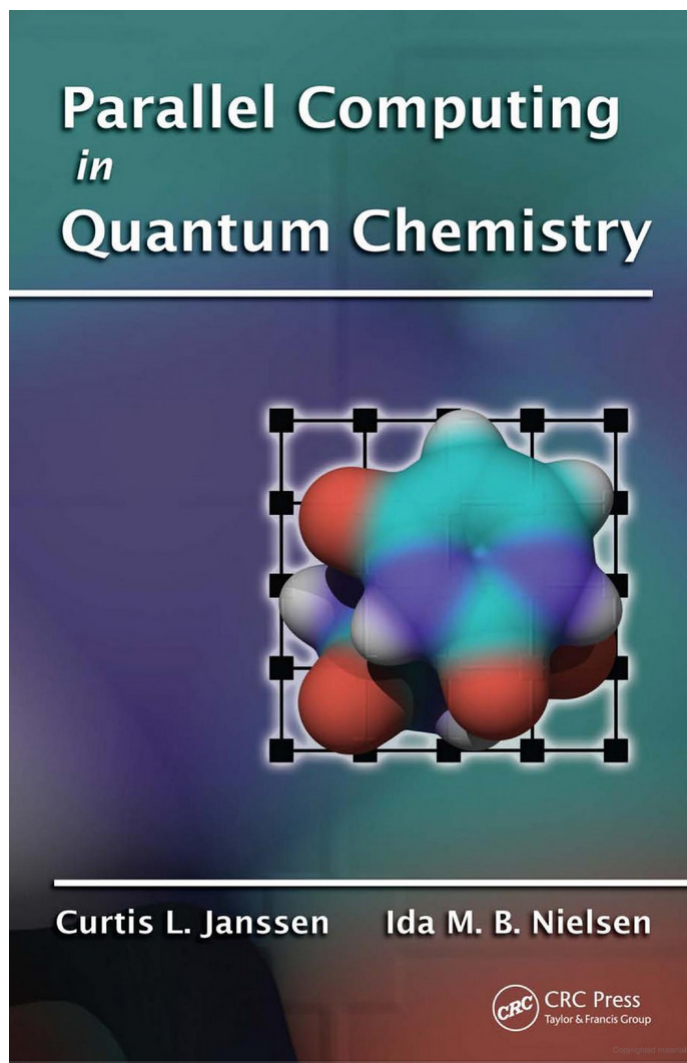
# Overview of computational methods

## Ingredients

- Atom-centered basis sets
- Precalculated integrals for one- and two-electron operators
- Storage and diagonalization of operator matrices
- Storage and matrix elements between excited determinants
- Matrix and vector operations in high dimensions
- Four-index integral transformation  $(\alpha\beta|\gamma\delta) \rightarrow (ij|kl)$
- Scaling well beyond  $N$  or  $N \log N$
- Random numbers
- Algebra in the complex plane (periodic systems)
- Numerical integration on grids
- Numerical interpolation in multidimensional spaces

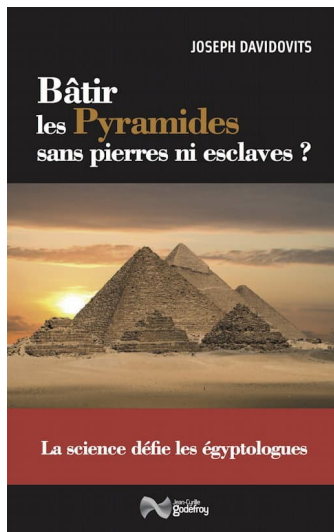
# Overview of computational methods

What can be parallelized on 100 processors, on 10 000 processors?



# Overview of computational methods

- Calculation of integrals?  $N^4 \longrightarrow 100$  procs
- Fock matrix elements?  $N^2$ , 10 procs
- Double excitations?  $n_o^2 N_v^2$
- Matrix elements between double excitations?  $N^6$ , 100 procs, but ...
- Matrix elements between triple excitations?  $N^8$
- Strange situation .... known already in ancient Egypt, 4500 years ago



Way out: Localized orbitals or Density-Functional Theory !

# Overview of computational methods

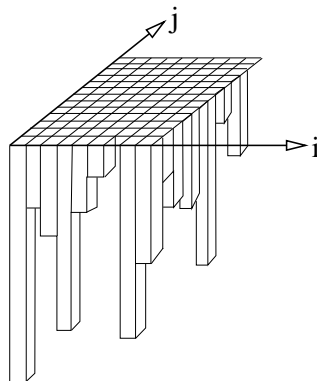
Integral-driven or Configuration-driven ?

$$\langle \Phi_{ij}^{ab} | \hat{H} | \Phi_{ij}^{cd} \rangle = (ac|bd) - (ad|bc)$$

Loop over configurations  $\Phi_{ij}^{ab}$  or over integrals  $(ab|cd)$ .

- In which category are less elements?
- CISD: many orbitals, but only 2 electrons  $\rightarrow$  integral-driven
- CAS-CI: a few orbitals, but many configurations  $\rightarrow$  configuration-driven

One may rearrange configurations with common indexes:



The matrix elements within each 1D “rod” need evaluation.

# Hash tables and bisection

- Bi-electronic integrals  $(ij|kl)$ , many are small or even zero, no need to store them.
- Canonical ordering:  $i \leq j, k \leq l, i \leq k$ , if  $i = k$  then  $j \leq l$  to avoid double storage
- Schwartz inequality:  $(ij|kl) \leq \sqrt{(ij|ij)(kl|kl)}$
- Calculate first the  $N^2$  integrals  $(ij|ij)$
- Maximum number of different integrals:

$$\frac{1}{8}N(N+1)(N(N+1)+2) = \frac{1}{8}N(N^3 + 2N^2 + 3N + 2)$$

- Exact address in memory is a complicated polynomial of 4th order in the first index
- Integrals may be cast into index classes, occ–virt, all different or not
- All integrals have to fit into memory.
- Storage with indexes or in an order?
- How to find a needed integral  $(ij|kl)$  rapidly?



# Hash tables and bisection

## Hash tables

- Integrals are stored with their indexes in a list
- Allocate a hash table  $(N, \text{depth})$
- For each integral create a number from the 4 indexes as a key
- Store the index of the integral at this place
- If a second index combination generates the same number, it is stored with a second index
- Rapid access  $\longleftrightarrow$  memory needs for the hash table
- No need for integral ordering, or definition of classes
- How to generate the key?
  - $\lambda(ijkl) = l + \alpha(k + \alpha(j + \alpha i))$  with a given  $\alpha$
  - $\text{position} = \text{mod}(\lambda, N) + 1$
  - store in the last non-occupied depth
- 3rd-order polynomial = 3 multiplications + 3 additions

# Hash tables and bisection

## Typical situation

```
NBAS =          46  NOCC =          4  NVIRT =          42

updating the hash table
  deepest hashing =          4

statistics of the hash table

      0      626804
      1      73162
      2       2360
      3         52
      4          1
      5          0
      6          0
      7          0
      8          0
      9          0

read in total      78042  integrals in core

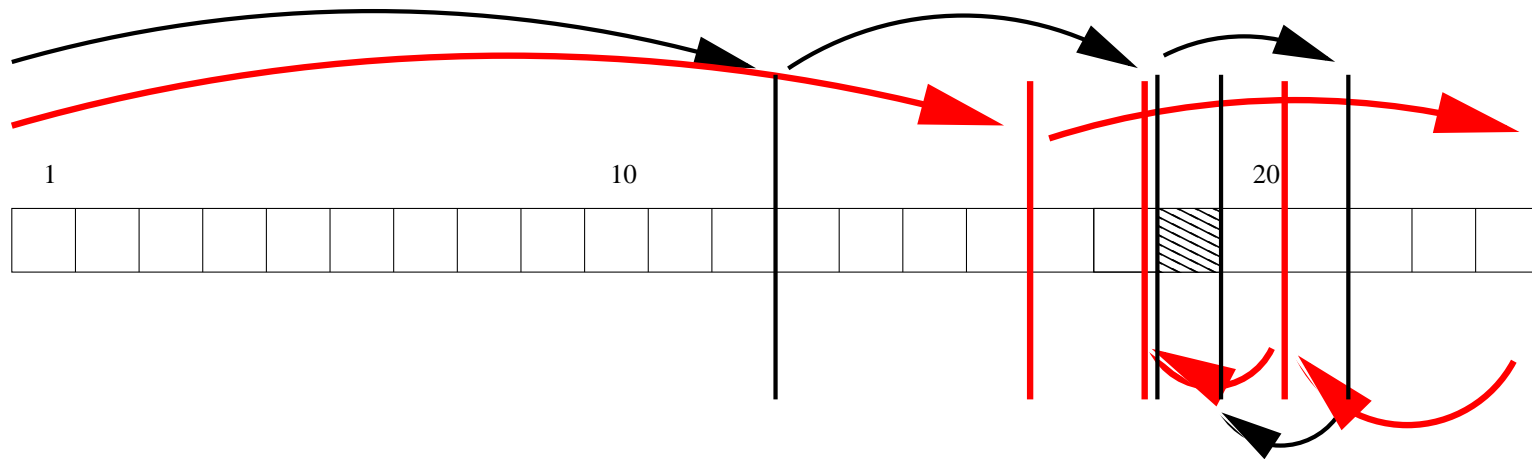
NUMBER OF INTEGRALS IN THE DIFFERENT CLASSES:
TYPE          N1          N2
              (AABC)      (ABCD)
-----
0000           22           0
000V          415          84
OV0V          1322         2713
OOVV          1373         1337
OVVV          5157        37506
VVVV          28113          0
-----
```

For  $N = 46$  we would need 4 477 456 instead of the minimal 584 821 places.  
And we have “only” 78 042 relevant integrals to store (13 %).

# Hash tables and bisection

## Bisection

- Order data lexically
- Use for instance `heapsort`, no additional memory needed
- No need for additional tables neither
- Regroup integrals for reducing the search amplitudes, e.g. wrt to 1st index
- Start at  $N/2$ , look where your data should be, divide interval by 2 etc.
- For  $2^n$  data an item is found in  $n$  steps.
- Need for  $n$  steps as well to find that an integral is not present



# Full CI – why not?

- We have a set of molecular orbitals  $\{\phi_i(\vec{r})\}$  and determinants  $\{\Phi_I\}$
- Full CI means that we run over all orbitals and all determinants
- Look for the lowest eigenvalue of the matrix  $H_{IJ} = \langle \Phi_I | \hat{H} | \Phi_J \rangle$
- What is the action of  $\hat{H}$  on a given wavefunction  $\Psi = \sum_J c_J \Phi_J$ ?
- We write  $\hat{H}|\Psi\rangle = \sum_I c'_I |\Phi_I\rangle = \sum_J c_J \hat{H}|\Phi_J\rangle$  or

$$c'_I = \sum_J c_J \langle \Phi_I | \hat{H} | \Phi_J \rangle$$

- The matrix elements of  $\hat{H}$  may be written as

$$c'_I = \sum_{tu} \tilde{h}_{tu} \sum_J c_J A_{tu}^{IJ} + \frac{1}{2} \sum_{tuvx} (tu|vx) \left[ \sum_J c_J \left( \sum_K A_{tu}^{IK} A_{vx}^{KJ} \right) - \delta_{uv} A_{tx}^{IJ} \right]$$

- Generator matrix elements  $A_{tu}^{IJ} = \langle \Phi_I | \hat{E}_{tu} | \Phi_J \rangle$  (destroy an electron in orbital  $\phi_u$  and create one in  $\phi_t$ , very sparse matrix!)

# Full CI – why not?

- Auxiliary matrices

$$D_{tu}^K = \sum_J c_J A_{tu}^{KJ}$$
$$E_{tu}^K = \sum_{vx} (tu|vx) D_{vx}^K$$

- Final expression

$$c'_I = \sum_{tu} \left\{ \left( \tilde{h}_{tu} - \frac{1}{2} \sum_r (tr|ru) \right) D_{tu}^I + \frac{1}{2} \sum_K A_{tu}^{IK} E_{tu}^K \right\}$$

- Matrices  $D$  and  $E$  with 3 indices, matrix  $A$  very sparse and precalculated
- Ready for iterative solution

$$|\Psi\rangle \rightarrow \hat{H}|\Psi\rangle \rightarrow |Q\rangle = (\hat{H} - \langle\Psi|\hat{H}|\Psi\rangle)|\Psi\rangle \rightarrow \dots$$

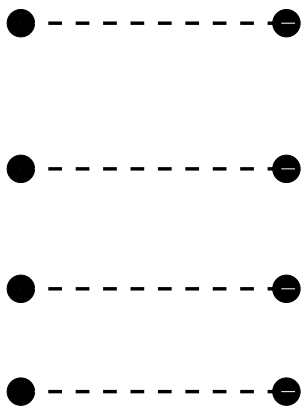
# Perturbation theory

$$\begin{aligned}E_0^{(1)} &= \langle \Phi_0 | V | \Phi_0 \rangle = \langle 0 | \hat{V} | 0 \rangle = \langle 0 | \hat{H} - \hat{H}_0 | 0 \rangle \\E_0^{(2)} &= \langle \Phi_0 | V | \Psi^{(1)} \rangle = \sum_{k \neq 0} \langle 0 | \hat{V} \frac{|k\rangle\langle k|}{E_0^{(0)} - E_k^{(0)}} \hat{V} | 0 \rangle \\E_0^{(3)} &= \langle \Phi_0 | V | \Psi^{(2)} \rangle \\&= \sum_{k, l \neq 0} \langle 0 | \hat{V} \frac{|k\rangle\langle k|}{E_0^{(0)} - E_k^{(0)}} \hat{V} \frac{|l\rangle\langle l|}{E_0^{(0)} - E_l^{(0)}} \hat{V} | 0 \rangle \\&\quad - E_0^{(1)} \sum_{k \neq 0} \left( \frac{\langle 0 | V | k \rangle}{E_0^{(0)} - E_k^{(0)}} \right)^2\end{aligned}$$

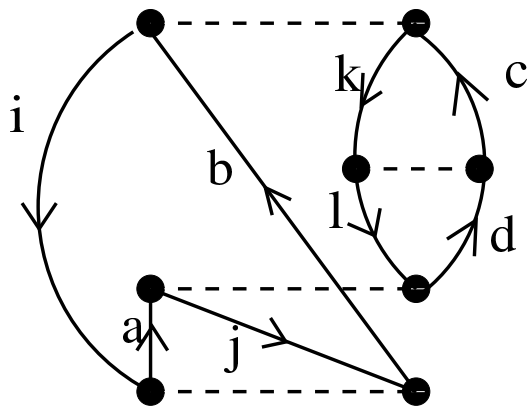
There is a systematic structure in the equations ...

# Perturbation theory

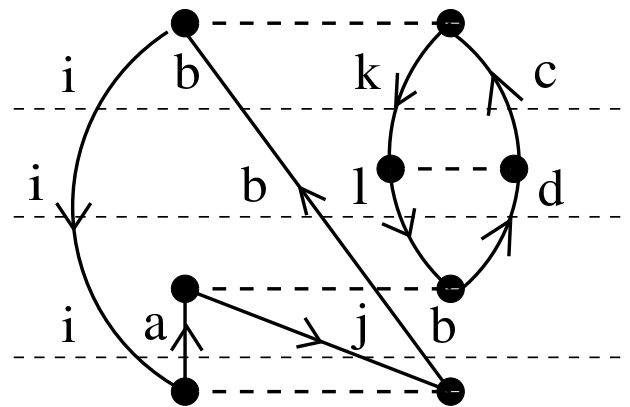
Graphical approach: a 4-th order diagram as example



(1)



(2)

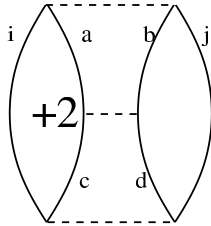


(3)

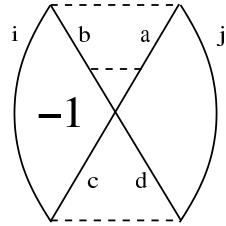
$$\sum_{ijkl} \sum_{abcd} (-1)^{2+4} 2^2 \frac{(ib|kc)(kl|cd)(ja|ld)(ia|jb)}{(\epsilon_i + \epsilon_k - \epsilon_b - \epsilon_c)(\epsilon_i + \epsilon_l - \epsilon_b - \epsilon_d)(\epsilon_i + \epsilon_j - \epsilon_a - \epsilon_b)}$$

# Perturbation theory

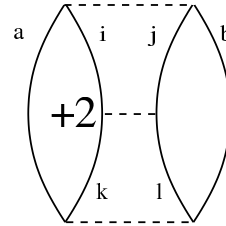
All third-order diagrams



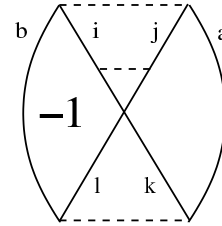
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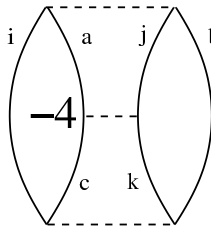
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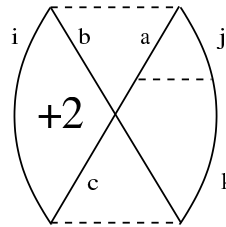
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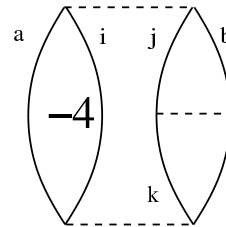
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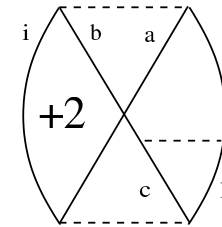
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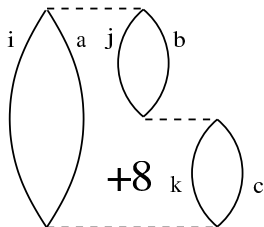
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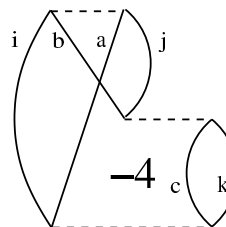
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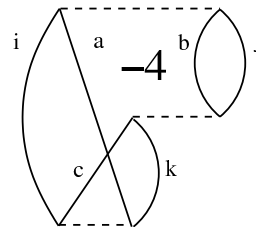
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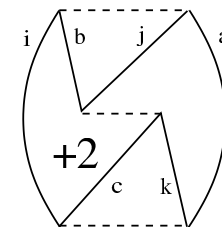
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(10)



(11)



(12)



# Density Functional Theory

Integration grids needed for numerical integration of the functionals

- Spherical around atoms
- Logarithmic radial grids
- Space-filling between atoms



Chemical Physics Letters  
Volume 209, Issues 5–6, 16 July 1993, Pages 506–512



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## A standard grid for density functional calculations

Peter M.W Gill <sup>1</sup>✉, Benny G Johnson, John A Pople

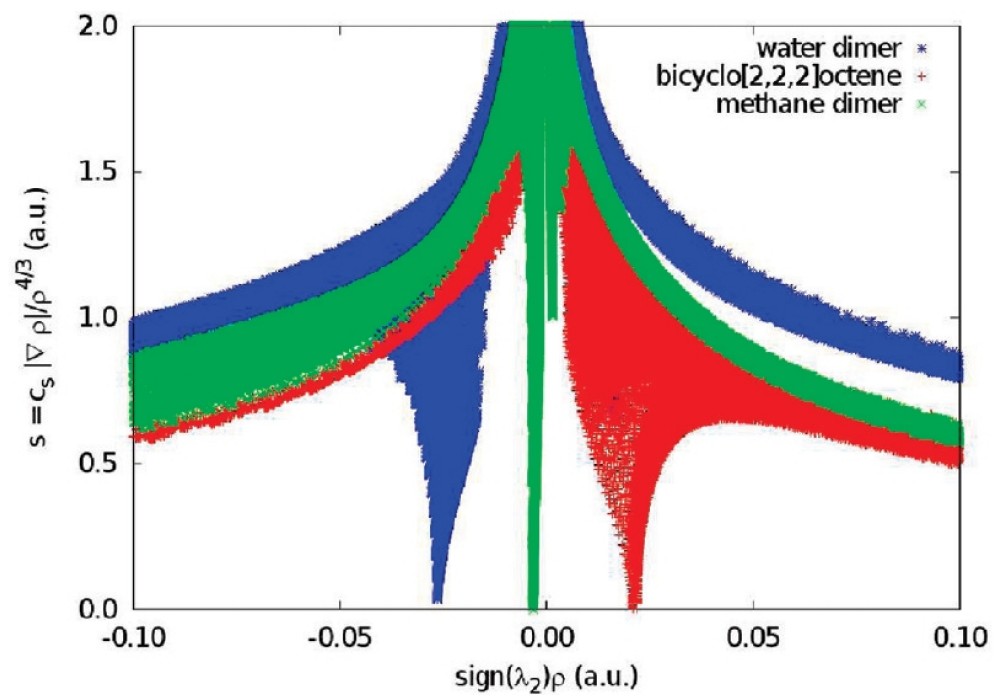
Department of Chemistry, Carnegie Mellon University, Pittsburgh, PA 15213,  
USA

# AIM, ELF, NCI etc

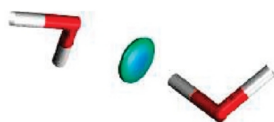
Evaluation of orbitals, densities etc on grids

- Domain boundaries difficult to localize in space
- Description of hypersurfaces, e.g.  $\Delta\rho = 0$
- Huge data volumes, however good compressibility
- Data should be plotted in 3D: `.cube` format

# AIM, ELF, NCI etc



Repulsive



H bond



Dispersion

# Quantum Monte-Carlo methods

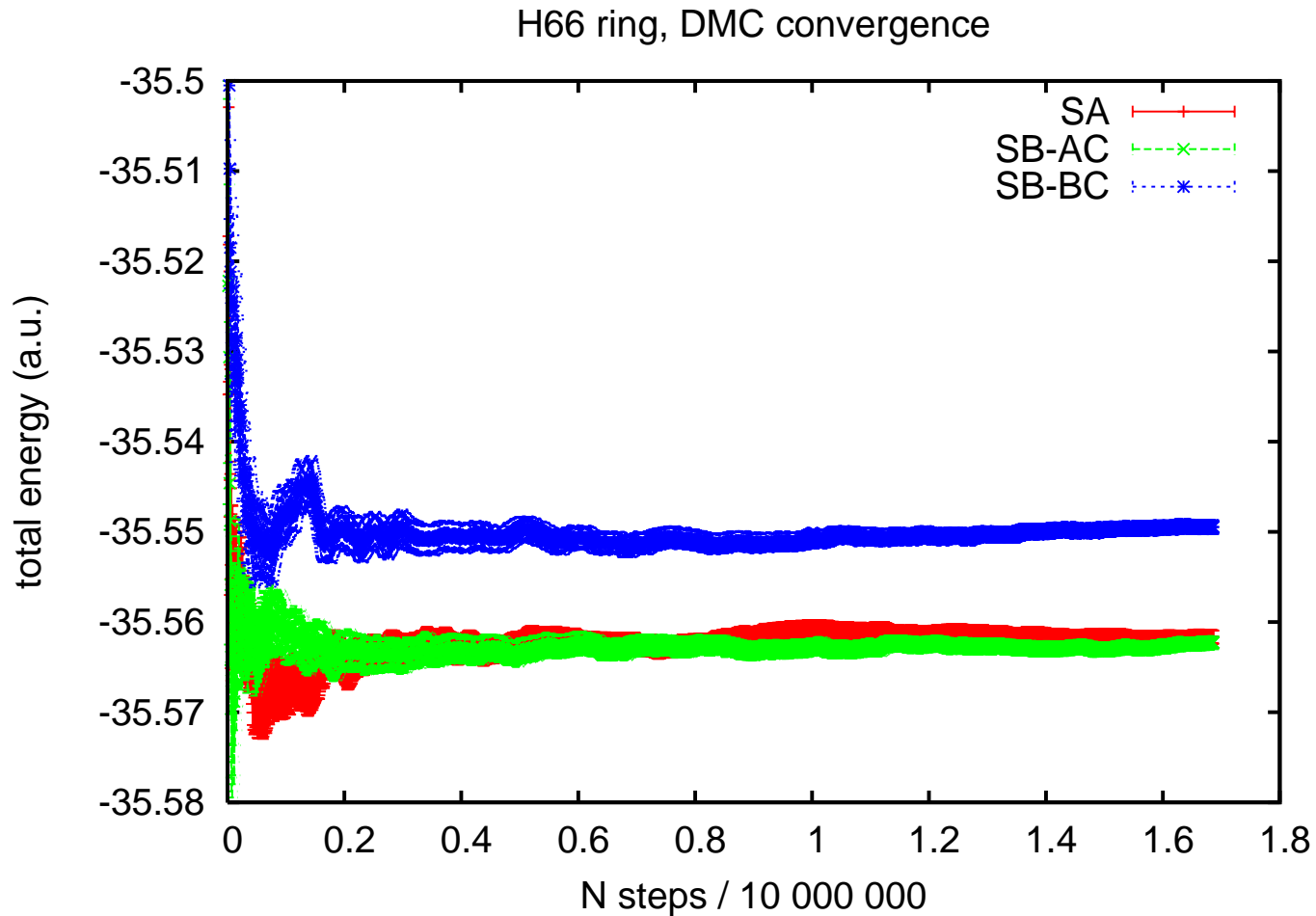
Evaluation of  $(\hat{H}\Psi)/\Psi$  for calculating

$$E = \langle \Psi | \hat{H} | \Psi \rangle = \int |\Psi|^2 \left( \frac{\hat{H}\Psi}{\Psi} \right) dx^{3N} = \sum_i w_i E_{\text{local}}(\{\vec{r}_j\}_i)$$

- $|\Psi|^2$  is a probability distribution
- $(\hat{H}\Psi)/\Psi$  is a  $3N$ -dimensional function; we need the Laplacian of the wavefunction with respect to every electron, and the potential energy
- Create a number of initial electron distributions
- calculate the total energy
- displace electrons, recalculate the energy  $E_{\text{local}}$
- accept the suggested displacement with a probability  $P(E_{\text{local}})$
- sum over all generated configurations  $\{\vec{r}_j\}_i$
- trivially parallelizable

# Quantum Monte-Carlo methods

Statistical error bar well-known, but not the expectation value  $E = \langle \Psi | \hat{H} | \Psi \rangle$



# Quantum Chemistry for periodic systems

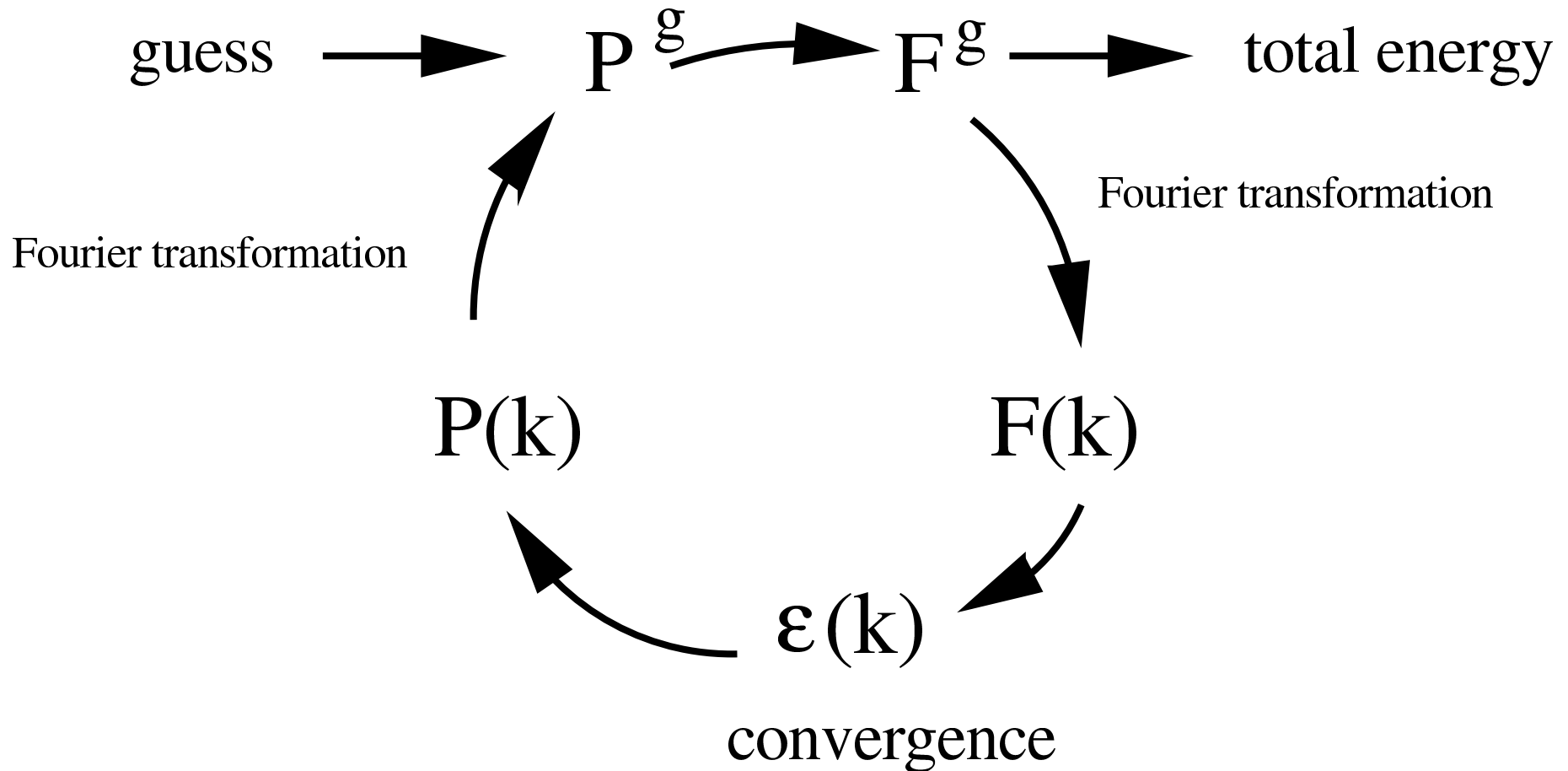
Infinite summations over unit cells

- Electrostatic interactions
  - nuclear-nuclear: repulsive
  - nuclear-electron: attractive
  - electron-electron: repulsive

has to sum to a finite value per unit cell

- Exchange interactions have to converge on their own
- additional index for quantities needed: cell vector
- Fourier transform for  $k$ -space: complex numbers via  $e^{ik \cdot g}$
- construct Fock matrix in real space, transform to  $k$ -space, diagonalize, transform density matrix back to real space
- parallel computation by symmetry of crystals and  $k$  points

# Quantum Chemistry for periodic systems



SCF-scheme for a Hartree-Fock program for periodic systems (Pisani/Del Re 1967)

# Molecular dynamics

Classical dynamics with an ab-initio potential surface

- Initial geometry of  $N$  atoms in space, initial positions and momenta given
- Potential surface in  $3N - 6$  dimensions given
- Calculate forces on atoms as  $\vec{F}_i = -\vec{\nabla}_i V(\vec{r}_1, \dots, \vec{r}_N)$
- Calculate new positions and momenta after an acceleration period of  $\Delta t$
- Recalculate forces, recalculate displacements etc

Sources of “errors”

- Approximate potential surfaces
- Neglect of intrinsic quantum effects
- Discrete time steps
- Integration algorithms (Euler, Runge-Kutta, Cash-Karp, Verlet, etc)
- Limited number of trajectories of limited length in time for statistical treatment



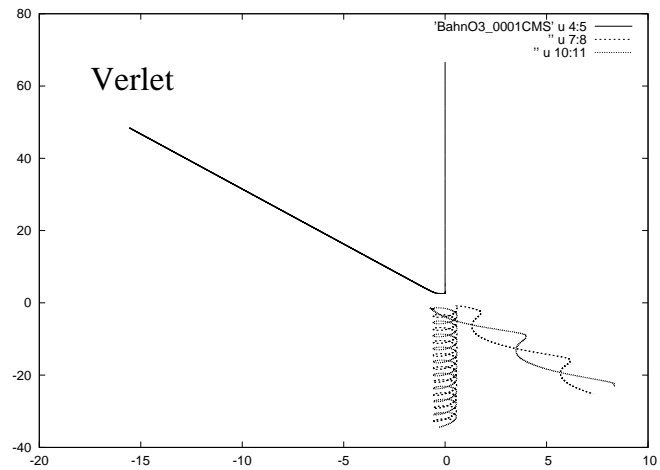
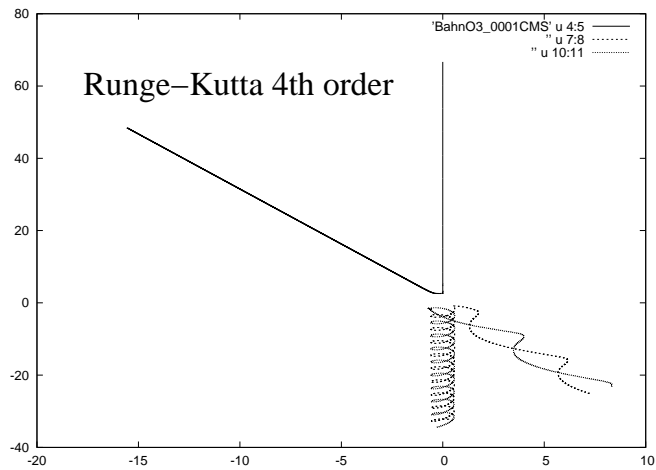
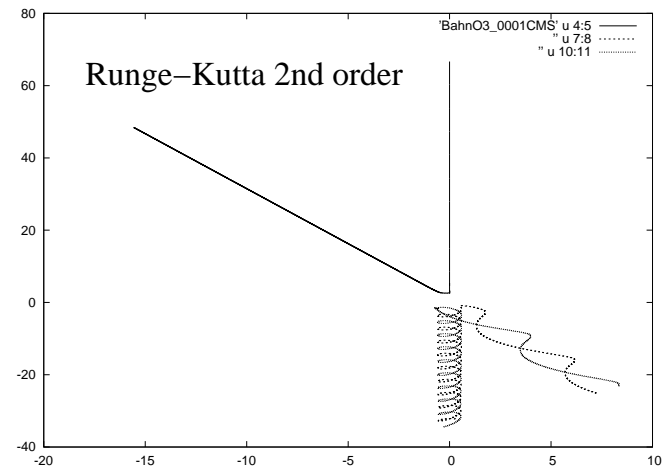
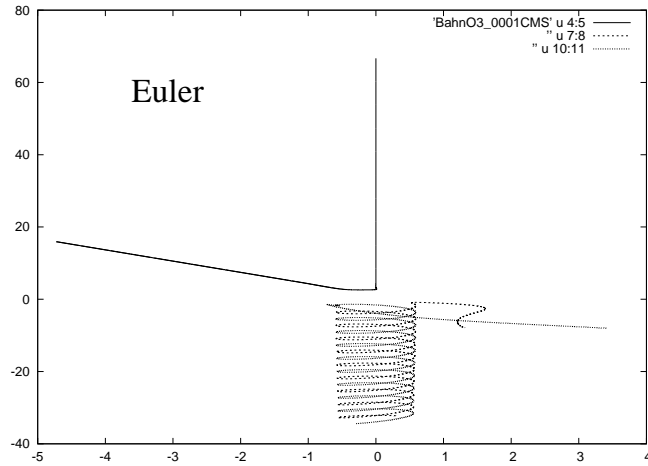
# Molecular dynamics

## Control mechanisms

- Correct total energy after each time step via kinetic energy
- Watch total angular momentum as conserved quantity of movement
- Try different time steps

# Molecular dynamics

## O + O<sub>2</sub> scattering – Schinke surface



# Molecular dynamics

O + O<sub>2</sub> scattering – Tyutereev surface

