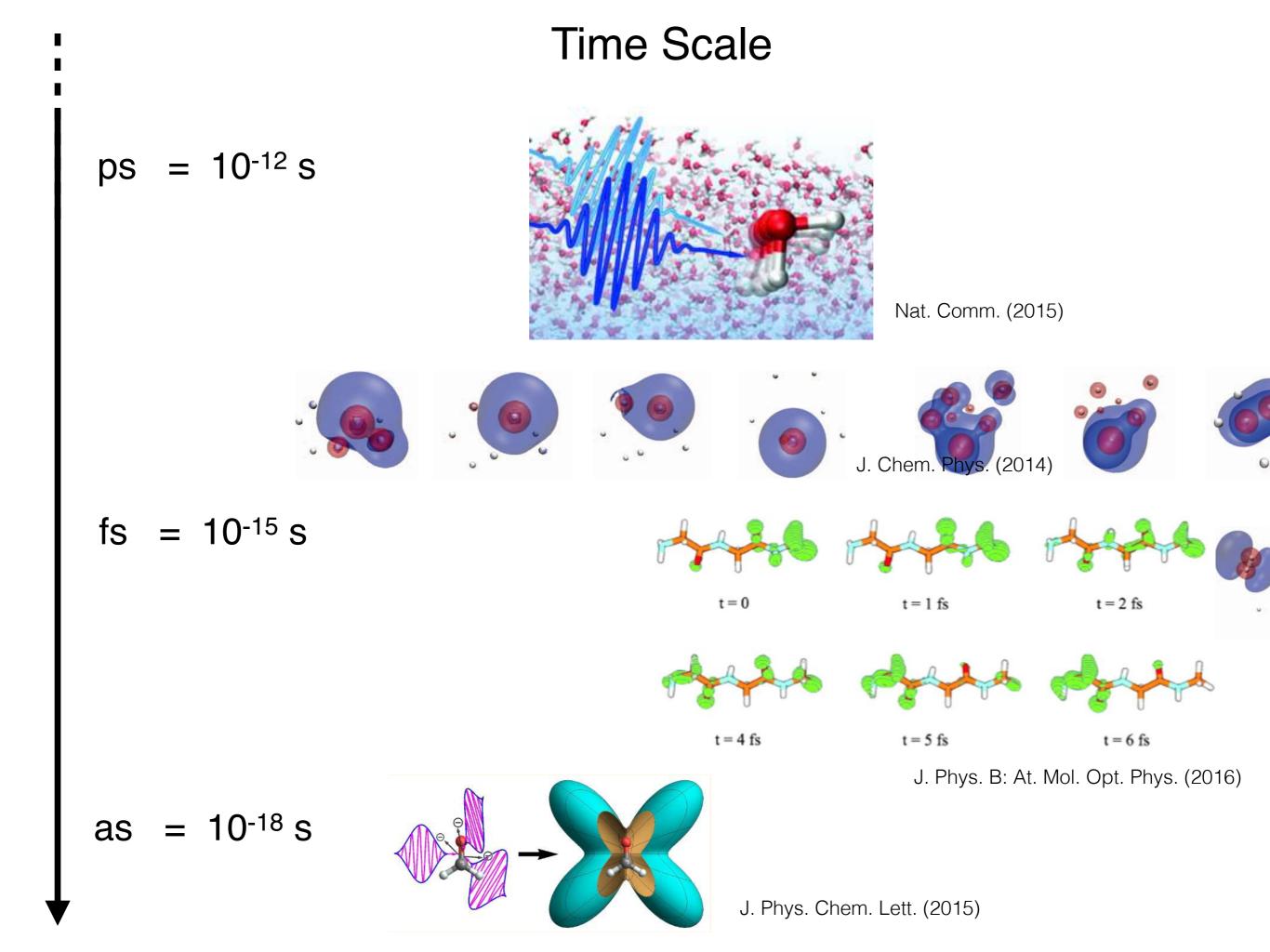
New theoretical approaches to study single- and multi-photon ionisation in atoms and molecules :

challenging the continuum

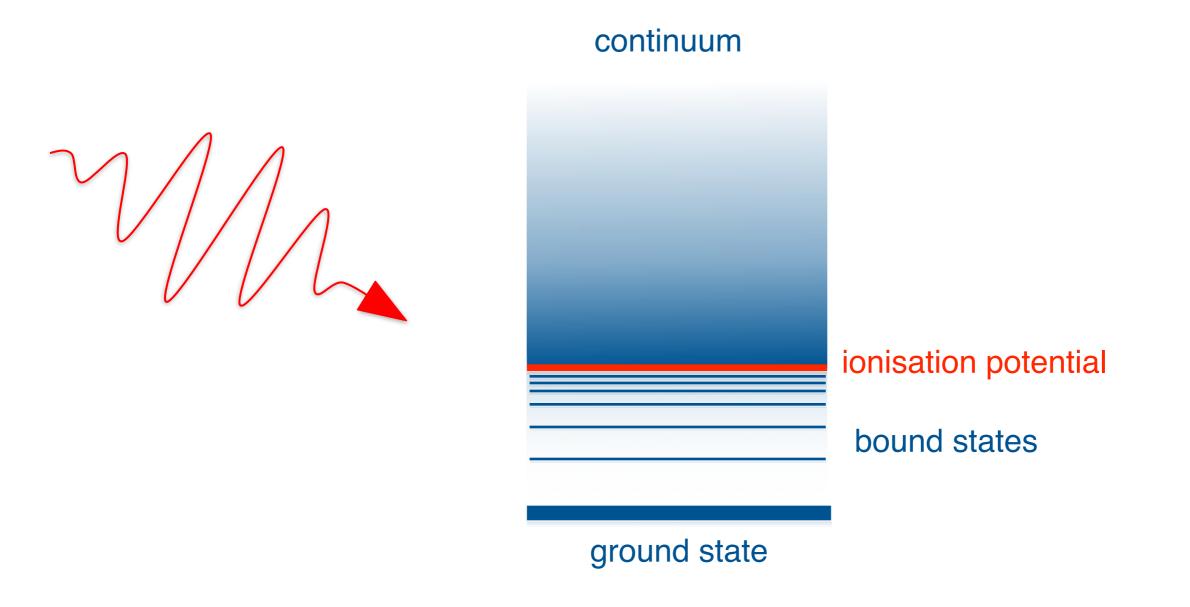
Eleonora LUPPI

Laboratoire de Chimie Théorique Sorbonne Université - CNRS, Jussieu Paris, France



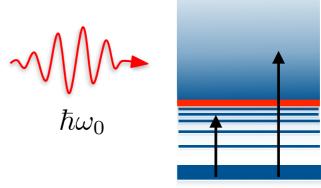
Attosecond science and new theory

continuum is a challenge for theory

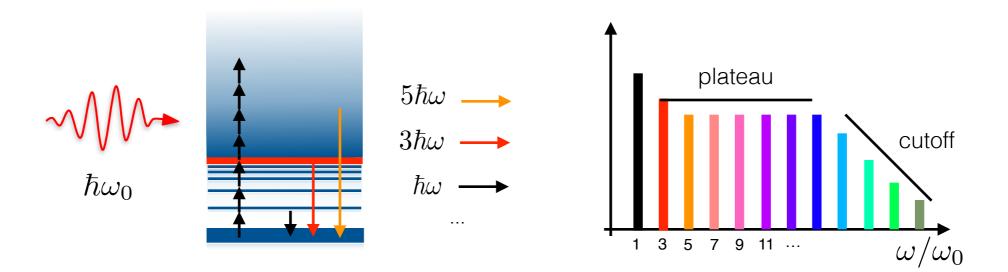


Investigating linear-response range-separated density-functional theory with B-splines for continuum

applied to photoexcitation/photoionization



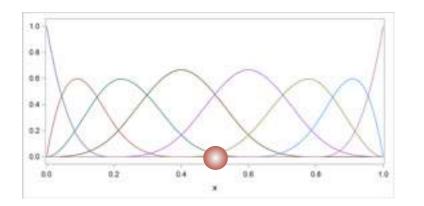
Gaussian basis sets optimised for continuum applied to High-Harmonic Generation spectroscopy



New ab-initio lifetimes model for continuum applied to High-Harmonic Generation spectroscopy

•

B-splines and range-separated DFT



- B-splines basis set is a piecewise polynomial functions
- B-splines basis set is powerful to describe continuum for atoms and molecules
- New algorithms needs to be developed to fully exploits their potentials

Stener et al. JCP (2001), Bachau et al. RPP (2001), Fetic et al. PRE (2017) ...

Range-separated hybrid (RSH)

$$\left(-\frac{1}{2}\nabla^2 + v_{\rm ne}(\mathbf{r}) + v_{\rm H}(\mathbf{r}) + v_{\rm xc}^{\rm sr}(\mathbf{x})\right)\varphi_p(\mathbf{x}) + \int v_{\rm x}^{\rm lr, \rm HF}(\mathbf{x}, \mathbf{x}')\varphi_p(\mathbf{x}')\mathrm{d}\mathbf{x}' = \epsilon_p\varphi_p(\mathbf{x})$$

LDA limit $(\rightarrow 0)$ HF limit $(\rightarrow \text{infinity})$

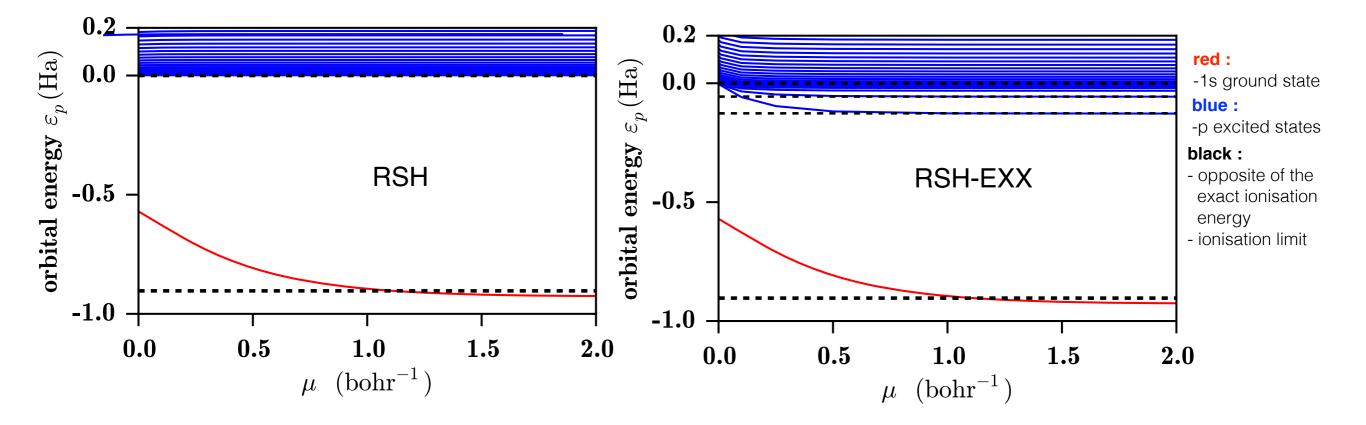
Range-separated exact exchange hybrid (RSH-EXX)

$$\left(-\frac{1}{2}\nabla^2 + v_{\rm ne}(\mathbf{r}) + v_{\rm H}(\mathbf{r}) + v_{\rm xc}^{\rm sr}(\mathbf{x}) + v_{\rm x}^{\rm lr,EXX}(\mathbf{x})\right)\varphi_p(\mathbf{x}) = \epsilon_p\varphi_p(\mathbf{x})$$

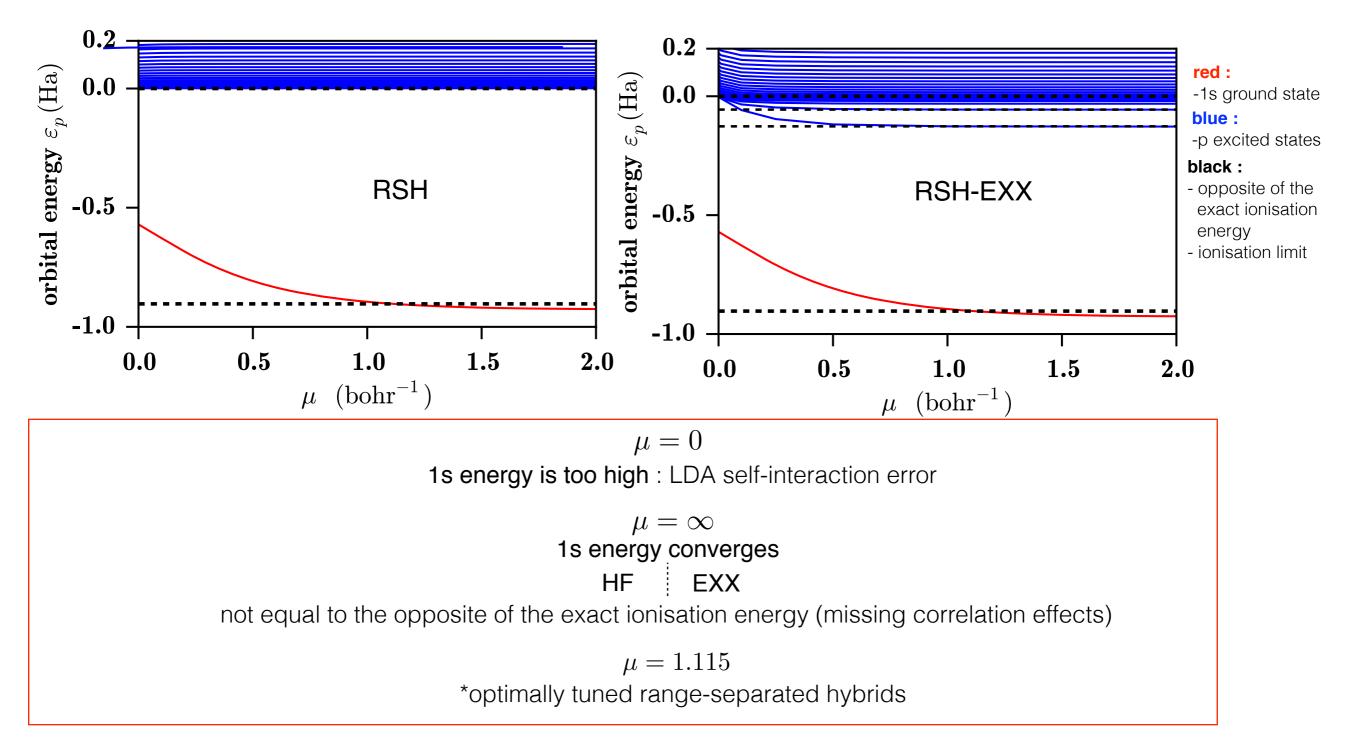
LDA limit(→ 0)
EXX limit(\rightarrow infinity)

Extension to the linear response (Casida's equations)

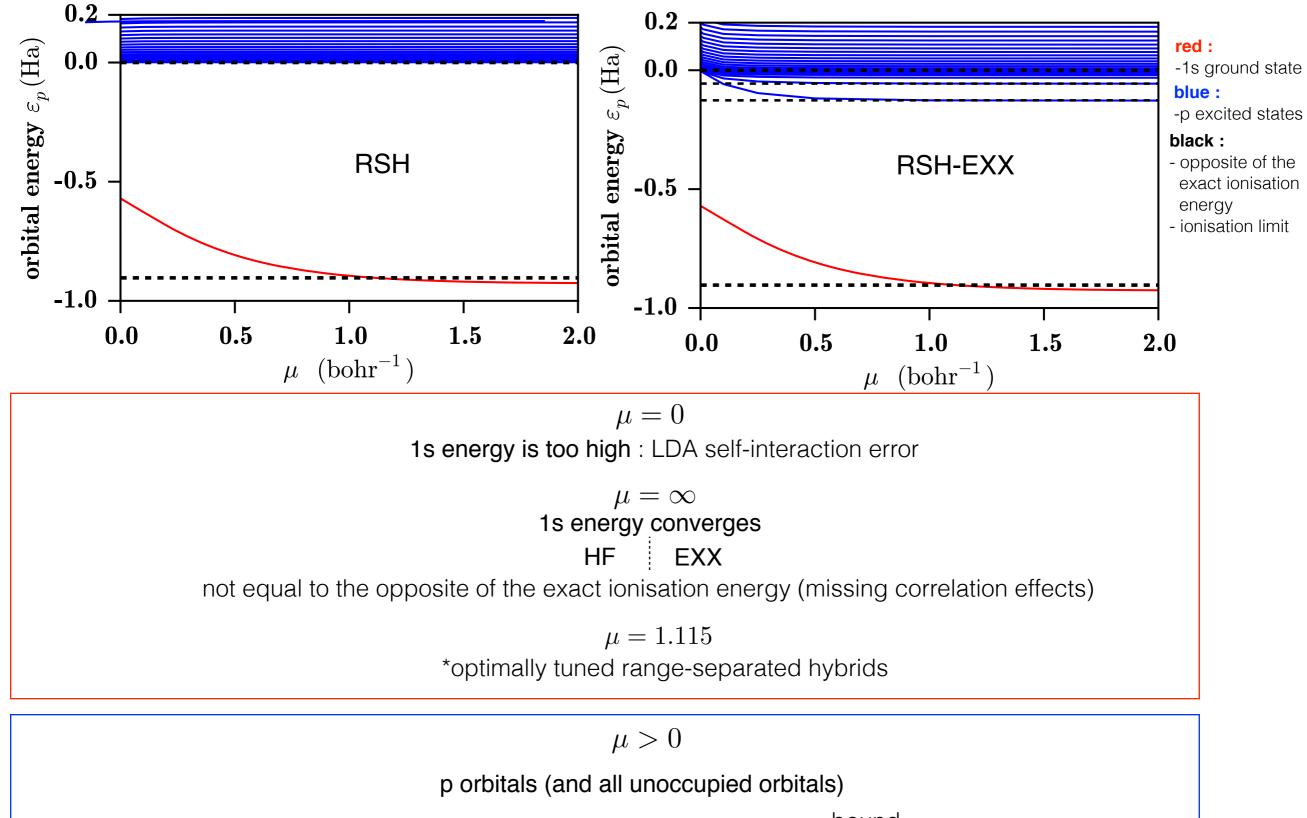
B-splines and (linear response) range-separated DFT : He atom



B-splines and (linear response) range-separated DFT : He atom



B-splines and (linear response) range-separated DFT : He atom

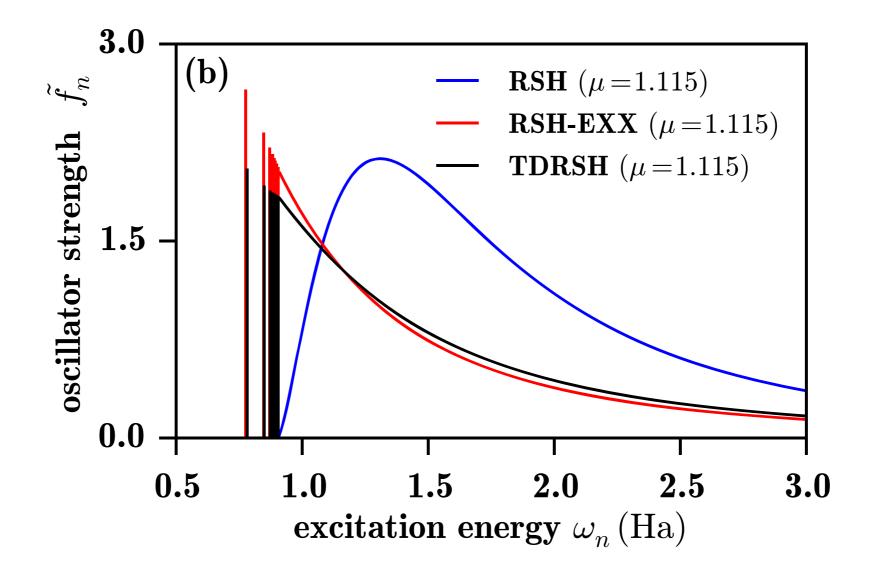


unbound

bound (Rydberg from continuum)

Photoexcitation and Photoionisation spectra

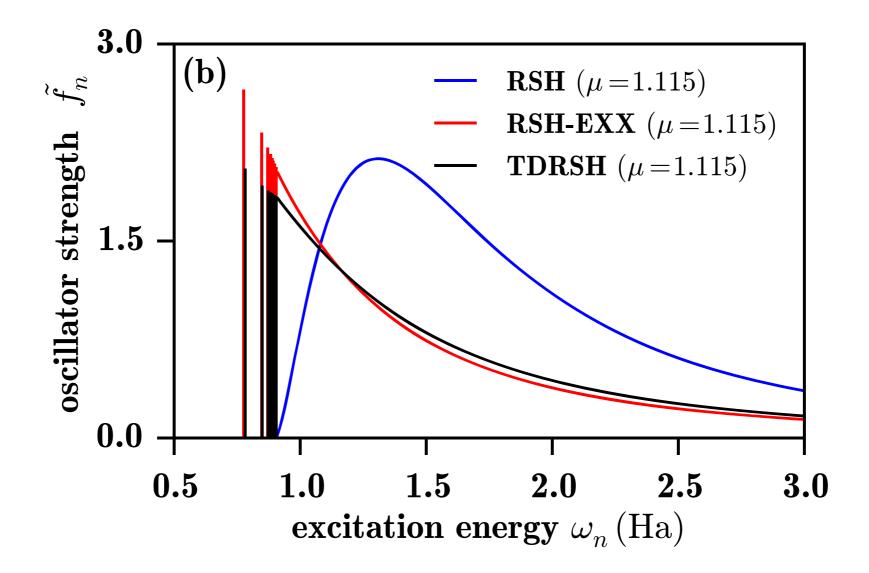
Lyman series (1s \rightarrow np)



RSH : no discrete photoexcitations (photodetachment H⁻)

Photoexcitation and Photoionisation spectra

Lyman series $(1s \rightarrow np)$

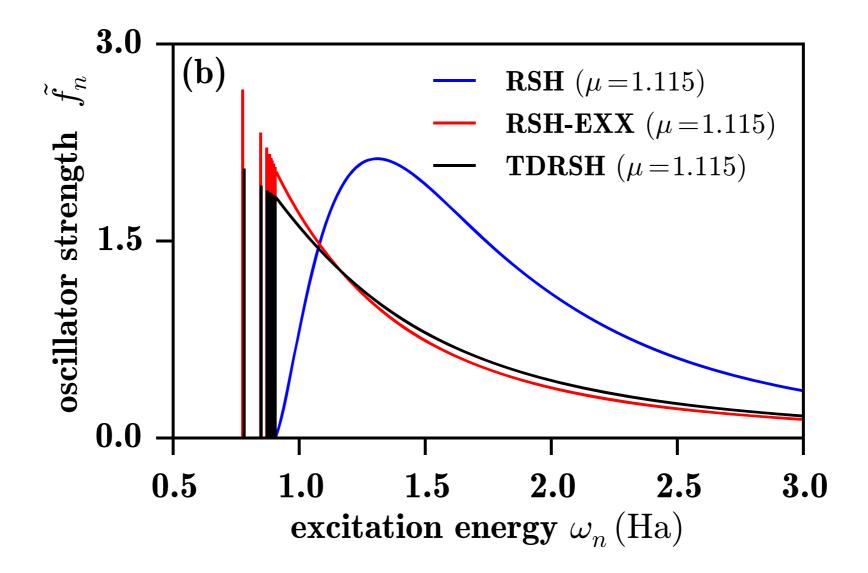


RSH : no discrete photoexcitations (photodetachment H⁻)

RSH-EXX : reasonable photoexcitation/photoionization spectrum * Only EXX local potential supports Rydberg states

Photoexcitation and Photoionisation spectra

Lyman series $(1s \rightarrow np)$



RSH : no discrete photoexcitations (photodetachment H⁻)

RSH-EXX : reasonable photoexcitation/photoionization spectrum * Only EXX local potential supports Rydberg states

TDRSH : ~ RSH-EXX

* Most accurate (from comparison with exact) : TDRSH > RSH-EXX

Perspectives

- correlation effects
- calculating photoexcitation/photoionization spectra of larger atoms an molecule (screening effects are important)
- resonances
- applications to strong field

Gaussian basis set optimised for continuum

applied to High-Harmonic Generation spectroscopy

Kaufman et al. J. Phys. B (1989) Faure et al. Comp. Phys. Comm. (2002)

Gaussian exponents "optimised" to describe scattering properties

M Labeye, F Zapata et al. JCTC (2018) Coccia *et al.* Int. J. Quantum Chem (2016)

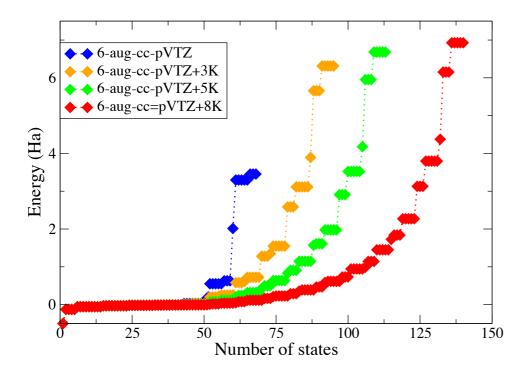
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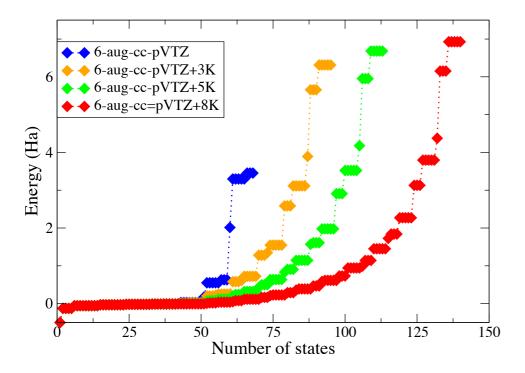
applied to High-Harmonic Generation spectroscopy

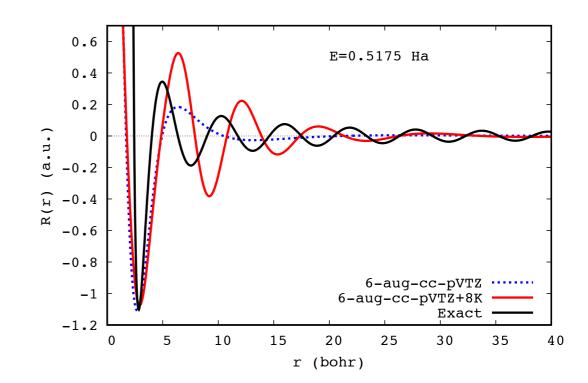
Kaufman et al. J. Phys. B (1989) Faure et al. Comp. Phys. Comm. (2002)

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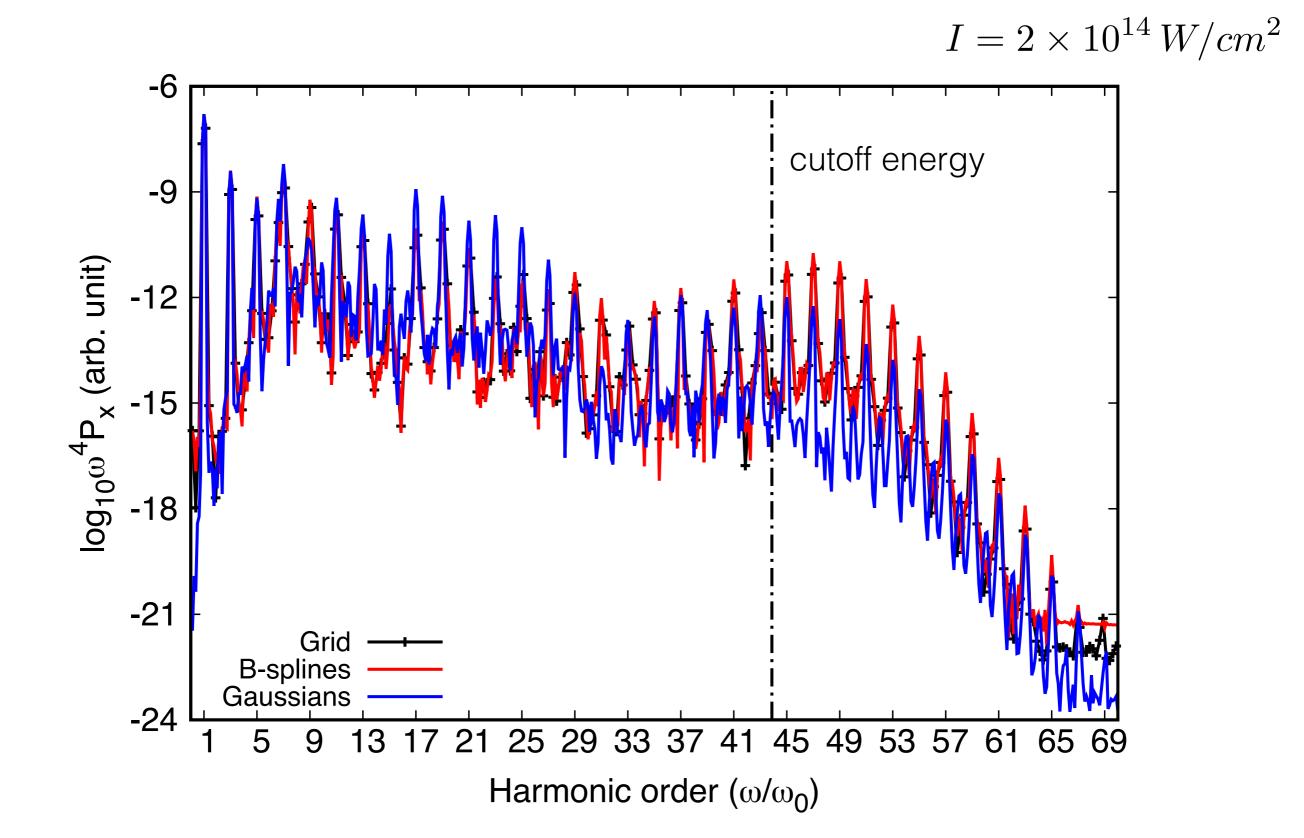
reproduce continuum wafefunction oscillations



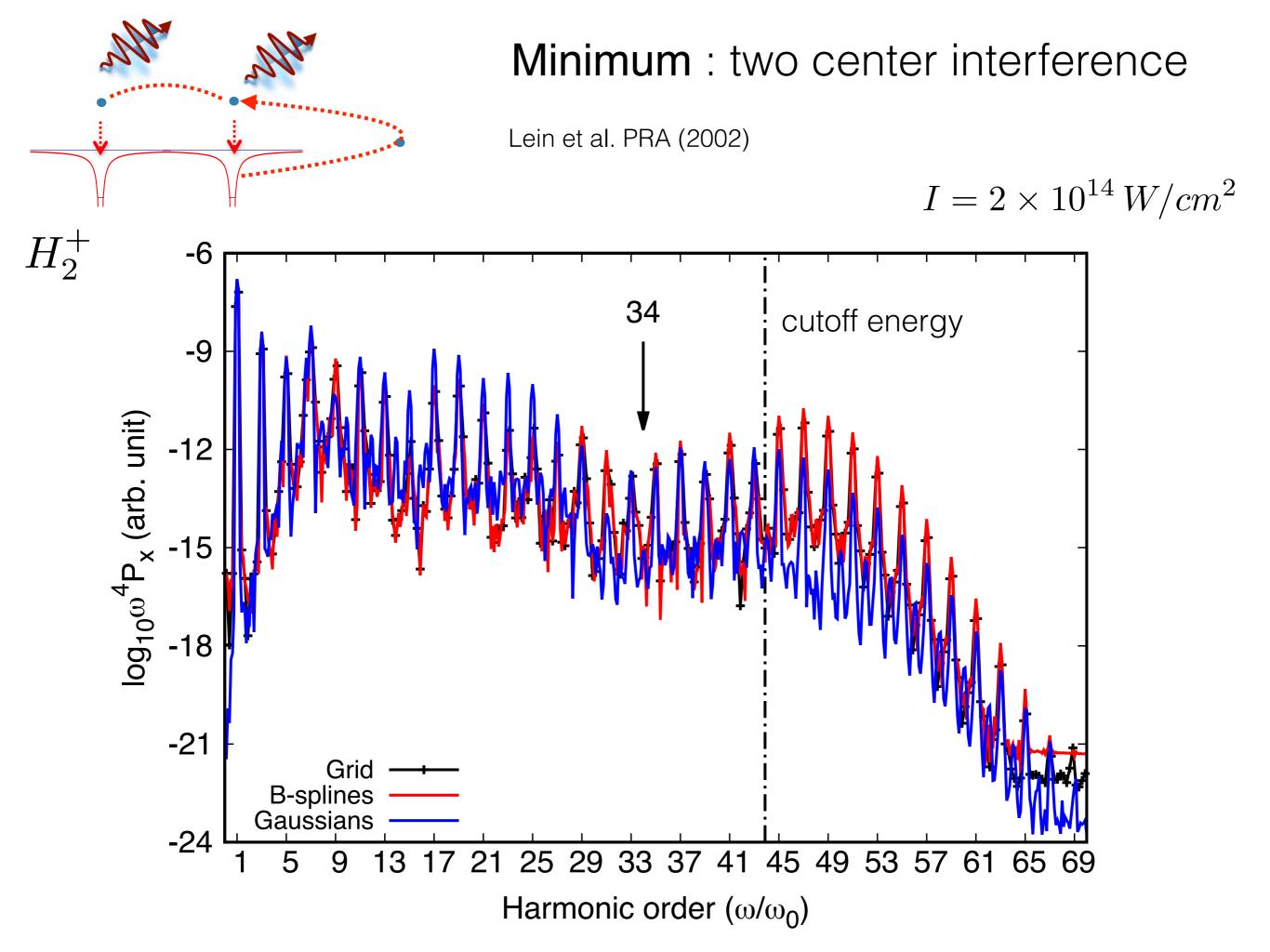


M Labeye, F Zapata et al. JCTC (2018) Coccia *et al.* Int. J. Quantum Chem (2016) HHG

Gaussians compared to Grid and B-splines (exact references)

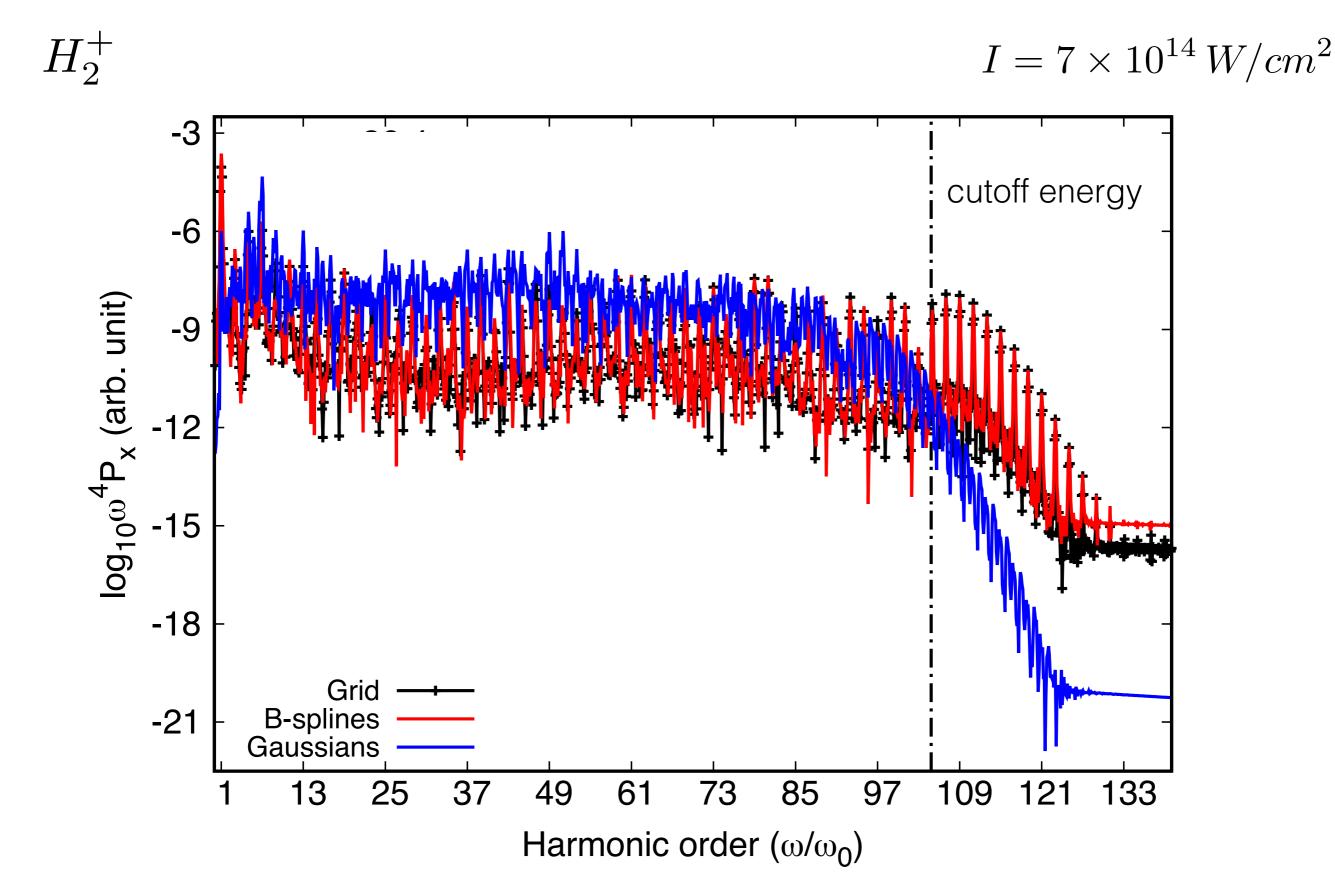


 H_2^+

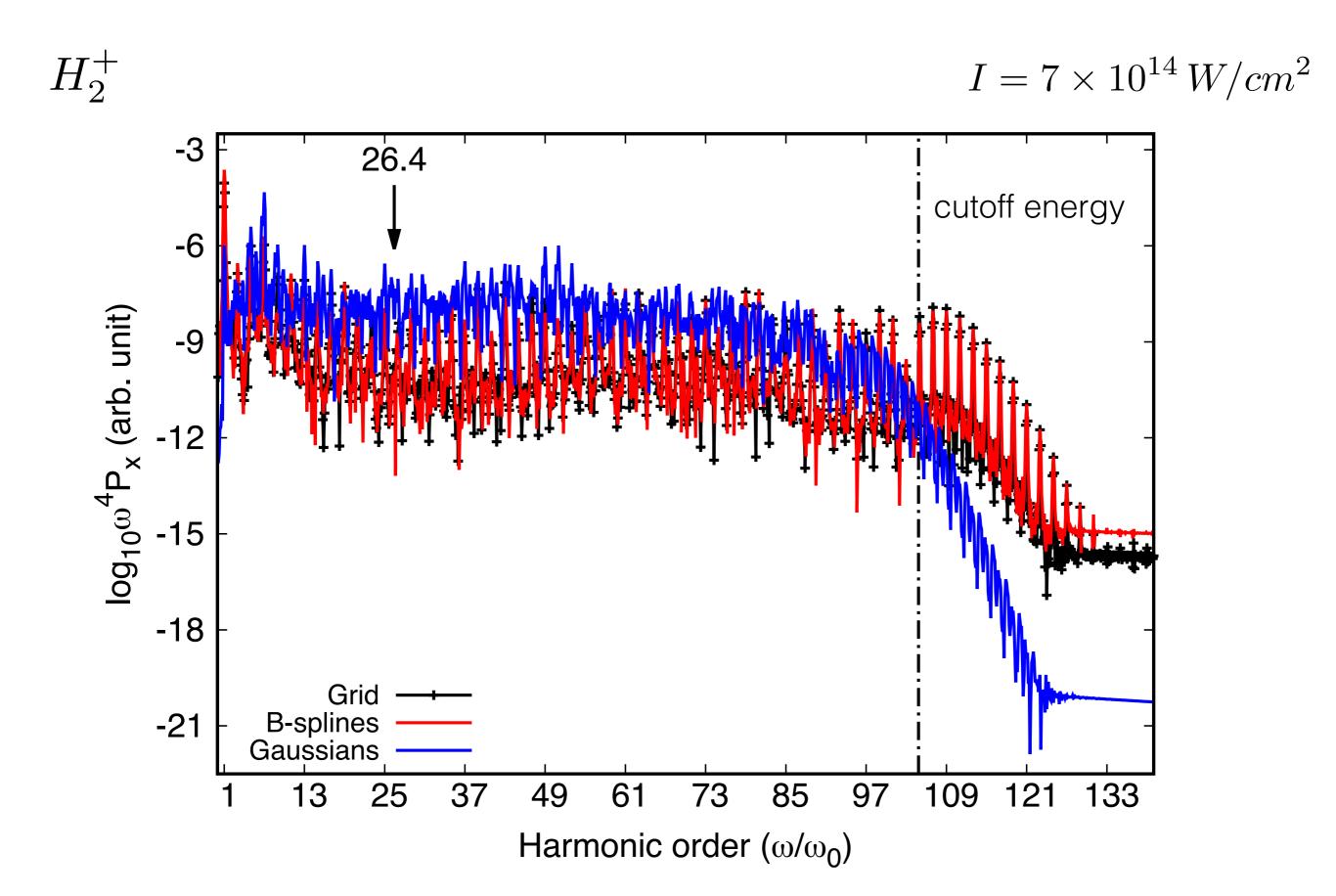


HHG

Gaussians compared to Grid and B-splines (exact references)



Minimum : two center interference



Perspectives

- study of electron correlation in strong field for molecules

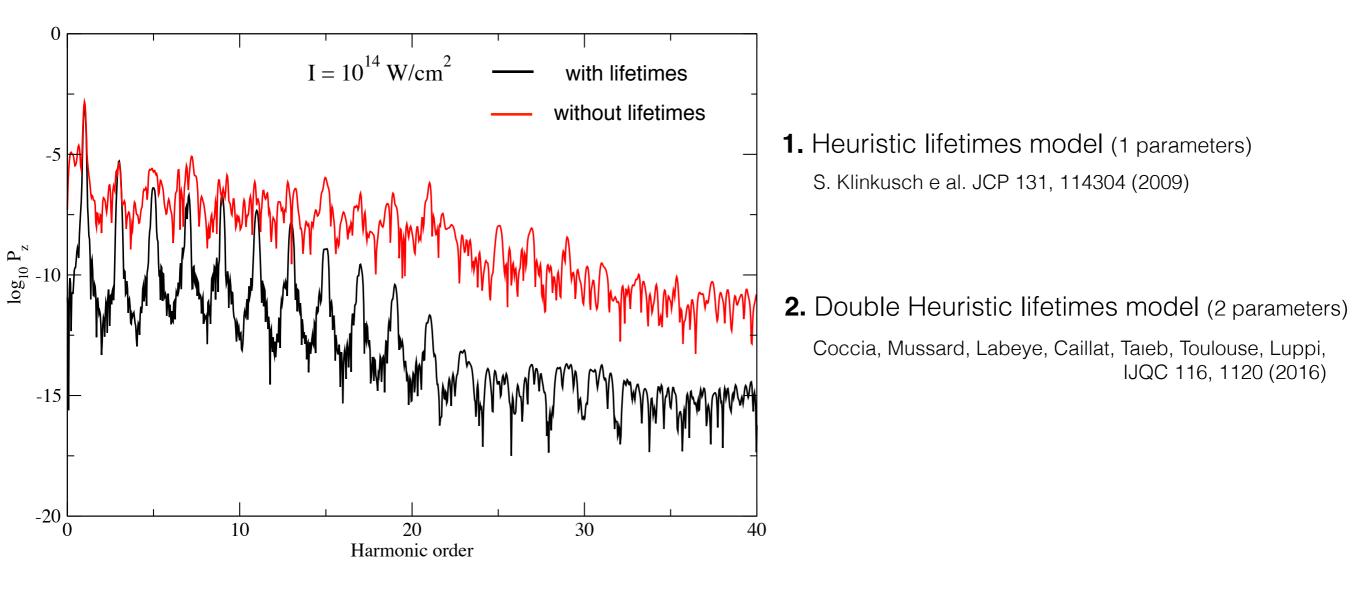
- simulation of two color field (pump/probe experiments) to study multiorbitals and inter-channel coupling in HHG

New ab-initio lifetimes model for continuum

applied to Gaussian and High-Harmonic Generation spectroscopy

Finite basis set generates an incomplete and discrete set of continuum states : artificial reflection

• CAP, complex scaling, absorber, Heuristic lifetimes ...



New ab-initio lifetimes model for continuum

applied to Gaussian and High-Harmonic Generation spectroscopy

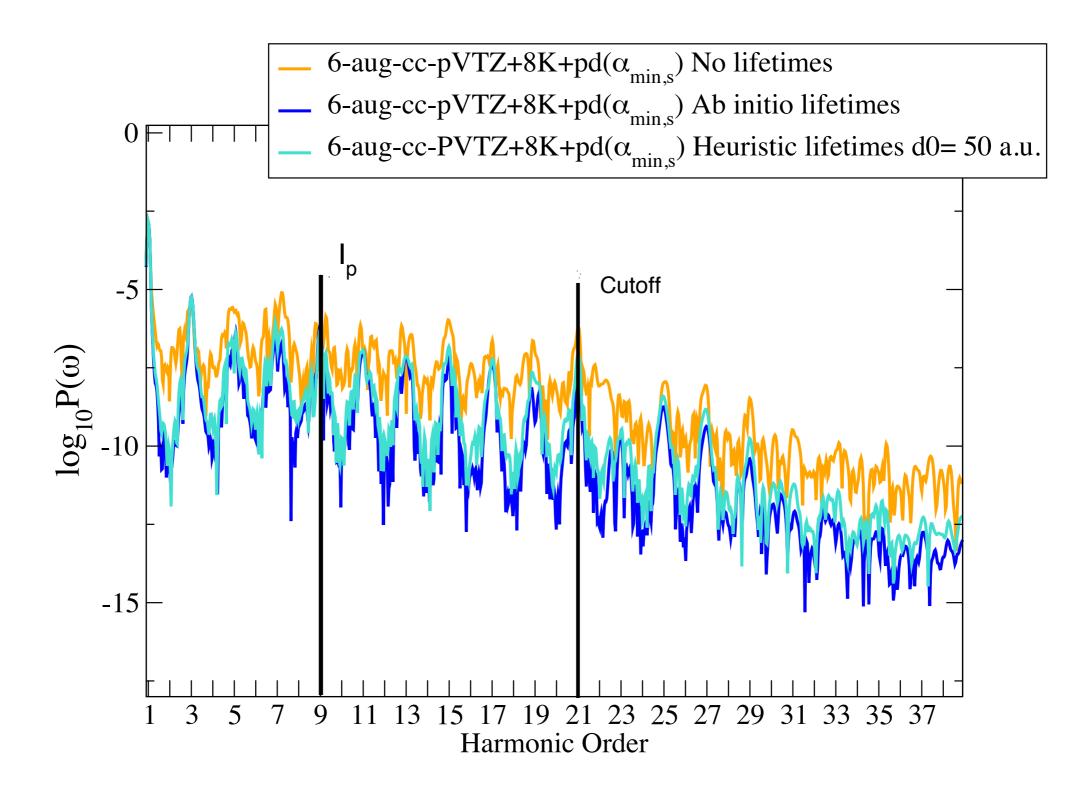
1. Solving the radial equation for H atom without boundary conditions :

$$-\frac{1}{2}\left(R''(r) + \frac{2}{r}R'(r) - \frac{\ell(\ell+1)}{r^2}R(r)\right) - \frac{Z}{r}R(r) = E R(r)$$

Discrete bound states : $E = \epsilon$ real $\epsilon < 0$

Continuum scattering states : $E = \epsilon$ real $\epsilon > 0$ Decaying states with a finite lifetimes : $E = \epsilon - \frac{i}{2}\gamma$ complex $\epsilon > 0$ $\gamma > 0$ $\tau = 1/\gamma$

2. $|R(r)| \rightarrow$ calculated with (incomplete) Gaussian basis set corresponds to the solution of complex energy and the asymptotic decay gives γ



Perspectives

- extension to molecules

Thanks :)

Dr. Julien TOULOUSE Dr. Roland ASSARAF Dr. Felipe ZAPATA Laboratoire de Chimie Théorique France

Dr. Emanuele COCCIA Universitá di Trieste Italia

Dr. Valerie VENIARD Ecole Polytechnique France

Lifetimes

Ab initio lifetimes model :

Hydrogen-like atom with complex energy

The radial equation with complex energy E

$$-\frac{1}{2}\left(R''(r) + \frac{2}{r}R'(r) - \frac{\ell(\ell+1)}{r^2}R(r)\right) - \frac{Z}{r}R(r) = E R(r)$$

has the general solution without imposing any boundary conditions

$$R(r) = c_1 R_1(r) + c_2 R_2(r)$$

$$R_1(r) = L\left(\nu, 2\ell + 1, 2\sqrt{-2E} r\right) r^{\ell} e^{-\sqrt{-2E} r}$$

$$R_2(r) = U\left(-\nu, 2\ell+2, 2\sqrt{-2E} \ r\right) r^{\ell} e^{-\sqrt{-2E} \ r}$$

 $\nu = Z/\sqrt{-2E} - \ell - 1$

L is the generalised Laguerre function

U is the Tricorni confluent hypergeometric function

Different type of states

If $E = \varepsilon$ is real with $\varepsilon < 0$:

 $R_1(r)$ diverges at $r \to \infty$ and $R_2(r)$ diverges for $r \to 0$ except for $\varepsilon = -Z^2/(2n^2)$ where $n \in \mathbb{N}^*$. In the latter case, $R_1(r) \propto R_2(r)$ which is finite and normalizable

 \implies discrete bound states

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 $|R_1(r)| \underset{r \to \infty}{\sim} 1/r$ and $R_2(r)$ diverges for $r \to 0$. We choose $R(r) = c_1 R_1(r)$ which is finite but not normalizable \Longrightarrow continuum scattering states

If $E = \varepsilon - i\gamma/2$ is complex with $\varepsilon > 0$ and $\gamma > 0$:

The survival probability decays in time as $|\psi(\mathbf{r}, t)|^2 \propto e^{-\gamma t}$ \implies decaying states with a finite lifetime $\tau = 1/\gamma$

 $R_1(r)$ diverges at $r \to \infty$ and $R_2(r)$ diverges for $r \to 0$ \implies on the space of such diverging functions, the Hamiltonian is not a self-adjoint operator which is why E can be complex

Ab initio lifetime correction to one-electron scattering states for incomplete basis sets

*one-electron hydrogen-like atom

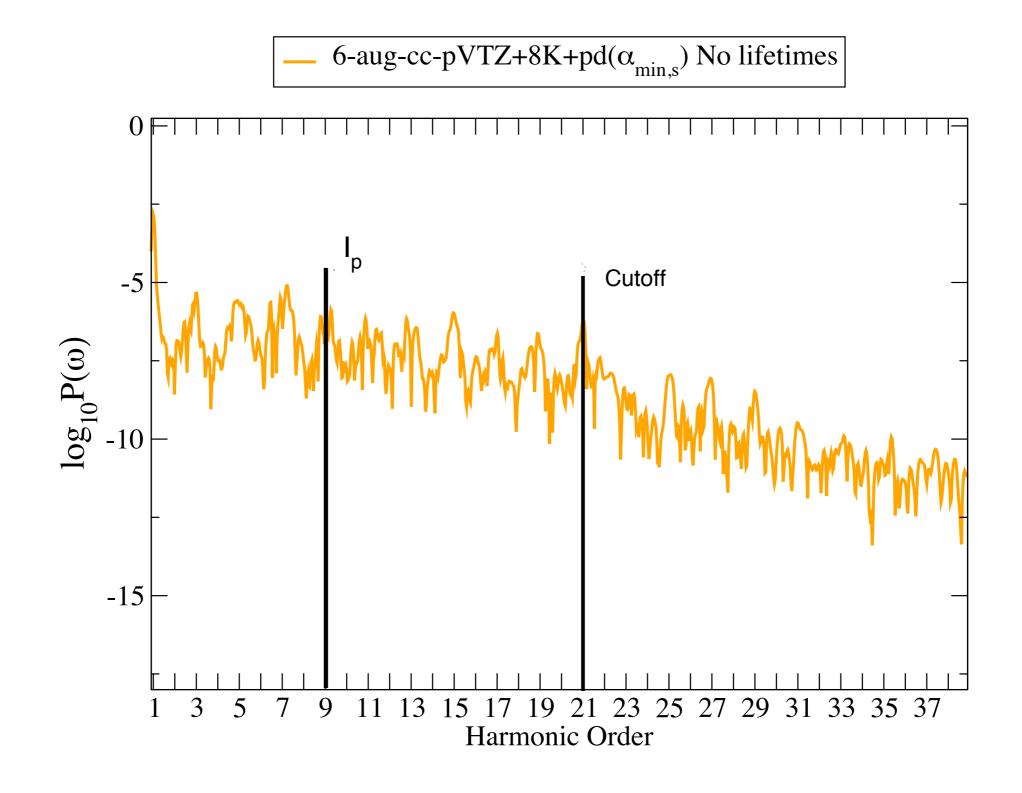
For each state p, the radial function is expanded on M basis functions $\{\chi_{\mu}(r)\}$

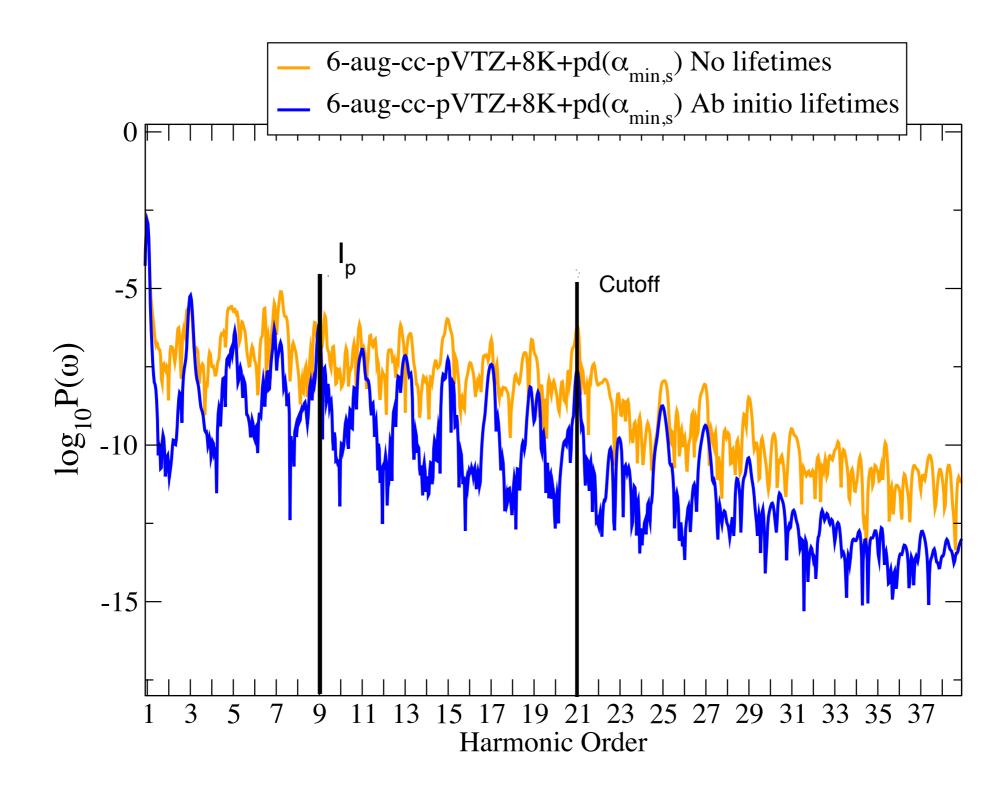
$$R_p(r) = \sum_{\mu=1}^M c_{\mu,p} \chi_\mu(r)$$

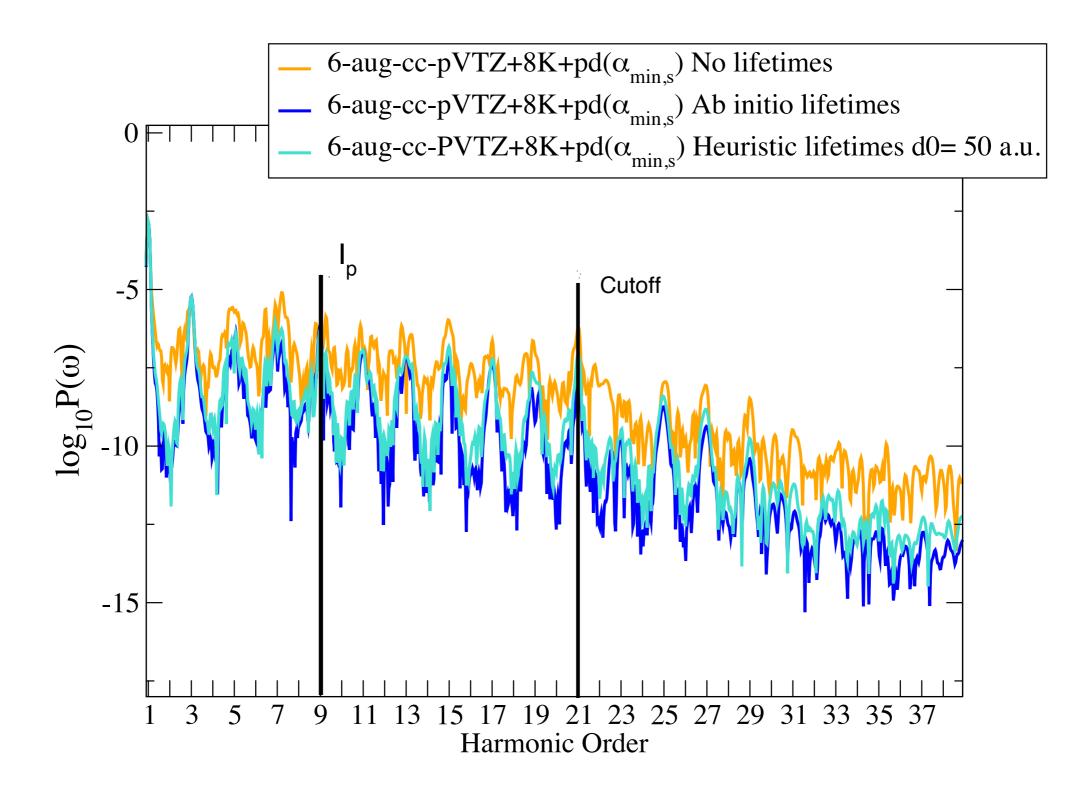
 $C_{\mu,p}$ are the calculated orbital coefficients

The key idea: In a radial window, the radial part $R_p(r)$ of an approximate scattering state p calculated with the incomplete Gaussian basis set is a better approximation to a state $R_2(r)$ with complex energy than to an scattering state $R_1(r)$ with real energy

 $E = \varepsilon - i\gamma/2$ is complex with $\varepsilon > 0$ and $\gamma > 0$:

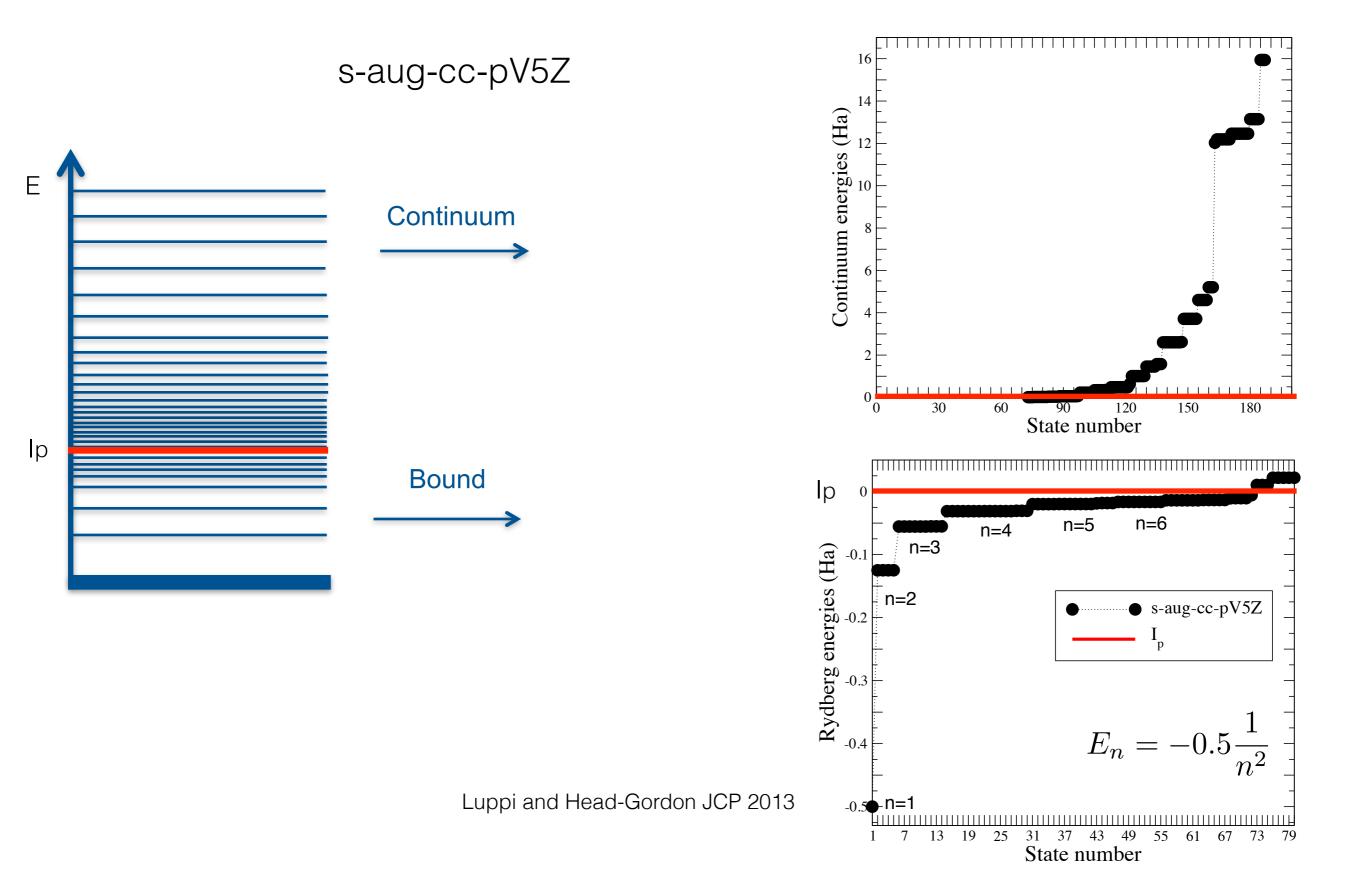






trovare un modo di spiegare in due parole ...

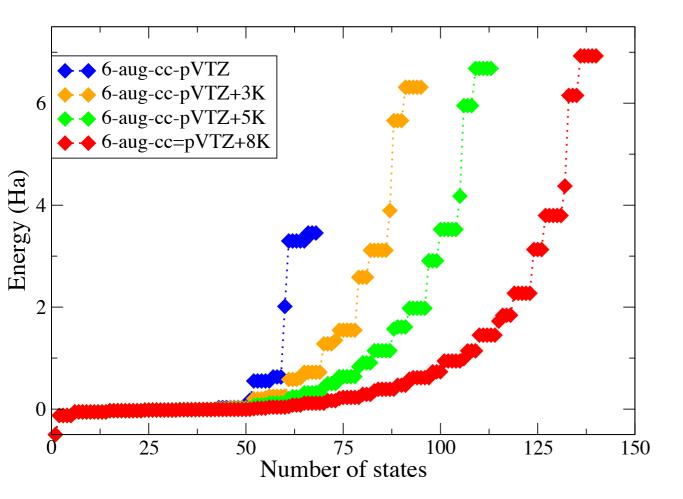
Gaussian basis functions : bound (Rydberg) and continuum H atom



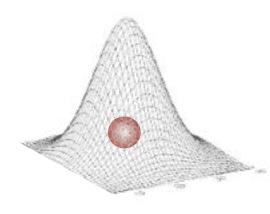
Exponents optimised for scattering states

Generating sequences of exponents spanning low-energy continuum solutions

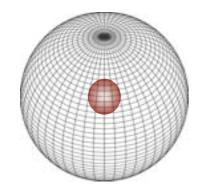
Kaufman et al. J. Phys. B (1989)



	6-aug-cc-pVTZ	+3K	+5K	+ 8K
Total	68	95	113	140
Bound	42	42	46	51
Continuum	26	53	67	89



Optimised Gaussian basis sets versus



Grid

• Theoretical model (single-active electron)

Kulander et al. PRA (1989) Bandrauk et al. PRA (2009) Taieb et al. PRA Ruiz et al. PRA (2006) Gordon et al. PRL (2006)

Time-dependent density-functional theory TD-DFT

Telnov et al. PRA (2013)

Vincendon et al. Comp. Mat. Science (2017)

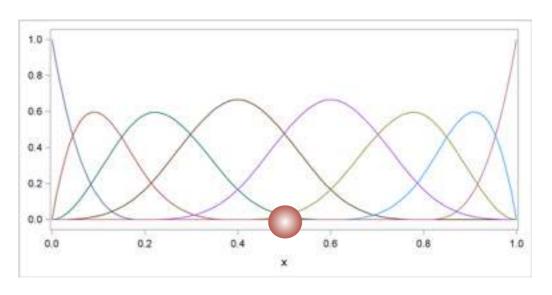
Wavefunction methods:

TD configuration-interaction single/double (TD-CIS/D)

TD restricted-active-space self-consistent-field (TD-RASSCF)

Greenman et al. PRA (2010)

B-splines



ullet

Theoretical model

Martin, Journal of Physics B: Atomic, Molecular and Optical Physics 32 (16), R197

> Bachau et al. Reports on Progress in Physics 64 (12), 1815 (2001)

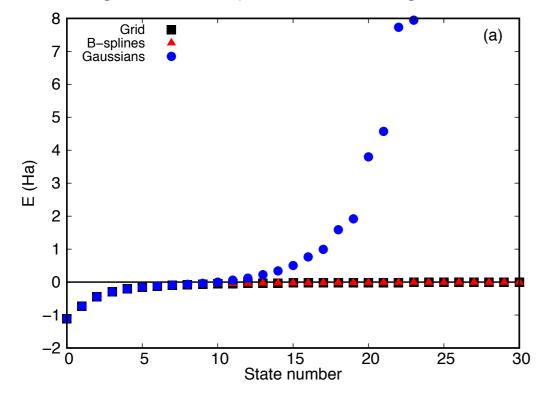
Algebraic diagrammatic construction (ADC)

Ruberti et al. JCP (2014)

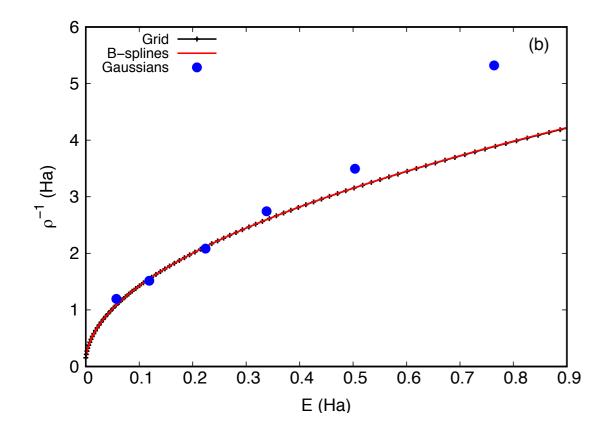
Optimised Gaussians Grid B-splines

 H_2^+

Eigenvalues up to the 30th eigenstate



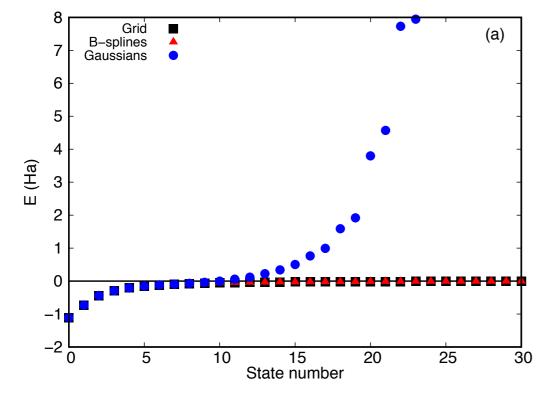
Inverse of the density of continuum states



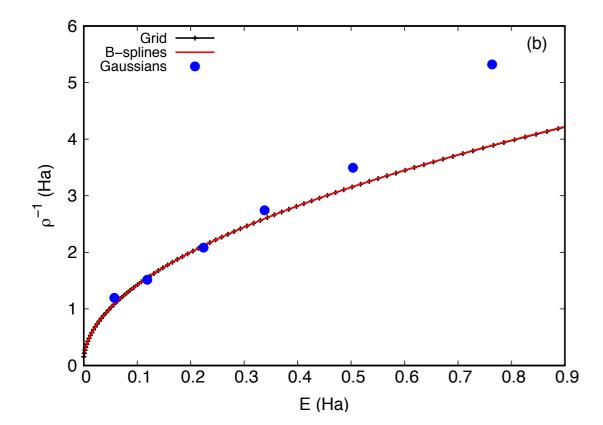
Optimised Gaussians

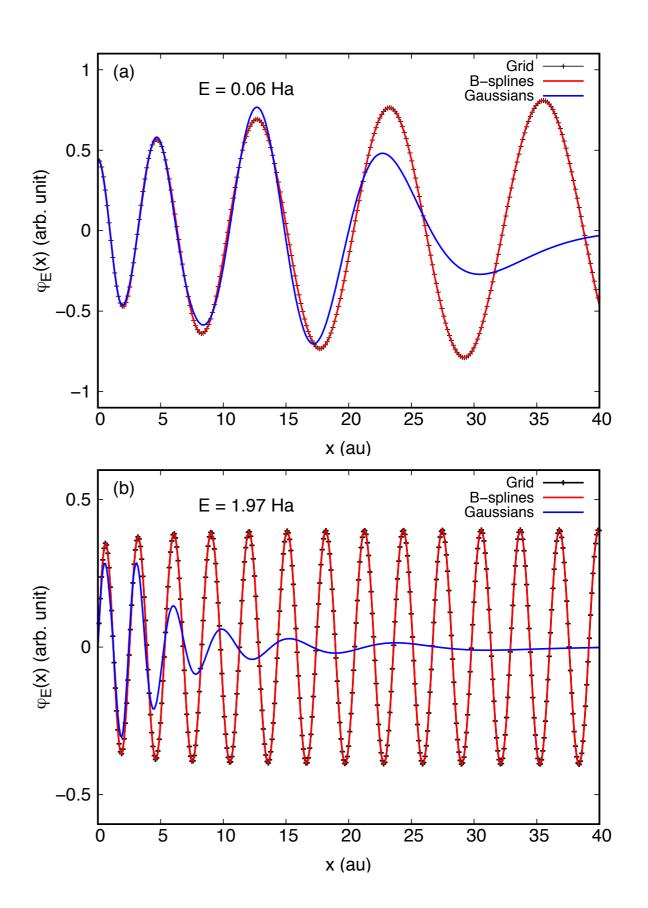
Grid B-splines

Eigenvalues up to the 30th eigenstate



Inverse of the density of continuum states





 H_2^+

The problem of ionisation : the lifetimes $|\Psi(t)\rangle = \sum_{n} c_n(t) |\Psi_n^{CI}\rangle$

$$i\frac{\mathrm{d}c_k(t)}{\mathrm{d}t} = \sum_{s} (E_s^{\mathrm{CI}}\delta_{ks} - \mu_{ks}E(t))c_s(t)$$

Incomplete Gaussian basis set produce artificial reflections

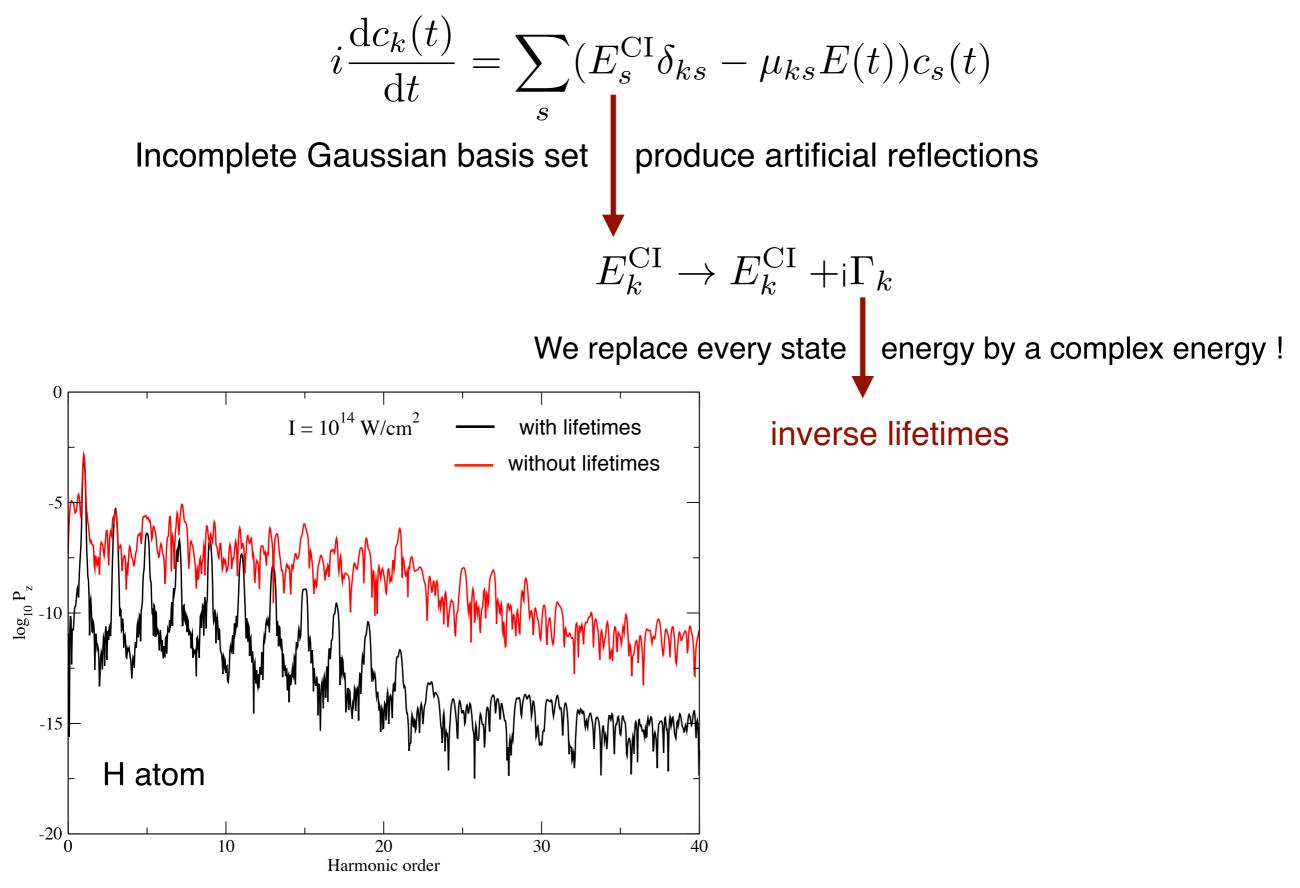
The problem of ionisation : the lifetimes

$$i\frac{\mathrm{d}c_{k}(t)}{\mathrm{d}t} = \sum_{s} (E_{s}^{\mathrm{CI}}\delta_{ks} - \mu_{ks}E(t))c_{s}(t)$$

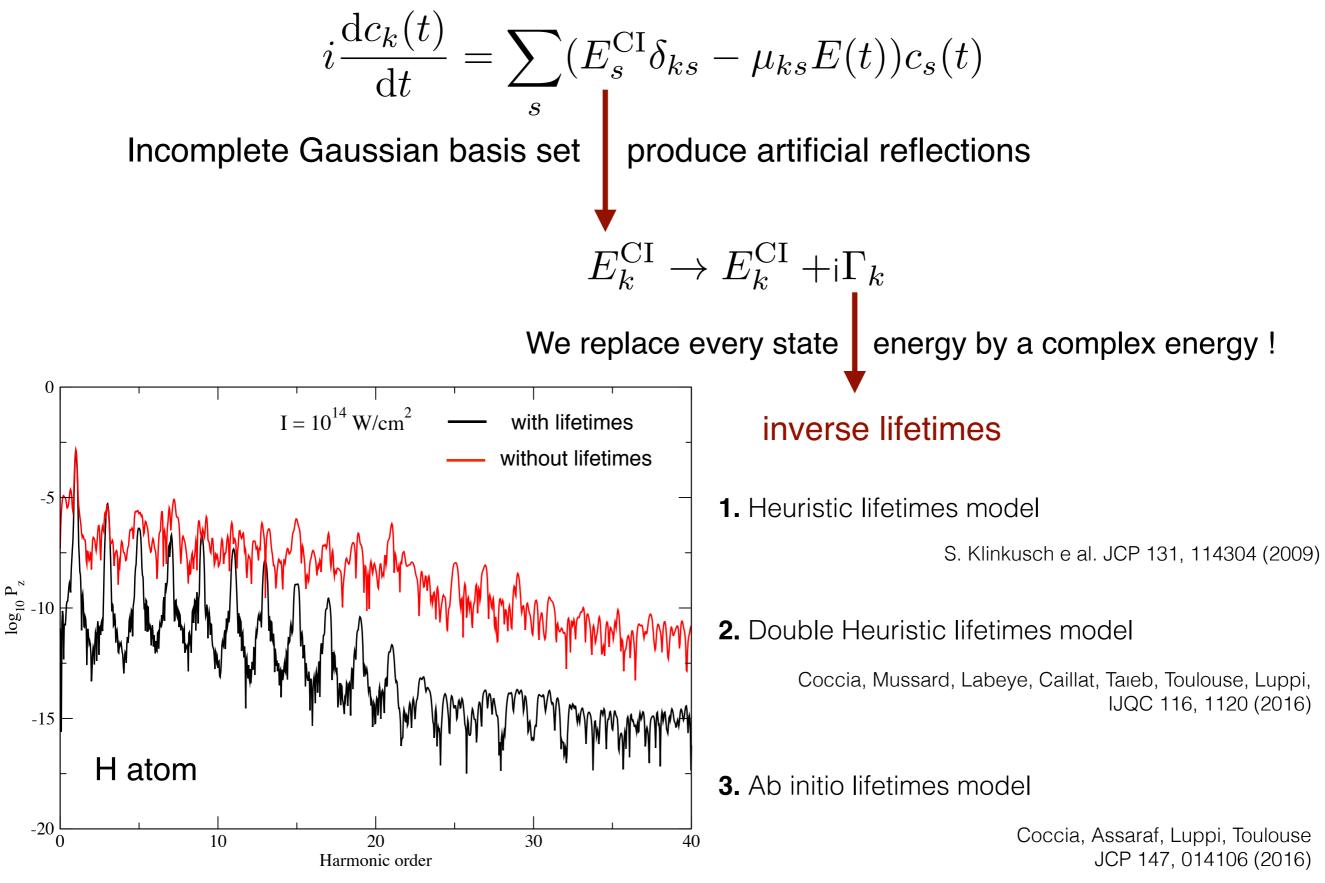
Incomplete Gaussian basis set produce artificial reflections
$$E_{k}^{\mathrm{CI}} \rightarrow E_{k}^{\mathrm{CI}} + \mathrm{i}\Gamma_{k}$$

We replace every state energy by a complex energy !
inverse lifetimes

* Propagation on a grid: CAP, complex-scaling, wavefunction absorber



* Propagation on a grid: CAP, complex-scaling, wavefunction absorber



* Propagation on a grid: CAP, complex-scaling, wavefunction absorber

Ab initio lifetimes model :

Hydrogen-like atom with complex energy

The radial equation with complex energy E

$$-\frac{1}{2}\left(R''(r) + \frac{2}{r}R'(r) - \frac{\ell(\ell+1)}{r^2}R(r)\right) - \frac{Z}{r}R(r) = E R(r)$$

has the general solution without imposing any boundary conditions

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Ab initio lifetime correction to one-electron scattering states for incomplete basis sets

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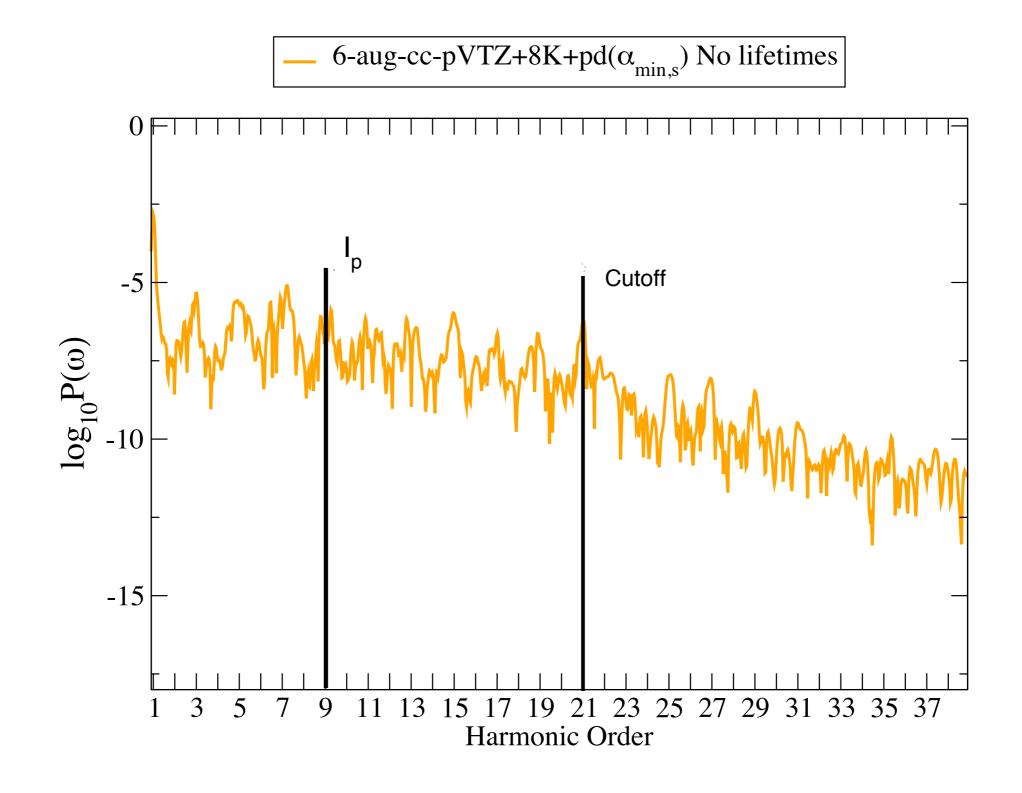
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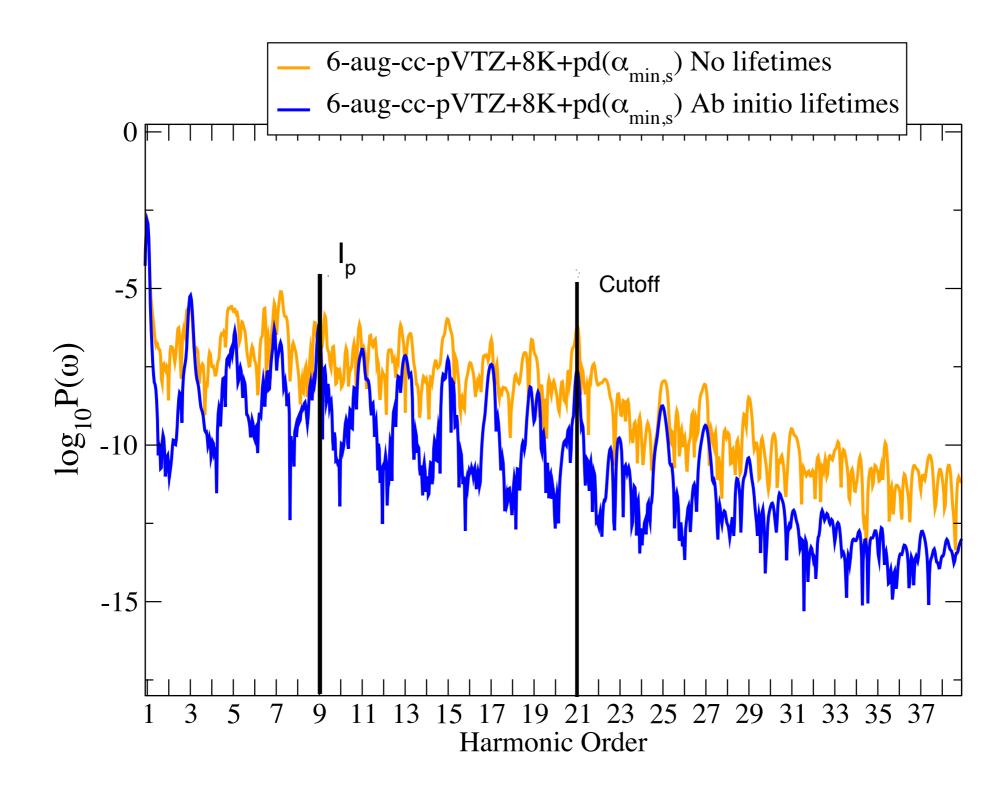
Calculated inverse lifetimes for the H atom

For a laser of wavelength $\lambda_0 = 800$ nm and intensity $I = 10^{14}$ W/cm², and 6-aug-cc-pVTZ+8K+pd($\alpha_{min,s}$) basis set:



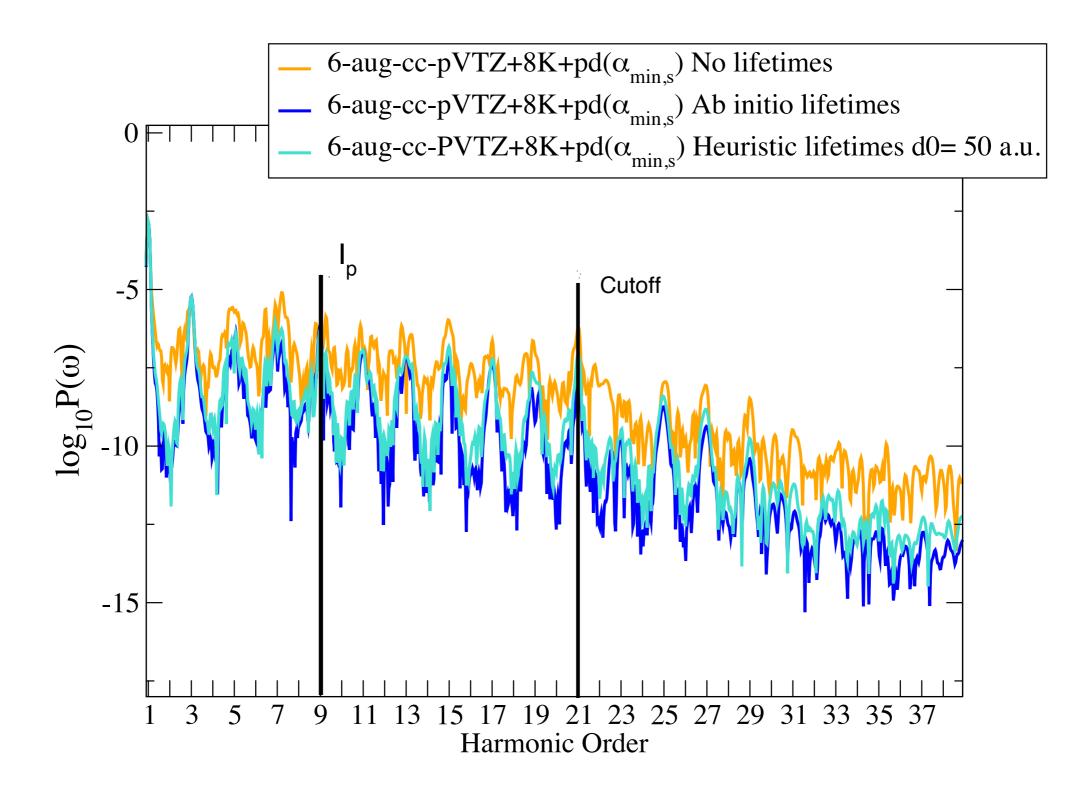
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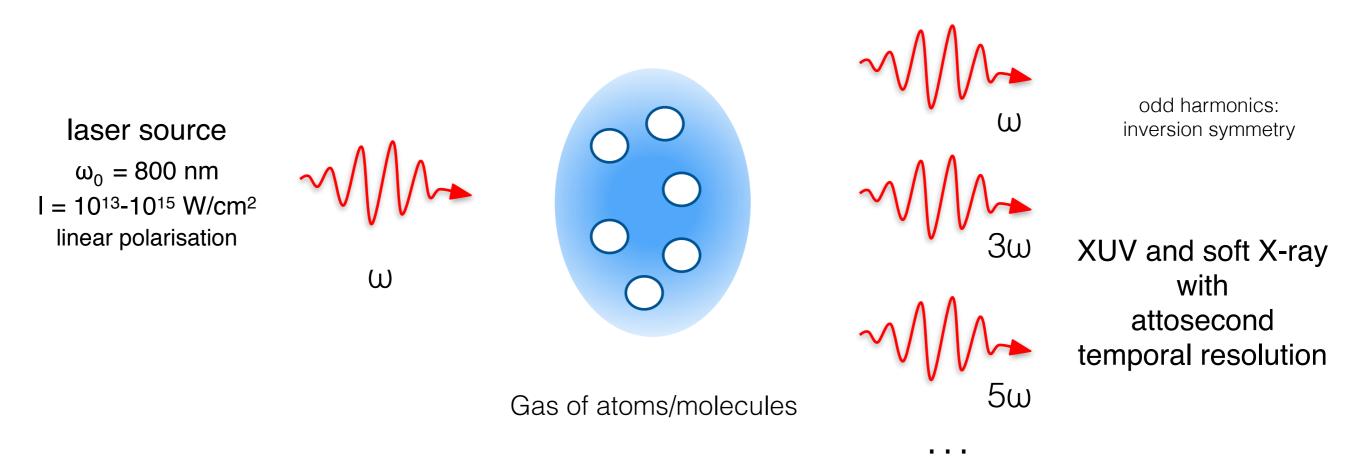


Calculated inverse lifetimes for the H atom

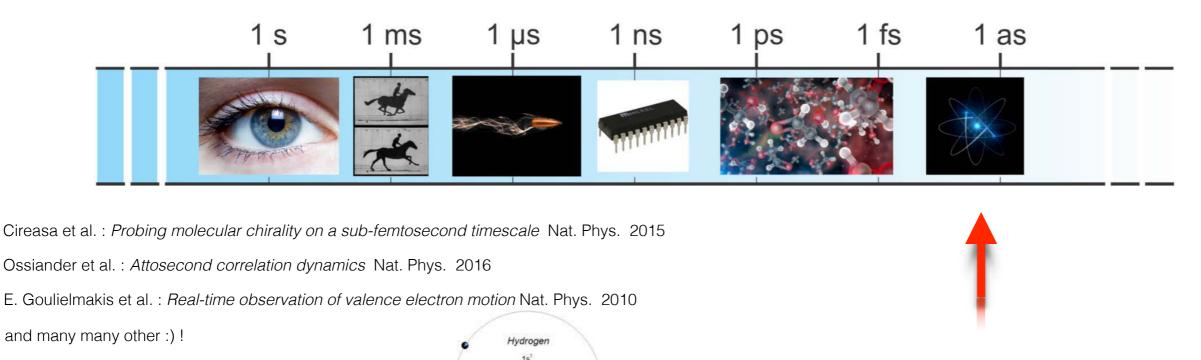
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High-Harmonic Generation



• Attosecond science studies electron dynamics at its natural timescale



Theory for optical spectroscopy

Two families of methods to describe these process :

time-dependent wave function methods

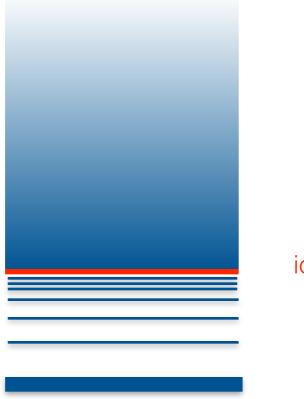
•

time-dependent density-functional theory (TDDFT)

developments have been focused on the accurate description of electron correlation

Theory for optical spectroscopy

However, before correlation another important aspect needs to be carefully addressed: the continuum



continuum

ionisation potential

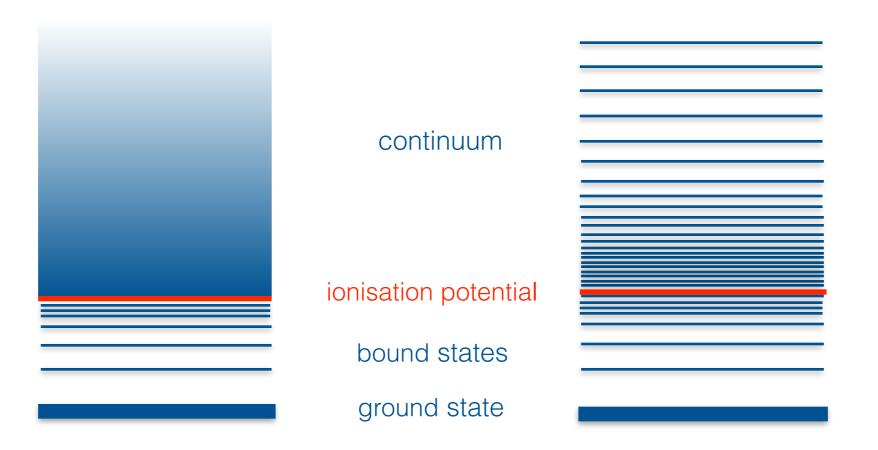
bound states

ground state

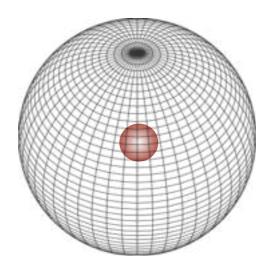
Theory for optical spectroscopy

However, before correlation another important aspect needs to be carefully addressed: the continuum

The choice of the one-electron basis for representing the time-dependent wave function : Gaussians, Grid, B-splines ...



Numerical grid

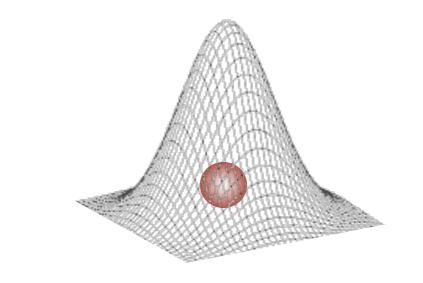


Grid-based basis sets have demonstrated to be **very accurate** to describe continuum in atoms and molecules

Computational cost can be very high and strategies involving multi-level parallelization schemes are developed

Kulander et al. PRA (1989) De Giovannini et al. PRA (2012) Chu et al. PRA (2012) Guliemakis et al. Nature (2010) Phuong Mai Dinh et al. EPJB (2018) many others ...

Gaussian basis sets



Gaussians describe **poorly** the continuum

Different strategies to **improve Gaussians** for the continuum exists !

Luppi and Head-Gordon JCP (2018)

White et al. MP (2016)

Labeye, Zapata et al. JCTC (2018)

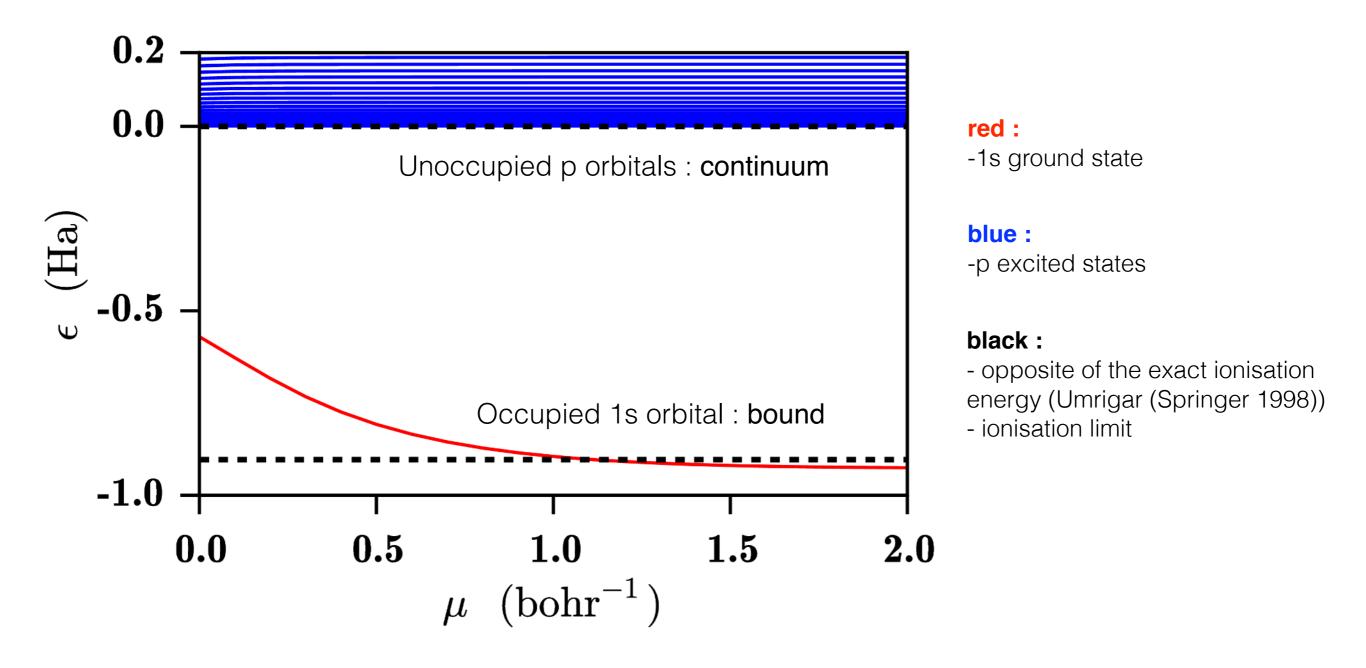
Thanks :)

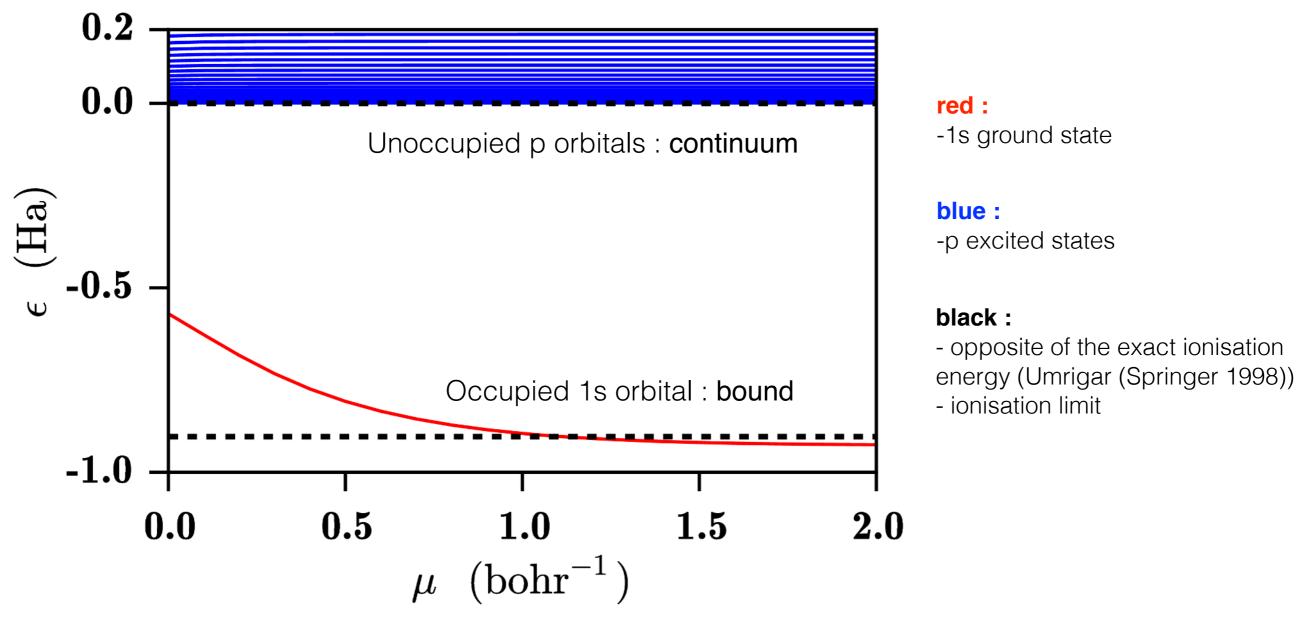
Dr. Emanuele COCCIA Dr. Julien TOULOUSE Dr. Bastien Mussard Dr. Roland Assaraf Felipe Zapata Laboratoire de Chimie Théorique France

Dr. Jérémie CAILLAT Dr. Richard TAÏEB Dr. Marie Labeye Laboratoire de Chimie Physique Matière et Rayonnement

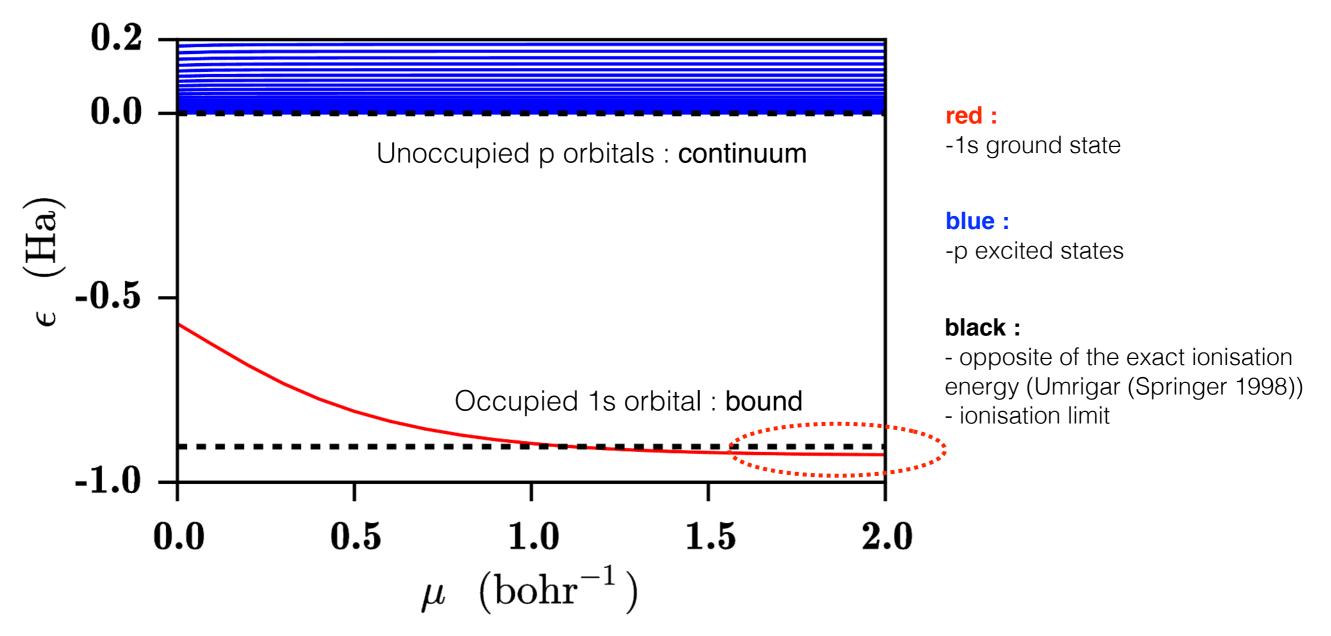
Dr. Valerie VENIARD Ecole Polytechnique France

Prof. Martin Head-Gordon Alec White University of Berkeley California USA



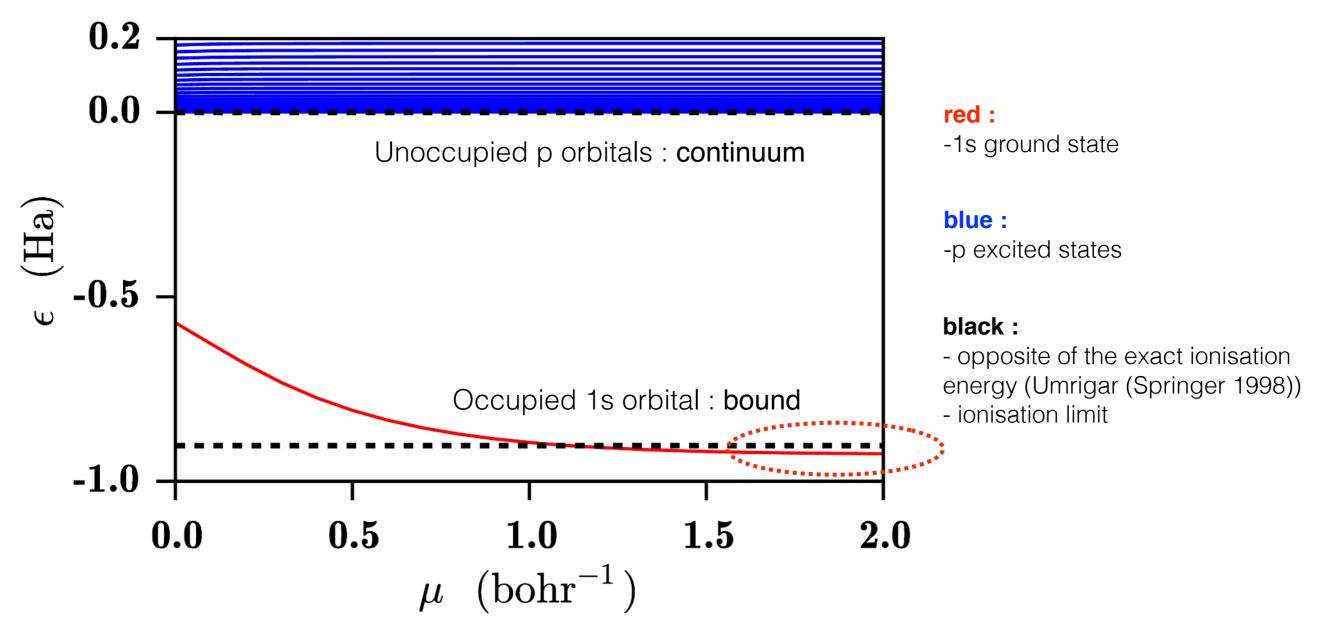


 $\mu = 0$ 1s energy is too high : LDA self-interaction error



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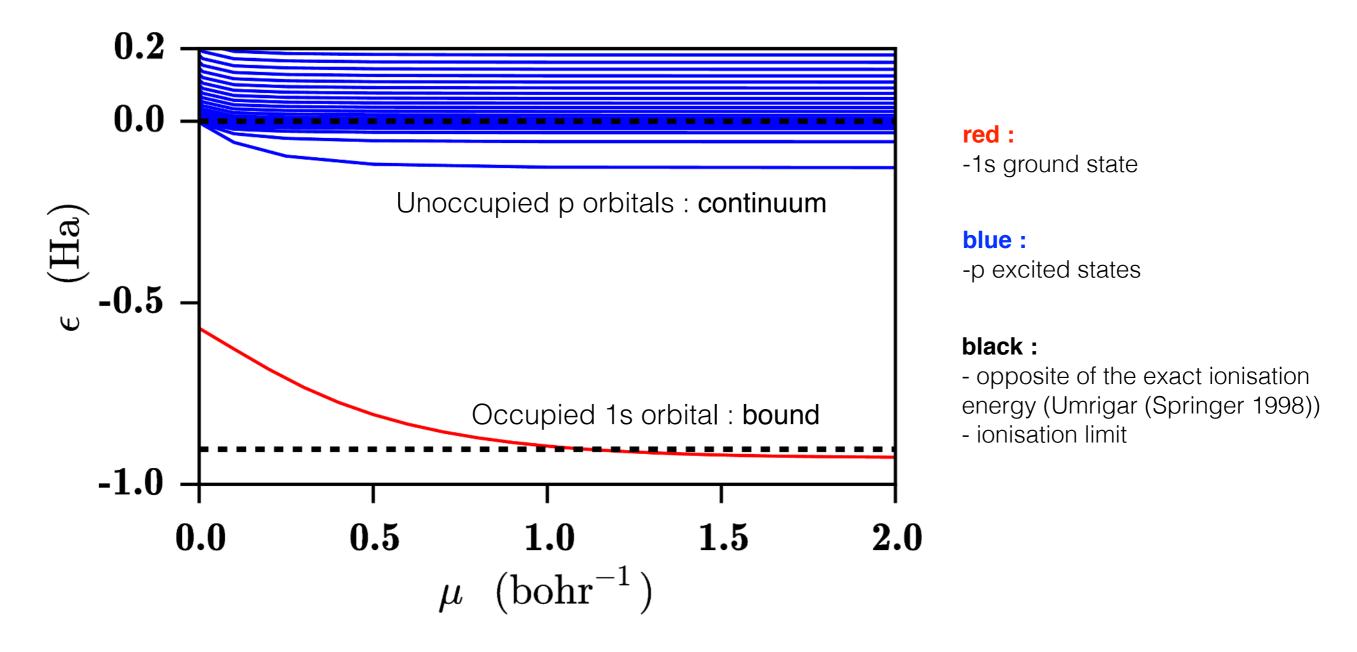
 $\mu=\infty$ 1s orbital energy converges to HF : not equal to the opposite of the exact ionisation energy but too low due to missing correlation effects

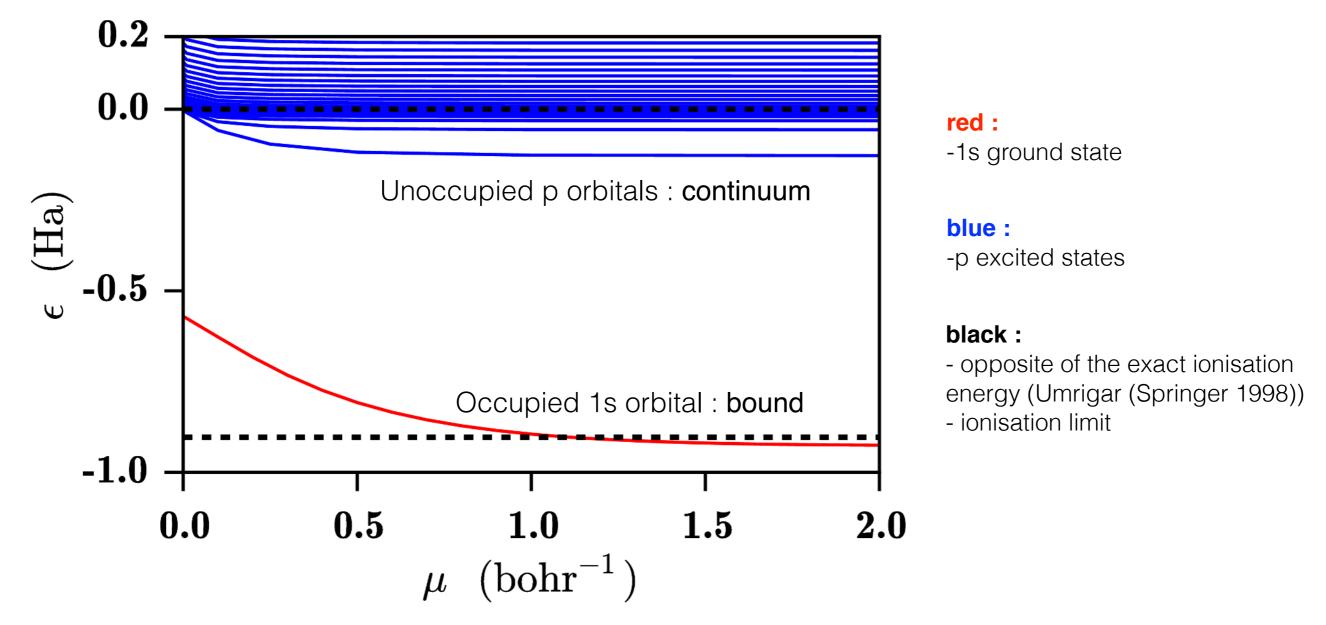


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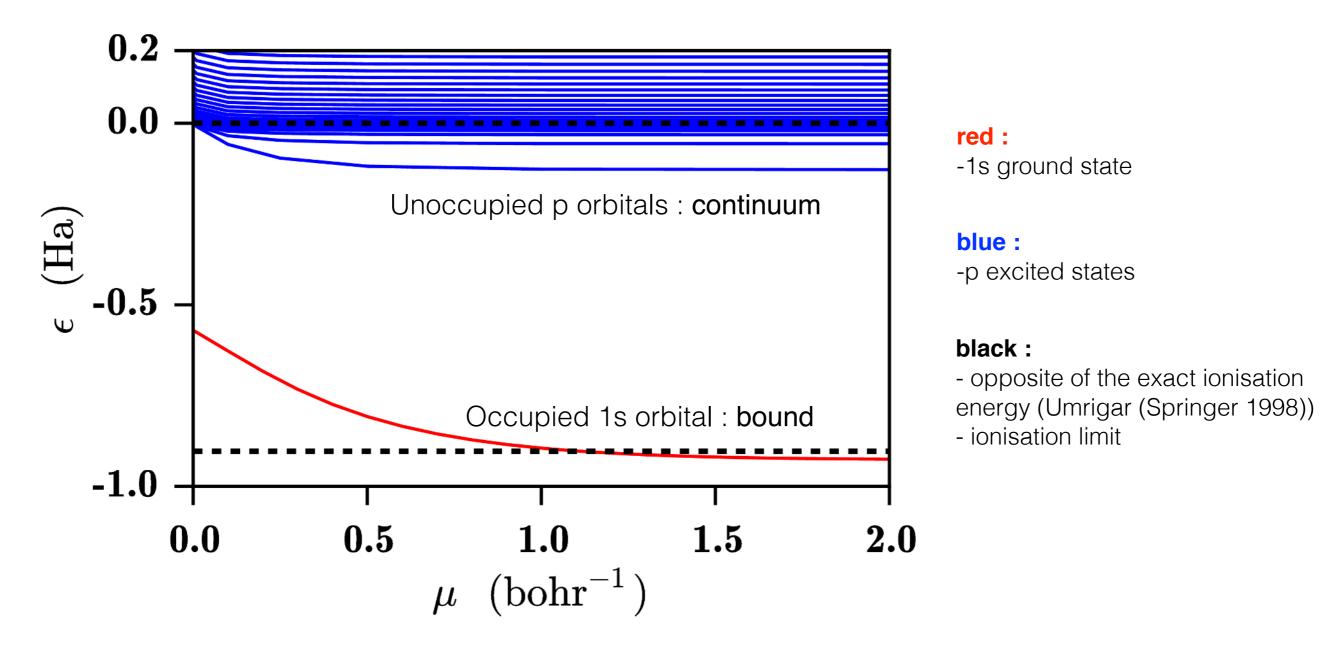
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p orbitals (and all the other unoccupied orbitals) are unbound : insensible to μ



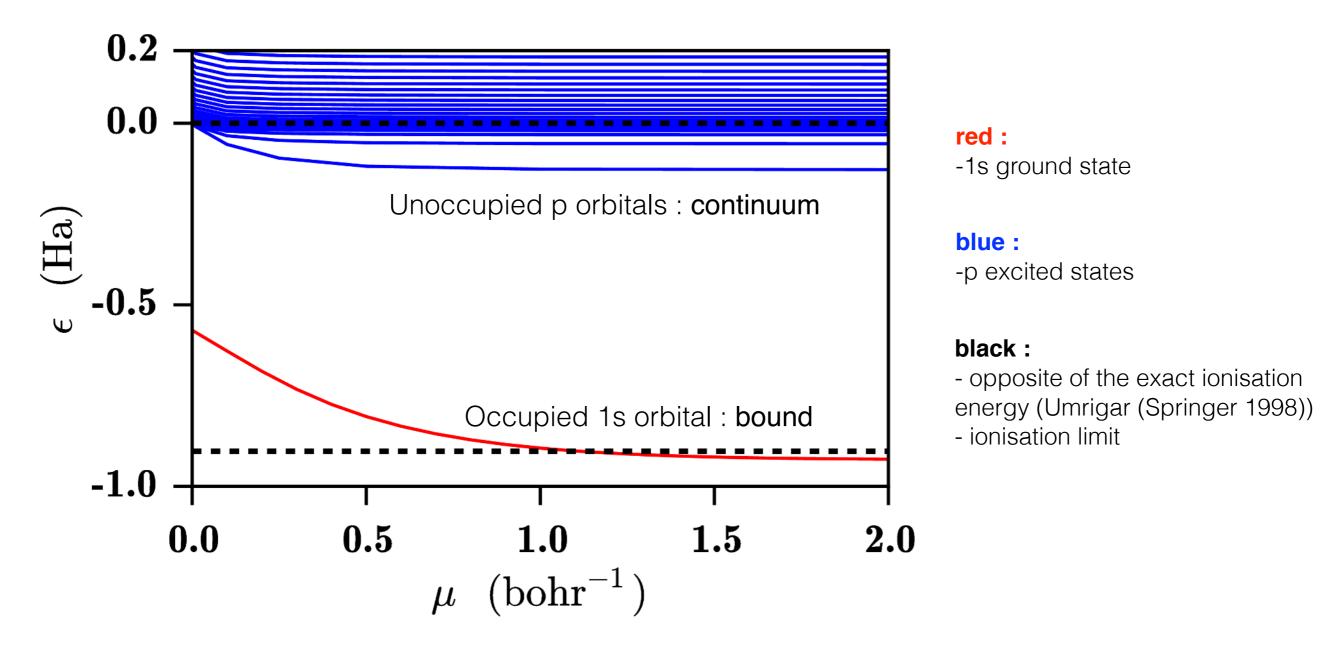


1s RSH-EXX orbital energy is identical to the 1s RSH orbital energy



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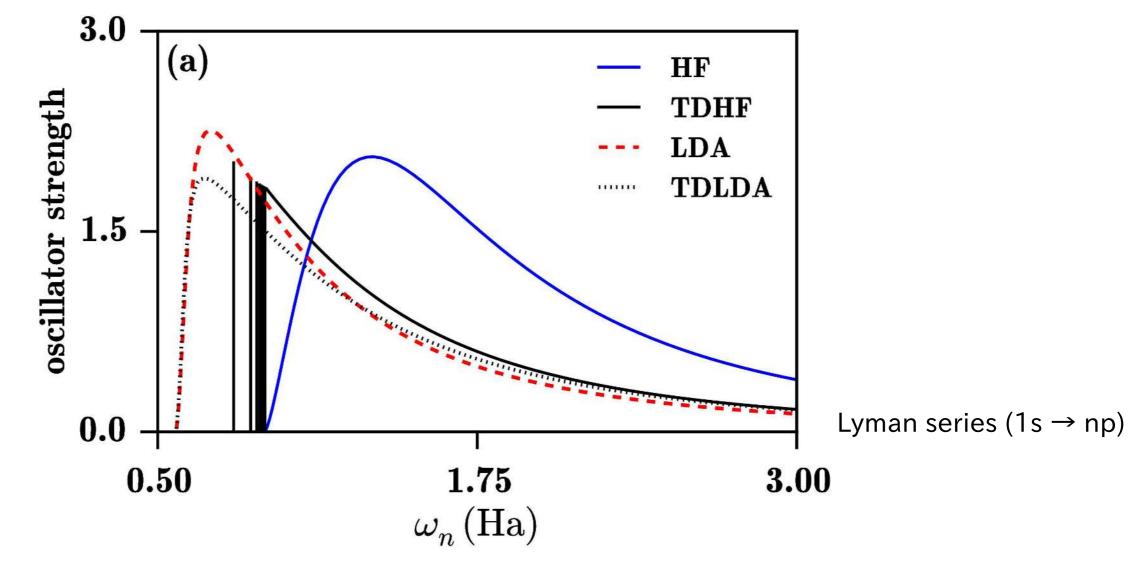
 $\mu > 0$ bound Rydberg states from continuum (differently affected by self-interaction error)



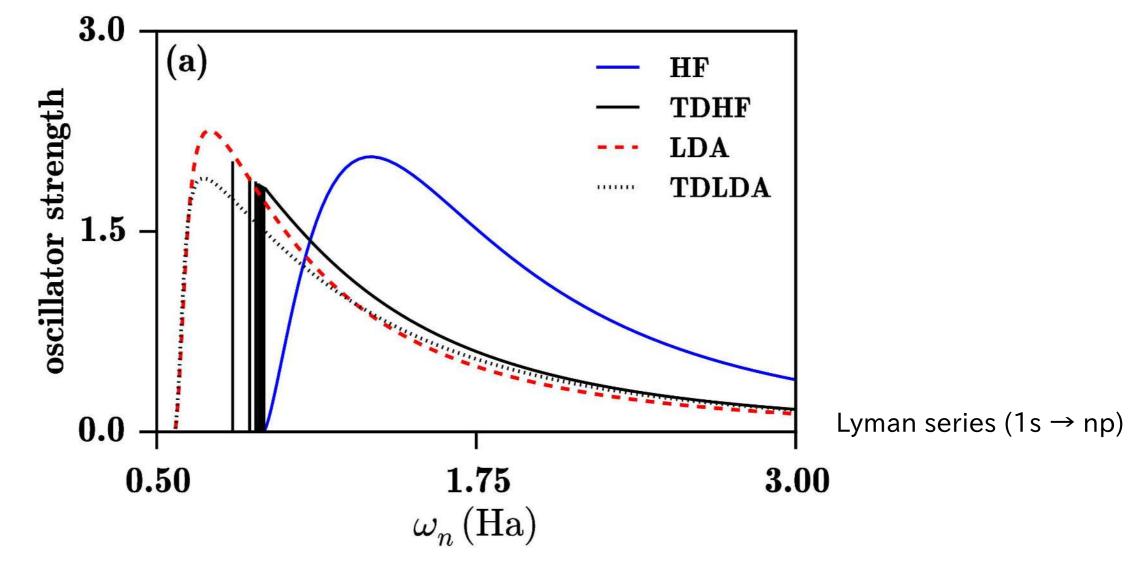
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 $\mu > 0$ bound Rydberg states from continuum (differently affected by self-interaction error)

 $\mu=\infty$ bound Rydberg states converging to KS-EXX energies ~ KS exact (Umrigar (Springer 1998)) no effect of correlation

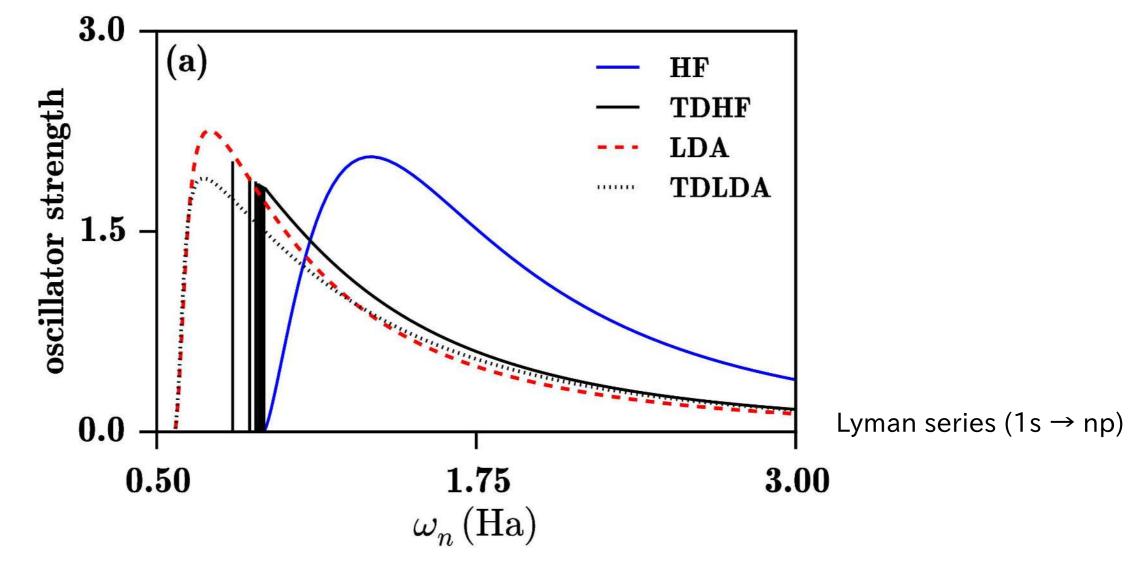


TDHF : - reasonable photoexcitation/photoionization spectrum



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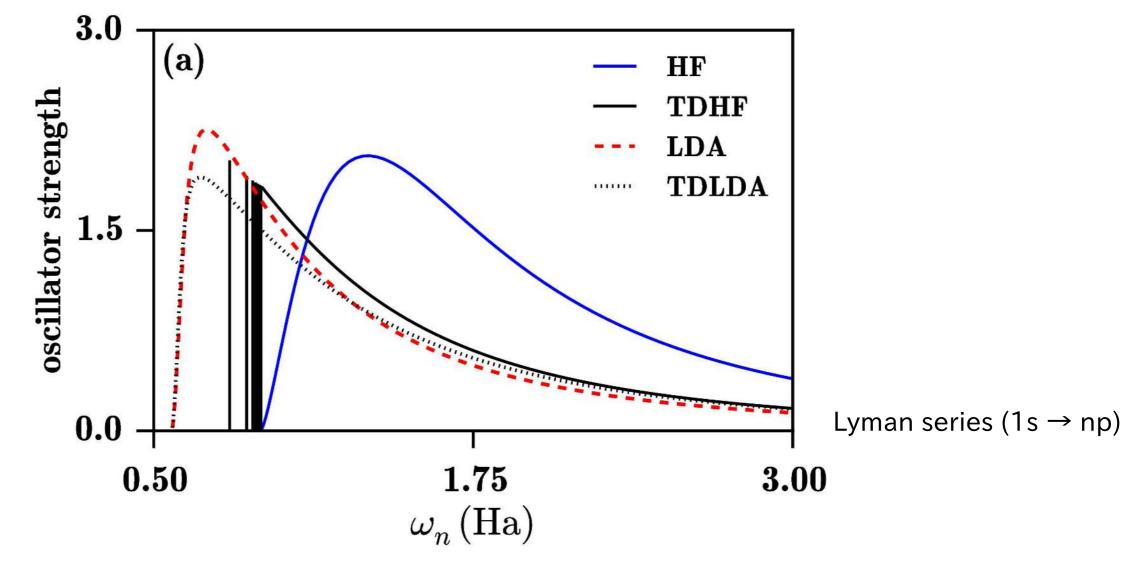
- **LDA** : no discrete photoexcitation energies
 - ionization threshold too low (the self-interaction error)
 - continuum oscillator strengths : good approximations to exact discrete oscillator strengths
 - asymptotically exact in the high energy limit



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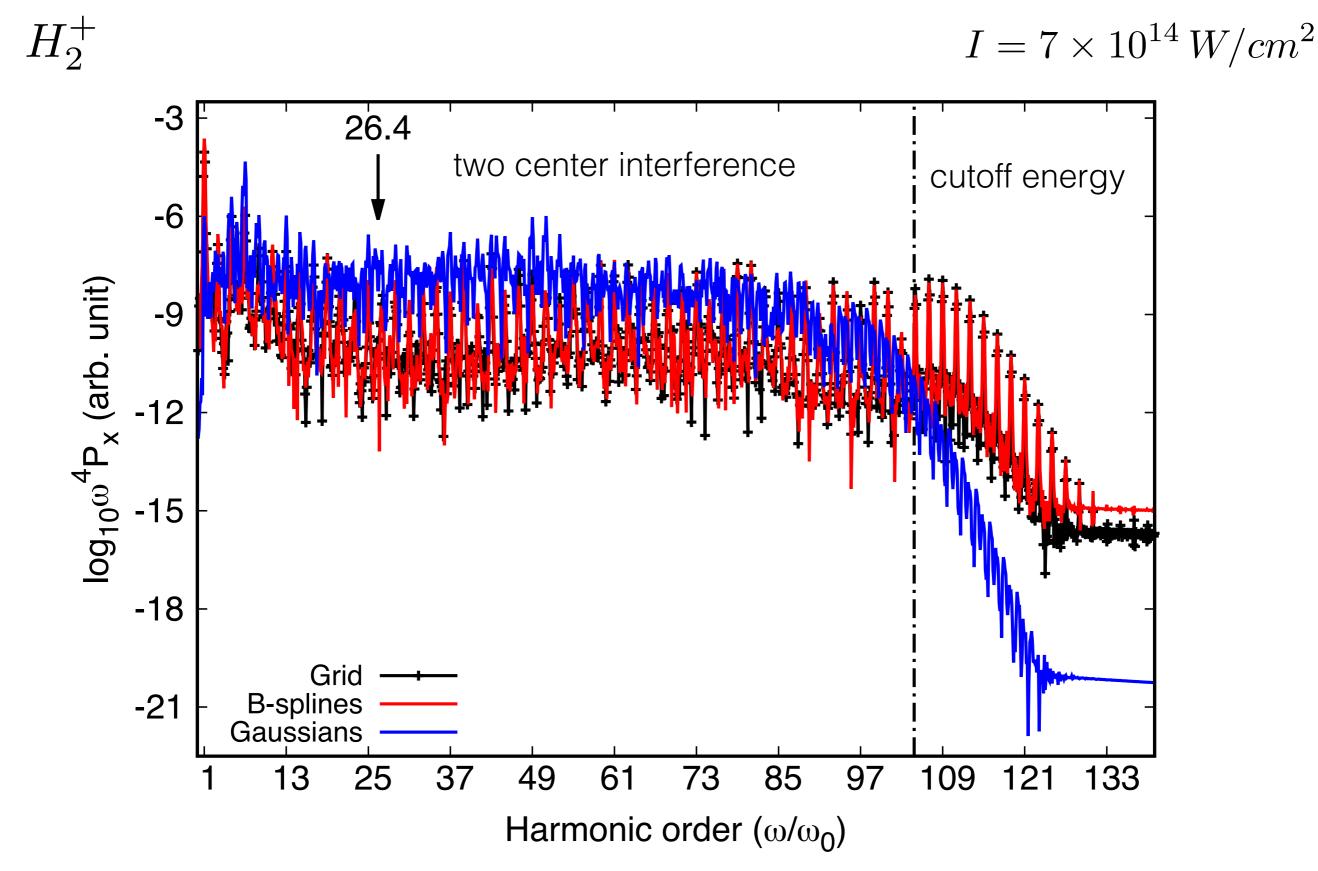
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- HF : no discrete photoexcitation
 - photodetachment spectrum of the $\,\mathrm{H}^-anion$

HHG

Gaussians Grid B-splines



Real-time propagation

$$i\frac{\partial|\Psi(t)\rangle}{\partial t} = \left[\hat{H}_0 - \hat{\mu}E(t)\right]|\Psi(t)\rangle$$

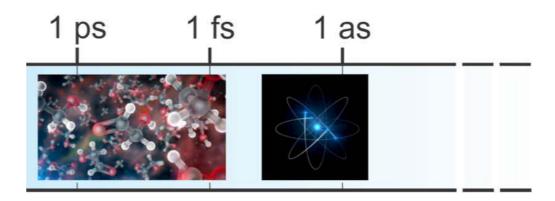
$$\mathbf{E}(t) = E_0 \mathbf{n}_z \sin(\omega_0 t + \phi) f(t)$$
amplitude polarisation \cos^2 enveloppe

HHG

$$P_{\mu_x}(\omega) = \left| \int_0^\tau \langle \Psi(t) | \mu_x | \Psi(t) \rangle W(t) e^{-i\omega t} dt \right|^2$$

Attosecond Science





• Hydrogen:

- Orbital period of e⁻ in ground state of Bohr atom:
 ~150 as
- 1 femtosecond = 1 fs = 10^{-15} s
 - Hydrogen molecule:
 - Vibrational period: ~8 fs

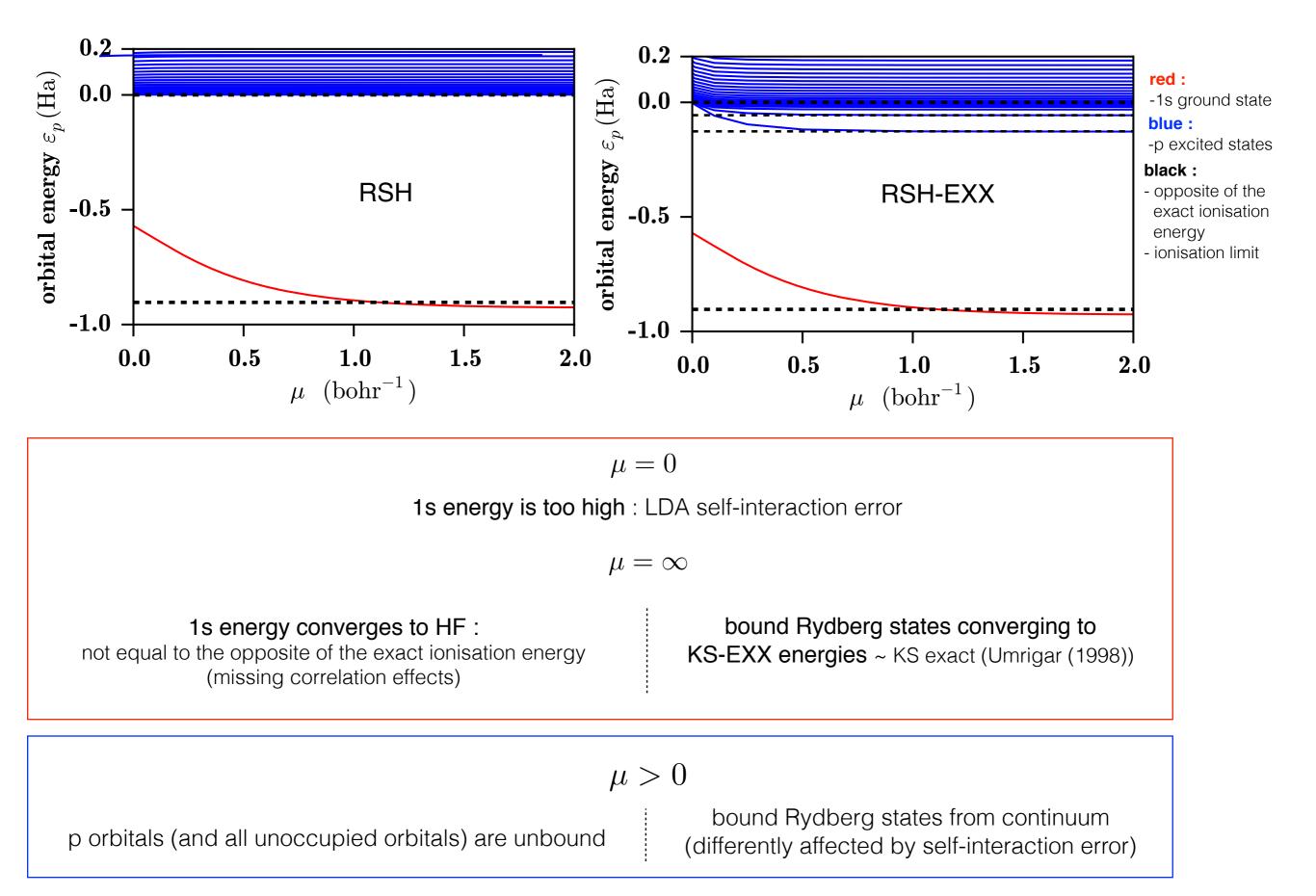


H.

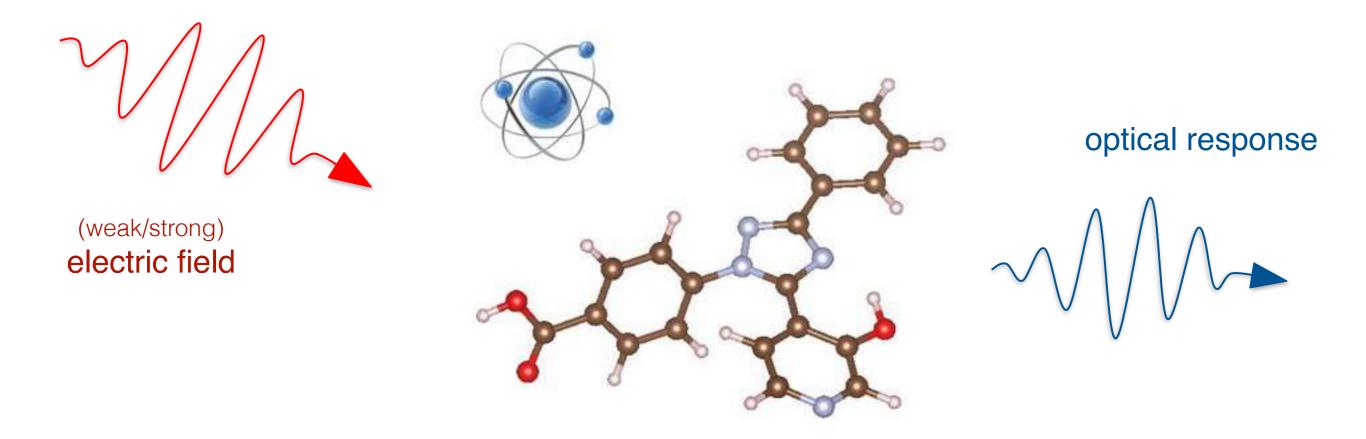
H

- 1 attosecond = 1 as = 10^{-18} s • Attosecond dynamics are the dynamics of the electrons
 - Fastest charge transfer
 - Fastest energy transfer

B-splines and (linear response) range-separated DFT



Optical spectroscopy of atoms and molecules

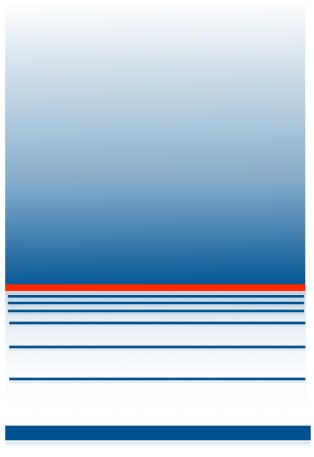


Many interesting optical phenomena are observed in weak and strong field :

absorption single-photon ionisation second-harmonic generation high-harmonic generation above-threshold ionisation

. . .

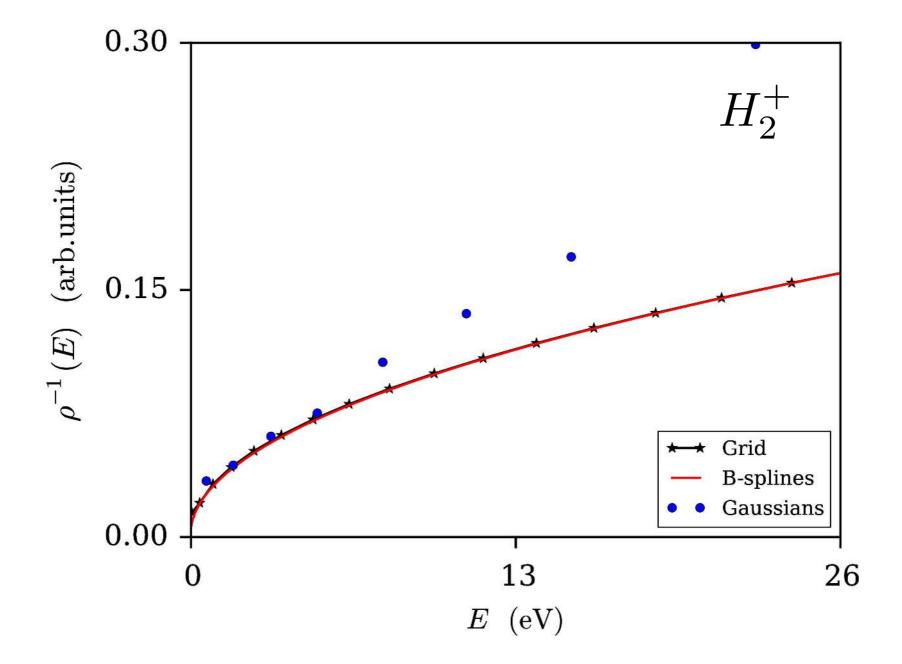
The description of the continuum scattering states can be much improved



ground state

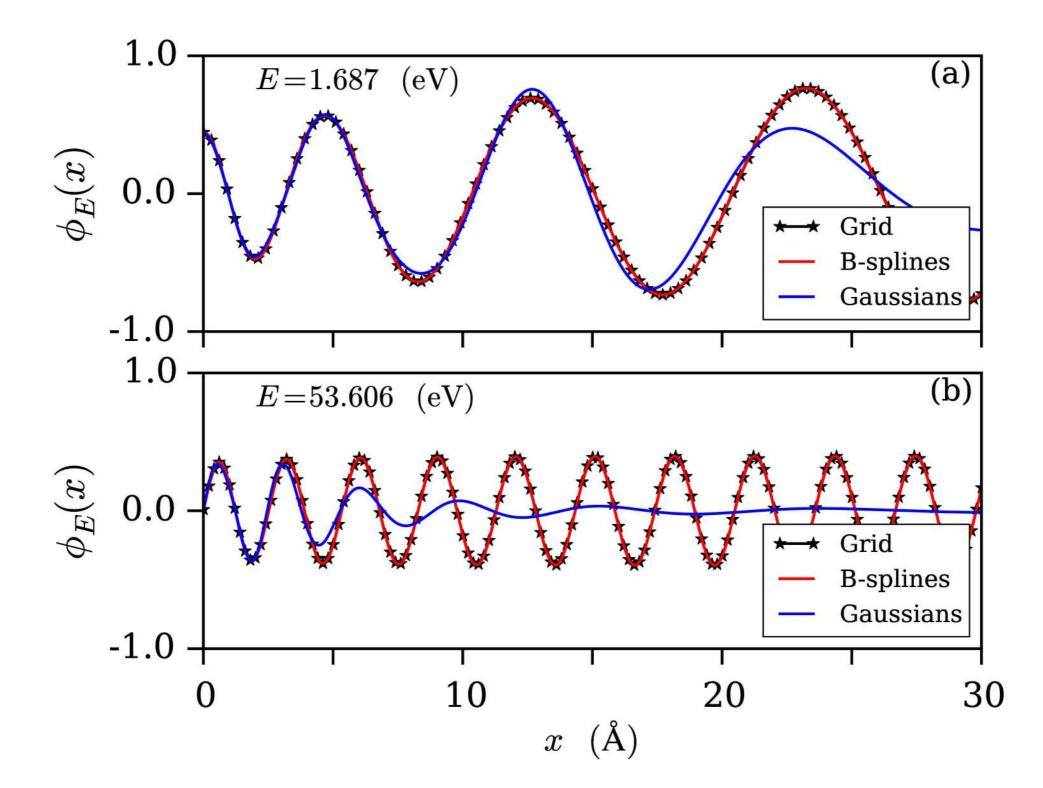
Performance of optimal Gaussian vs Grid and B-splines

Inverse of the density of the continuum states (from the spectrum field free Hamiltonian)

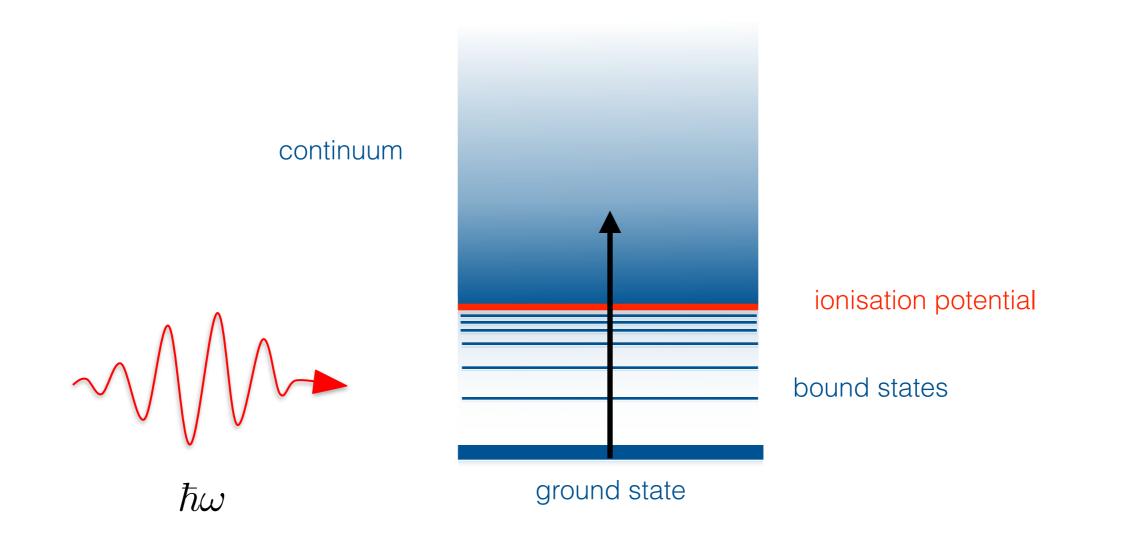


Performance of optimal Gaussian vs Grid and B-splines

Continuum wavefunction (from the spectrum field free Hamiltonian)



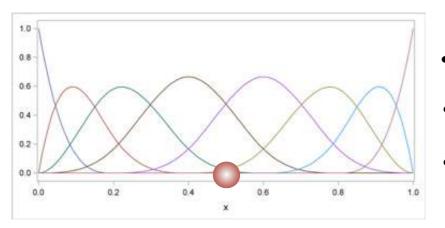
New development in B-splines of linear-response range-separated density-functional theory applied to single-photon ionisation



* measure of the photoelectrons

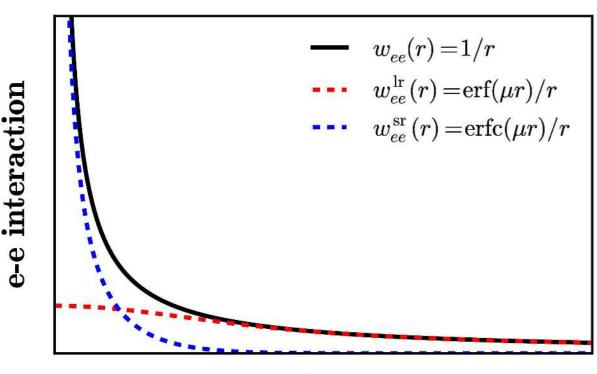
* measure of the ionised atoms/molecules

B-splines and range-separated DFT



- B-splines basis set is a piecewise polynomial functions
- B-splines basis set is powerful to describe continuum for atoms and molecules
- New algorithms needs to be developed to fully exploits their potentials

Stener et al. JCP (2001), Bachau et al. RPP (2001), Fetic et al. PRE (2017) ...



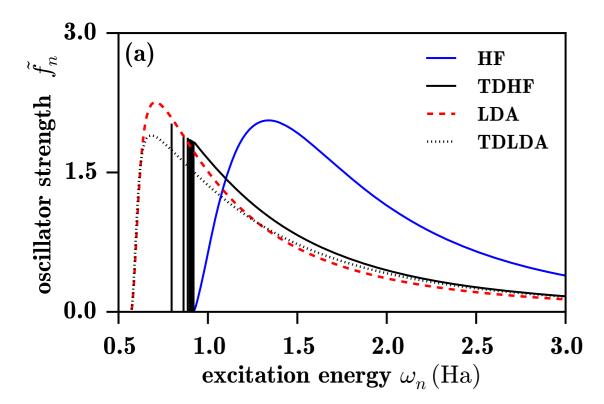
e-e distance

- Range-separated DFT is based on the splitting of the Coulomb electron-electron interaction
- Combination of a long-range wave-function approach with a complementary short-range DF approximation

Toulouse et al. JCP 2013, XXX

Photoexcitation and Photoionisation spectra

Lyman series $(1s \rightarrow np)$

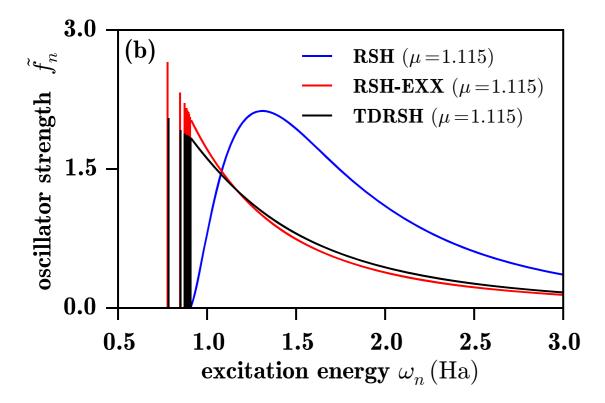


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RSH : no discrete photoexcitations (photodetachment H⁻)

RSH-EXX : reasonable photoexcitation/photoionization spectrum * Only EXX local potential supports Rydberg states

TDRSH : ~ RSH-EXX

* Most accurate (from comparison with exact) : TDRSH > RSH-EXX